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HARYANA GOVERNMENT
INDUSTRIES AND COMMERCE DEPARTMENT

Notification

The 4th October 2021

No. 25/05/2020-4IB-I.— In pursuance to the provision under Chapter 13, clause 13.2.3.2 and Annexure – 4, clause 4.18 of the Haryana Enterprises & Employment Policy-2020 notified dated 29.12.2020 the Governor of Haryana is pleased to notify the “Collaborative Industry-Academia Research” for Micro, Small and Medium Enterprises located anywhere in the State. The provisions are as follows:

1. Objective

To promote Industry to collaborate with premier Academic and R&D institutes for development of new technologies for scientific and technological research. The scheme proposes to enable productive academic co-operation by supporting the critical technologies that can catalyze impact making research. This will support high risk, high impact transformational process/technology development to increase efficiency / improve production.

2. Quantum of Assistance

The State will provide one-time assistance up to INR 50 lakh per project for undertaking R&D activities that should result in emergence of new commercializable technologies through joint industry- academia collaboration.

3. Commencement and Applicability

The Scheme shall commence from the date of commencement of Haryana Enterprises & Employment Policy, 2020 i.e. 01.01.2021 and shall remain in operation for a period of 5 years.

4. Eligibility

- i. The academic collaborator should be an Indian Institution ranked in the overall top-100 or category-wise top-100 in the India Rankings (latest year) as published by Ministry of Human Resource Development, Govt. of India from time to time.
- ii. Each eligible Institution will have an identified Institute Coordinator selected by the Head of the Institution for monitoring the programme in the Institute.
- iii. The Industry collaborator must be a registered entity falling under one of the following categories:
 - a. Society (under The Societies Registration Act, 1860)
 - b. Section 8 Company (under The Companies Act, 2013)
 - c. Private Limited Company (under The Companies Act, 2013)
 - d. Public Company (under The Companies Act, 2013)
 - e. Limited Liability Partnership (under The Limited Liability Partnership Act, 2008)

- iv. The Industry collaborator must also comply with the following conditions:
 - a. The Industry collaborator shall file Udyam Registration Certificate (URC) and Haryana Udhyaam Memorandum (HUM) on the portal.
 - b. The Industry collaborator should not have been placed in the restrictive list as notified by the State government from time to time.
 - c. The Industry collaborator should have obtained NOC/CLU from competent Authority if applicable.
 - d. The Industry collaborator shall be in operation at the time of disbursement and the assistance shall not be released in case the activity is closed.

5. Procedure

- i. Application on prescribed Form (**Annexure-I and II**) for the grant of assistance under this scheme, along with listed documents should be submitted to the Director/ Director General, Micro, Small and Medium Enterprises, Haryana on the web portal of the department.
- ii. The application would be processed and examined by Joint Director/ Deputy Director, District MSME Centre. The deficiencies, if any, would be communicated to the applicant in writing within a period of 7 working days and the applicant would be given a time period of 15 working days to rectify the deficiencies so pointed out.
- iii. In case the deficiencies are not removed within prescribed period, the claim shall be filed by the Competent Authority, under intimation to the party through an e-mail. The applicant shall not be required to submit any additional document other than specified under **Annexure-I** unless required for establishing genuineness of the claim as required by competent authority.
- iv. The claim application so filed may be reopened with the orders of Director/ Director General, Micro, Small and Medium Enterprises provided request for the same is received within a period of 30 days from the date of rejection of the claim by the designated Competent Authority.
- v. The applicant may be required to make a presentation (either physical or through online means as decided by the Govt. of Haryana from time to time) or any clarification in relation to either eligible activity or the cost marked against the eligible activity, or any of its components.

6. Methodology for Disbursement

- i. Based on the decision of the competent authority, the applicant will be conveyed the final decision on the eligibility for availing the assistance under the Scheme.
- ii. Eligible collaborators will be entitled for grant for one project as the reimbursement of the cost incurred in meeting the expenses.
- iii. The grant would cover specific items of expenditure under the project, namely equipment, consumables, manpower and overheads if any. The overheads admissible in the project will be limited to 10% of the total of the project cost.
- iv. The institution(s) /industry would submit the periodic progress report to Directorate of MSME, Haryana for a period of lifecycle of the research or for the period of 5 years whichever is earlier.

7. Time Limit to apply

The applicant shall forfeit its entitlement for the assistance under this scheme, if it does not submit its claim, complete in all respects, within three months from the date of start of collaboration {supported with relevant documentary proofs such as Memorandum of Understanding (MoUs)} or from the date of notification of the scheme, whichever is later.

8. Competent Authority for sanction

The Director/ Director General, Micro, Small and Medium Enterprises, Haryana shall be competent authority for sanction of the subsidy within 30 days from the date of completion of the claim.

9. Interpretation/ Relaxation

Administrative Secretary, Industries & Commerce, Haryana shall be competent to make interpretation of provisions of this scheme.

10. Appeals

- i. In case of rejection:
 - a. An appeal against an order passed by the Competent Authority shall lie with the Administrative Secretary, Industries & Commerce, Haryana within a period of 30 days from the date of communication of orders appealed against. The orders passed by the Administrative Secretary, Industries & Commerce, Haryana in appeal shall be final.

ii. In case of time barred:

- a. The Director/ Director General, Micro, Small and Medium Enterprises shall be competent to condone the delay up to a period of 03 months after the prescribed time limit.
- b. The Administrative Secretary, Industries & Commerce, Haryana shall be competent to condone the delay up to a period of 06 months after the prescribed time limit.

Provided that the competent authority is satisfied with the reasons of late submission of the application on the basis of the substantial evidence/documents/ arguments presented by the applicant.

11. Penal Action

In case, it is found at any stage that the applicant has claimed the assistance on the basis of wrong facts, the applicant shall besides refunding assistance with compound rate of interest @ 12% per annum and facing legal action, will be debarred from grant of any incentive/assistance from the State Government. If the applicant fails to refund the assistance amount with interest, then the amount shall be recovered as arrear of land revenue. The applicant shall be debarred from public procurement as a result of mismatch in facts and figures.

VIJAYENDRA KUMAR,
Principal Secretary to Government of Haryana,
Industries and Commerce Department.

Annexure –I

Application format for claiming assistance under Collaborative Industry-Academia Research

Sr.No.	Particulars	Details
1.	Name of the Industry collaborator and Academic collaborator	
2.	Address of Industry collaborator/Academic collaborator with Phone No. and e-mail –ID.	
3.	Type of constitution of Institute and industry collaborator	
4.	Promoter's Name: Contact No.: Email-ID :	
5.	Name of contact person who is going to handle the Project for academic collaborator Contact No.: Email-ID:	
6.	Detailed Profile of Academic and Industry collaborators	
7.	Details of Innovative Projects Carried out during last three years.	
8.	Describe briefly about facilities currently available in the Academic Institute i. Separate seating arrangement for no. of persons ii. Area (in sq. feet) iii. Meeting room, Seminar/AV Conference hall, computers, internet Network iv.	
9.	Name of the block in which the Industry Collaborator located and category of blocks i.e. B/C/D	
10.	Udyam Registration Certificate (URC) and Haryana Udhyaam Memorandum No. & Date	
11.	Details of Fixed Capital Investment of the Industrial Unit: i. Land ii. Building iii. Plant & Machinery/equipment iv. Others Total	
12.	Summary of the Research proposal	
13.	Means of Finance of the Industrial Unit: i. Promoters Contribution ii. Term Loan iii. Other resources	
	Total	

14. Self-attested copies of documents to be attached with the application:
- Copy of Udyam Registration Certificate (URC) and Haryana Udhyaam Memorandum (HUM).
 - Certificate of Incorporation/ Partnership deed/Partnership registration/Co- operative society registration.
 - Board resolution/ Power of attorney.
 - Declaration by the applicant (as per **Annexure II**) on non-judicial stamp paper of Rs. 50/- (Min) duly sworn before a Notary Public or First-Class Magistrate.
 - Certificate for Financial Institution on Letter Head of the Bank (**Annexure-III**).
 - CA Certificate regarding investment in research equipment / laboratory equipment , consumables, manpower and overheads if any (**Annexure-IV**).
 - Audited balance sheet of the unit of last year (if Applicable).
 - Details of the Research undertaken under the collaboration (**Annexure – V**).

Signature of the applicant
(with seal)

Annexure –II

Undertaking/Declaration (to be submitted on non-judicial stamp paper of Rs. 50/- (Min) duly sworn before a Notary Public (duly affixed with Notarial Stamp; and with Notary Seal & Notary Registration Number or First-Class Magistrate):

1. I,do hereby solemnly state that I am proprietor/partner/director/.....of M/s located at which is engaged in research and development collaboration with the..... institute located atand I have been authorized to file the assistance under Collaborative Industry-Academia Research Scheme with the Directorate of Micro, Small and Medium Enterprises, Haryana.
2. The unit will be liable to refund excess subsidy/assistance, if any released due to omission or pointed out by the Audit team of Principal Accountant General, Haryana.
3. I do hereby further affirm that the particulars given in the application are correct. In case any of the statement/information furnished in the application/ documents later found to be wrong or incorrect or misleading or violation of the eligibility criteria/conditions, I do hereby undertake to refund the entire amount of assistance of INR..... (Rupees.....) granted to me at the compound rate of interest @12% per annum, besides facing legal action in case, facts contained in this application are proved to be wrong at the time of verification/ checking or otherwise at any stage.

Dated:

Signature of the applicant
(with seal)

Annexure –III

Certificate from financial institution (on letterhead of the bank)

This is to certify that M/s..... holds an account with our Bank. The details of the bank account are hereunder:

- i. Name and address of Bank where account exists
- ii. Bank Account Number
- iii. IFSC Code
- iv. RTGS Details
- v. Name of educational Institution with whom affiliated/associated (if applicable):
- vi. Tentative date of beginning of operations:

Signature
Branch Manager

Name of Bank
Seal of the Bank

Annexure –IV

Certificate from Chartered Accountant regarding investment and turnover (on a CA letterhead)

To whom it may concern

The records of M/s with their regd. office atin respect of investment in laboratory equipment, consumables, manpower and overheads if any (original purchase value) of the company has been verified. It is certified that the investment as on date..... stands as INR..... and turnover of last financial year INR----- (Rupees-----).

Name & signature of the Chartered Accountant with
stamps & CA membership Number

Date-----

UDIN No.-----

Annexure –V

Please give details of the Research undertaken under the collaboration:

Sr.No.	Parameters	
1.	Research Name	
2.	Research Objective	
3.	Start Date	
4.	End Date	
5.	Team Details	
6.	Outcome of the Project	
7.	Milestones achieved	
8.	Pilot project details (if any)	