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Name of Generating Company _____

Name of Generating Station _____

Form: F-1.1

Computation of Per Unit Rate

(Figures in Rs Crore)

S. No.	Item	Units	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
			(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected
1	Annual Fixed Cost	Rs. Crore							
2	Saleable Energy (Design Energy net of auxiliary consumption and home state share)	MU							
3	Per unit Rate of Saleable Energy	Rs./unit							

Note:
n= FY 2012-13

Petitioner

Name of Generating Company _____

Name of Generating Station _____

Form: F-1.2

Summary of Revenue and Revenue Requirement

(Figures in Rs Crore)

S. No.	Item	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
		(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected
A.	Generation							
1	Gross Generation (MU)							
2	Aux Consumption (%)							
3	Aux Consumption (MU)							
4	Net Generation (MU) (1-3)							
B.	Revenue							
1	Revenue from Sale of Power							
2	Non-Tariff Income							
	Total Revenue (1+2)							
C.	Expenditure							
1	O&M expenses							
a	R&M Expense							
b	Employee Expenses							
c	A&G Expense							
2	Depreciation							
3	Lease Charges							
4	Interest on Loans							
5	Interest on Working Capital							
	Total Expenditure (1+2+3+4+5)							
D.	Return on Equity							
E	Revenue Requirement (C+D)							
	Surplus (+) / Shortfall (-) (B-E)							

Note:
n= FY 2012-13

Petitioner

Name of Generating Company _____
 Name of Generating Station _____

Form: F-2.1
Saleable Energy & PAF

(Figures in Rs Crore)

Sl. No.	Description	Unit	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
			(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected
1	Design Energy	(MU)							
2	Auxiliary Consumption								
	(a) In % of Energy Generated	(%)							
	(b) In MU	(MU)							
3	Energy Sent Out (1-2b)	(MU)							
4	Home State Share	(%)							
5	Saleable Energy {(3)x[1-(4)]}	(MU)							
6	Plant Availability Factor	%							

Petitioner

Name of Generating Company _____

Name of Generating Station _____

Form: F-2.2
Information on Energy Generation (MU)

(Figures in Rs Crore)

Sl.No.	Month	Design Energy	Previous Year (n-1)	Current Year (n)		Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
			(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Projected	Projected	Projected
1	April							
2	May							
3	June							
4	July							
5	August							
6	September							
7	October							
8	November							
9	December							
10	January							
11	February							
12	March							

Petitioner

Name of Generating Company _____
 Name of Generating Station _____

Form: F-2.3
Salient Features of Hydroelectric Project

(Figures in Rs Crore)

Sl.No.	Details	Previous Year (n-1)	Current Year (n)	Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
1	Installed Capacity (MW)					
	(a) Unit-1					
	(b) Unit-2					
	(c) Unit-3					
	(d) Unit-4 etc.					
2	Date of commercial operation (DD/MM/YYYY)					
	(a) Unit-1					
	(b) Unit-2					
	(c) Unit-3					
	(d) Unit-4 etc.					
3	Details of tied up beneficiaries/target beneficiaries/merchant capacity along with percentage share with reference to the installed capacity for each beneficiary/category					
	(i) Beneficiary - 1 (%)					
	(ii) Beneficiary - 2 (%)					
	(iii)					
	(iv)					
4	Design Energy (MU)					
5	Expected annual energy generation (MU)					
6	Associated transmission system details or proposed evacuation arrangement					
	i) Voltage level.					
	ii) Conductor Name & no. of Circuits.					
	iii) Line length in Km.					
	iv) Name of Interconnecting Substation.					
7	Name of manufacturer:					
	(i) Turbine (Francis/Kaplan/Pelton)					
	(ii) Generator					
8	Efficiency					
	(i) Design guaranteed efficiency of turbine					
	(ii) Design guaranteed efficiency of Generator					
9	Type of Governing System					
10	Type of Station					
	a) Surface / Underground					
	b) Purely RoR/Pondage/Storage					
	c) Peaking/ Non-Peaking					
	d) No. of Hours of Peaking					
11	Type of excitation					
	a) Rotating exciters on generator	(Yes/No)	(Yes/No)			
	b) Static excitation	(Yes/No)	(Yes/No)			
12	Location					
	State/Distt.					
	River					
13	Diversion Tunnel					
	Size, shape					
	Length					
14	Dam					
	Type					
	Maximum dam height					
15	Spillway					
	Type					
	Crest level of spillway					
16	Reservoir					
	Full Reservoir Level (FRL)					
	Minimum Draw Down Level (MDDL)					
	Live storage (MCM)					
17	Desilting Arrangement					
	Type					
	Number and Size					
	Particle size to be removed(mm)					
18	Design Silt Levels for desilting chamber					

Sl.No.	Details	Previous Year (n-1)	Current Year (n)	Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
	Maximum at inlet (ppm)					
	Maximum at outlet (ppm)					
19	Head Race Tunnel					
	Size and type					
	Length					
	Design discharge(Cumecs)					
20	Surge Shaft					
	Type					
	Diameter					
	Height					
21	Penstock/Pressure shafts					
	Type					
	Diameter & Length					
22	Power House					
	Type					
	Installed capacity (No of units x MW)					
	Peaking capacity during lean period (MW)					
	Type of turbine					
	Rated Head(M)					
	Average Head (M)					
	Rated Discharge(Cumecs)					
	Head at Full Reservoir Level (M)					
	Head at Minimum Draw Down Level (M)					
	MW Capability at FRL (MW)					
	MW Capability at MDDL (MW)					
	Variation in machine output at different levels between Full Reservoir Level and Minimum Draw Down level (MW)					
23	Tail Race Channel					
	Diameter, shape					
	Length					
	Minimum tail water level					
24	Switchyard					
	Type of Switch gear					
	No. of generator bays					
	No. of Bus coupler bays					
	No. of line bays					
25	Generator transformer details:					
	(i) Make					
	(ii) No. of Transformers					
	(iii) Rating					
	(iv) Voltage Ratio					

Note: Specify limitation on generation during specific time period on account of restriction(s) on water use due to irrigation, drinking water, industrial, environmental considerations etc.

Petitioner

Name of Generating Company _____

Name of Generating Station _____

Form: F- 3

Computation of Net Annual Fixed Charges

(Figures in Rs Crore)

Sl.No.	Year ending March	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
		(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected
1	Interest on Loan (Including Interest on Normative Loans)							
2	Depreciation							
3	Lease Charges							
4	Return on Equity							
	(a) Rate of Return on Equity							
	(b) Equity							
	(c) Return on Equity (4a)*(4b)							
5	O&M Expenses							
	5.1 Employee Costs							
	5.2 Repair and Maint. Expenses							
	5.3 Admin & Gen Costs							
6	Interest on Working Capital							
7	Gross Annual Fixed Charges (1+2+3+4(c)+5+6)							
8	Less: Other Income (provide details)							
9	Net Annual Fixed Charges (7-8)							

Petitioner

Name of Generating Company _____

Name of Generating Station _____

Form: F- 4

Statement of Gross Fixed Asset Base & Financing Plan

Final Approved Cost as on Commercial Operations Date

(Figures in Rs Crore)

	Capital Expenditure	Date of commercial operation
(a) Unit-1		(DD/MM/YY)
(b) Unit-2		(DD/MM/YY)
(c) Unit-3		(DD/MM/YY)
(c) Unit-4		(DD/MM/YY)
(c) Unit-5 etc.*		(DD/MM/YY)

Original Financing Plan (Unitwise)

Rupee Term Loan		
Debt 1		
Debt 2 *		
Foreign Currency Loan		
Debt 1		
Debt 2 *		
Equity		
In Rupees		
In Foreign Currency		

Previous year (n-1)

(Figures in Rs Crore)

Particulars of Assets	Opening balance	Additions during the year*	Retirement of assets during the year*	Closing balance
a) Land				
b) Building				
c) and so on (As per categorisation given in the Regulations)				
Total				

Details of Gross Fixed Assets

Current Year (n)

(Figures in Rs Crore)

Particulars of Assets	Opening Balance	Additions of assets during the year*		Retirement of assets during the year*		Closing balance
		Apr-Sep (Actual)	Oct-Mar (Estimated)	Apr-Sep (Actual)	Oct-Mar (Estimated)	
a) Land						
b) Building						
c) and so on (As per categorisation given in the Regulations)						
Total						

Form: F- 4**Statement of Gross Fixed Asset Base & Financing Plan**

Ensuuing year (n +1)

(Figures in Rs Crore)

Particulars of Assets	Opening balance	Additions during the year*	Retirement of assets during the year*	Closing balance
a) Land				
b) Building				
c) and so on (As per categorisation given in the Regulations)				
Total				

Ensuuing year (n +2)

(Figures in Rs Crore)

Particulars of Assets	Opening balance	Additions during the year*	Retirement of assets during the year*	Closing balance
a) Land				
b) Building				
c) and so on (As per categorisation given in the Regulations)				
Total				

Ensuuing year (n +3)

(Figures in Rs Crore)

Particulars of Assets	Opening balance	Additions during the year*	Retirement of assets during the year*	Closing balance
a) Land				
b) Building				
c) and so on (As per categorisation given in the Regulations)				
Total				

* Please provide Actual / Proposed Dates of Addition and Retirement of Fixed Assets during the year

Petitioner

Name of Generating Company _____

Name of Generating Station _____

Form: F- 5.1
Statement of Asset wise Depreciation

Previous year (n-1)

(Figures in Rs Crore)

Particulars of Assets	Rate of Depreciation in %	Accumulated Depreciation at the beginning of the year	Depreciation provided for the year		Withdrawals during the year	Balance of accumulated depreciation at the end of the year
			Apr-Sep (Actual)	Oct-Mar (Estimated)		
a) Land						
b) Building						
c) and so on (As per categorisation given in the Regulations)						
Total						

Current Year (n)

(Figures in Rs Crore)

Particulars of Assets	Rate of Depreciation in %	Accumulated Depreciation at the beginning the year	Depreciation provided for the year		Withdrawals during the year		Balance of accumulated depreciation at the end of the year
			Apr-Sep (Actual)	Oct-Mar (Estimated)	Apr-Sep (Actual)	Oct-Mar (Estimated)	
a) Land							
b) Building							
c) and so on (As per categorisation given in the Regulations)							
Total							

Ensuing year (n +1)

(Figures in Rs Crore)

Particulars of Assets	Rate of Depreciation in %	Accumulated Depreciation at the beginning of the year	Depreciation provided for the year		Withdrawals during the year	Balance of accumulated depreciation at the end of the year
			Apr-Sep (Actual)	Oct-Mar (Estimated)		
a) Land						
b) Building						
c) and so on (As per categorisation given in the Regulations)						
Total						

Form: F- 5.1
Statement of Asset wise Depreciation

Ensuing year (n +2)

(Figures in Rs Crore)

Particulars of Assets	Rate of Depreciation in %	Accumulated Depreciation at the beginning of the year	Depreciation provided for the year	Withdrawals during the year	Balance of accumulated depreciation at the end of the year
a) Land					
b) Building					
c) and so on (As per categorisation given in the Regulations)					
Total					

Ensuing year (n +3)

(Figures in Rs Crore)

Particulars of Assets	Rate of Depreciation in %	Accumulated Depreciation at the beginning of the year	Depreciation provided for the year	Withdrawals during the year	Balance of accumulated depreciation at the end of the year
a) Land					
b) Building					
c) and so on (As per categorisation given in the Regulations)					
Total					

Petitioner

Name of Generating Company _____

Name of Generating Station _____

Form: F- 5.2
Statement of Depreciation

(Figures in Rs Crore)

Financial Year	Upto 2000-01	2001-02	2002-03	2003-04	2004-05	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16
Depreciation on Capital Cost																
Depreciation on Additional Capitalisation																
Amount of Additional Capitalisation																
Depreciation Amount																
Detail of FERV																
Amount of FERV on which Depreciation is charged																
Depreciation Amount																
Depreciation recovered during the year																
Advance against Depreciation recovered during the year																
Depreciation and Advance against Depreciation recovered during the year																
Cummulative Depreciation and Advance against Depreciation recovered upto the year																

Petitioner

Name of Generating Company _____

Name of Generating Station _____

Form: F- 6.1
Statement of Capital Expenditure

(Figures in Rs Crore)

Particulars	FY of COD	Previous Year (n-1)	Current Year (n)			Remarks+	Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Total Expenditure Approved by Competent Authority	Total Expenditure Actually Incurred	Remarks++
		Actual / Audited	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)		Projected	Projected	Projected			
A) Expenditure Details												
a) Land												
b) Building												
c) Major Civil Works												
d) Plant & Machinery												
e) Vehicles												
f) Furniture and Fixtures												
g) Office Equipment & Others												
Total (A)												
B) Break up of sources of financing												
Rupee term Loan												
Debt 1												
Debt 2												
....												
Foreign Currency Loan												
Debt 1												
Debt 2												
....												
Equity												
In Rupees												
In Foreign Currency												
c) Others (Please specify)												
Total (B)												

Note:

- i) The break up wherever required and necessary may be given in respect of Loans and Equity financing supported with respective documentation.
- ii) Copies of Approval from competent authorities may be furnished in respect of cost of project, its components and scheme of finance.
- iii) Remarks+: Explain for the causes of deviation if the actual expenditure during the current year is expected to be different from that approved by UERC or other authorised agencies
- iv) Remarks++: Explain for the causes of deviation if the total actual expenditure is different from that approved by UERC or the authorised agencies

Petitioner

Name of Generating Company _____

Name of Generating Station _____

Form: F- 6.2

Statement of Capital Works-in-Progress

(Figures in Rs Crore)

S. No.	Particulars	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
		Actual / Audited	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected	
1	Opening balance of CWIP								
2	Add: New Investment								
	Capital Expenditure								
	Expenses Capitalised								
	Interest During Construction								
3	Less: Investment Capitalised								
4	Closing balance of CWIP								

Petitioner

Name of Generating Company _____
 Name of Generating Station _____

Form: F- 6.3
Statement of Capital Expenditure & Schedule of COD of New Projects

Name of the Power Station:	
Name of Agency approving the Project Cost Estimates	
Date of Approval of Capital Cost Estimate	

	Present Day Cost (As onDate)	As approved by Competent Authority
Capital Cost		
Foreign Exchange Rate considered for capital cost estimates		

(Figures in Rs Crore)

Cost Details	Amount	Exchange Rate	Amount (Rs. Crore)
A) Basic Cost			
Foreign Component (in Foreign Currency)			
Domestic Component			
Total Basic Cost	a		
B) IDC & FC			
Foreign Component (in Foreign Currency)			
Indian Component			
Total IDC & FC	b		
C) Total Cost (Including IDC and FC)	c=(a+b)		

Schedule of Commissioning

COD of Unit-I		
COD of Unit-II		

COD of Last Unit		

Note:

i) Copy of Approval to be enclosed

Petitioner

Name of Generating Company _____

Name of Generating Station _____

Form: F- 6.4

Break-up of Capital Expenditure for New Projects

Name of the Power Station:	
Name of Agency approving the Project Cost Estimates	
Date of Approval of Capital Cost Estimate	

(Figures in Rs Crore)

Particulars	Total Expenditure Approved by Competent Authority	Previous year (n-1) Expenditure Actually Incurred	Current Year (n)		Total Expenditure Incurred upto Current Year	Total Expenditure supposed to be incurred till current year, as per the approved plan	Difference between column 6 and 7	Remarks+	Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
			Apr-Sep (Actual)	Oct-Mar (Estimated)							
1	2	3	4	5	6	7	8	9	10	11	12
A) Expenditure Details											
a) Land											
b) Building											
c) Major Civil Works											
d) Plant & Machinery											
e) Vehicles											
f) Furniture and Fixtures											
g) Office Equipment & Others											
Total (A)											
B) Break up of sources of financing											
a) Loans/ Borrowings											
b) Equity											
c) Others (Please specify)											
Total (B)											

Note:

ii) Remark+: Explain for the reasons for difference between 6 and 7.

Petitioner

Name of Generating Company _____

Name of Generating Station _____

Form: F- 6.5**Break-up of Capital cost for Hydro power generating station on COD (For New Stations)**

(Figures in Rs Crore)

Sl. No.	Head of works	Original cost as approved by Authority	Actual Cost on COD	Additional Capitalisation till Cut-Off Date	Total Completed Capital Cost	Variation	Reasons for variation
1	2	3	4	5	6 = 4+5	7 = 3 - 6	8
1.0	Infrastructure Works						
1.1	Preliminary including Development						
1.2	Land						
1.3	Buildings						
1.4	Township						
1.5	Maintenance						
1.6	Tools & Plants						
1.7	Communication						
1.8	Environment & Ecology						
1.9	Losses on stock						
1.10	Receipt & Recoveries						
1.11	Total (Infrastructure works)						
2.0	Major Civil Works						
2.1	Dam, Intake & Desilting Chambers						
2.2	HRT, TRT, Surge Shaft & Pressure shafts						
2.3	Power Plant civil works						
2.4	Other civil works (to be specified)						
2.5	Total (Major Civil Works)						
3.0	Hydro Mechanical equipments						
4.0	Plant & Equipment						
4.1	Initial spares of Plant & Equipment						
4.2	Total (Plant & Equipment)						
5.0	Taxes and Duties						
5.1	Custom Duty						
5.2	Other taxes & Duties						
5.3	Total Taxes & Duties						
6.0	Construction & Pre-commissioning expenses						
6.1	Erection, testing & commissioning						
6.2	Construction Insurance						
6.3	Site supervision						
6.4	Total (Const. & Pre-commissioning)						
7.0	Overheads						
7.1	Establishment						
7.2	Design & Engineering						
7.3	Audit & Accounts						
7.4	Contingency						
7.5	Rehabilitation & Resettlement						
7.6	Total (Overheads)						
8.0	Capital Cost without IDC & FC						
9.0	Financing charges (FC)						
10.0	Interest during construction (IDC)						
11.0	Capital Cost with IDC & FC						

Note:

1. In case of time and cost over-run of the project, a detailed write-up giving justification for such time and cost over run should be submitted, clearly bringing out the agency responsible and whether such time and cost over run was beyond the control of the generating company

Petitioner

Sl. No.	Draw Down	Quarter 1			Quarter 2			Quarter n (COD)		
		Quantum in Foreign Currency	Exchange Rate on Draw Down Date	Amount in Indian Rupee	Quantum in Foreign Currency	Exchange Rate on Draw Down Date	Amount in Indian Rupee	Quantum in Foreign Currency	Exchange Rate on Draw Down Date	Amount in Indian Rupee
	IDC									
	Financing Charges									
1.2.2	Indian Loan 2									
	Draw Down Amount									
	IDC									
	Financing Charges									
1.2.n	Indian Loan n									
	Draw Down Amount									
	IDC									
	Financing Charges									
1.2	Total Indian Loans									
	Draw Down Amount									
	IDC									
	Financing Charges									
	Total of Loans Drawn									
	IDC									
	Financing Charges									
	FERV									
	Hedging Cost									
2	Equity									
2.1	Foreign Equity Drawn									
2.2	Indian Equity Drawn									
	Total Equity Deployed									

- Note:
1. Drawl of Debt and Equity shall be on pari passu basis quarter wise to meet the Commissioning schedule. Drawl of higher Equity in beginning is permissible.
 2. Applicable Interest Rates including reset dates used for computation may be furnished separately.
 3. In case of Multi Unit Project, details of Capitalisation ratio used to be furnished

Petitioner

Name of Generating Company _____

Name of Generating Station _____

Form: F- 6.8

Statement of Additional Capitalisation during fag end of the Project

(Figures in Rs Crore)

Sl.No.	Year	Work/Equipment added during last five years of useful life of each Unit/Station	Amount capitalised /Proposed to be capitalised (Rs Lakh)	Justification for capitalisation proposed	Impact on life extension
1	2	3	4	5	6
1					
2					
3					
4					
5					
6					

Note:

1. Cost Benefit analysis for capital additions done should be submitted along with petition for approval of such schemes
2. Justification for additional capital expenditure claim for each asset should be relevant to regulation under which claim and the necessity of capitalization of the asset.

Petitioner

Name of Generating Company _____

Name of Generating Station _____

Form: F-6.9

Details of Assets De-capitalized during the period

(Figures in Rs Crore)

SI.No.	Name of the Asset	Nature of de-capitalization (whether claimed under exclusion or as additional capital expenditure)	Original Value of the Asset Capitalised	Year Put to use	Depreciation recovered till date of de-capitalization
1	2	3	4	5	6
1					
2					
3					
4					
5					
6					

Note: Year wise detail need to be submitted.

Petitioner

Name of Generating Company _____

Name of Generating Station _____

Form: F- 6.10

Statement showing reconciliation of ACE claimed with the capital additions as per books

(Figures in Rs Crore)

Sl.No.	Particulars	2014-15	2015-16	2016-17	2017-18	2018-19
(1)	(2)	(3)	(4)	(5)	(6)	(7)
	Closing Gross Block					
	Less: Opening Gross Block					
	Total Additions as per books					
	Less: Additions pertaining to other Stages (give Stage wise breakup)					
	Net Additions pertaining to instant project/Unit/Stage					
	Less: Exclusions (items not allowable / not claimed)					
	Net Additional Capital Expenditure Claimed					

Note: Reason for exclusion of any expenditure shall be given in Clear terms.

Petitioner

Name of Generating Company _____

Name of Generating Station _____

Form: F- 6.11
Incidental Expenditure during Construction

(Figures in Rs Crore)

Sl.No.	Parameters	Upto Schedule COD	Up to actual/anticipated COD
A	Expenses:		
1	Employees' Benefits Expenses		
2	Finance Costs		
3	Water Charges		
4	Communication Expenses		
5	Power Charges		
6	Other Office and Administrative Expenses		
7	Others (Please Specify Details)		
8	Other pre-Operating Expenses		
		
		
B	Total Expenses		
	Less: Income from sale of tenders		
	Less: Income from guest house		
	Less: Income recovered from Contractors		
	Less: Interest on Deposits		
		

Petitioner

Name of Generating Company _____

Name of Generating Station _____

Form: F- 7

Details of Capital Cost and Financing Structure

(Figures in Rs Crore)

Year ending March	FY of COD	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
		Actual / Audited	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected	
Basic Project Financial Parameters									
Capital Cost*									
Addition during the year									
Deletion during the year									
Gross Capital Cost (A)									
Equity against Original Project Cost									
Addition during the year									
Equity Sub-Total (B)									
Debt outstanding against the original Capital cost									
New Loans added during the year									
Debt Sub-Total (C)									
Grants against original project cost									
Addition during the year									
Grants Sub-Total (D)									
Total Financing (B+C+D)									

Note :

i) *Approved or Actual Capital Cost whichever is less.

ii) The equity and loan shall be divided into foreign and domestic component if applicable.

Petitioner

Name of Generating Company _____

Name of Generating Station _____

Form: F- 8
Details of Financial Packages

(Figures in Rs Crore)

Source of Funds	Amount in FC	Exchange Rate	Amount in Indian Currency	Terms of Repayment	Grace Period	Interest Rate / Return on Equity	Guarantee Commission	Upfront Fees/ Exposure Premium	% of Total Debt	% of Total Equity	% of Total PC
	(Name of Currency)	(Rs./FC)	(Rs. in Crore)	(Years)	(Years)	(%)	(Rs. in Crore)	(Rs. in Crore)	(%)	(%)	(%)
(A) Debt											
Foreign:											
Loan I											
Loan II											
Loan III											
Loan IV etc.											
Indian:											
Loan I											
Loan II etc.											
Total Loan (A)											
(B) Equity											
Foreign:											
Indian:											
Total Equity(B)											
(C) Grants											
Foreign:											
Indian:											
Total Grant (C)											
Total Financing (A+B+C)											
Total Project Cost											

Note:

(i) In case of projects having achieved COD: Financial package details, as admitted by the Competent Authority on COD of the Project, shall be furnished in the Format alongwith supporting documents.

(ii) In case of projects which are yet to achieve their COD: Financial package details, as approved by the Competent Authority , shall be furnished in the Format alongwith supporting documents.

(ii) FC- Foreign Currency

(iii) PC- Project Cost

Petitioner

Ensuing year (n+2)

Loan Agency (Source of loan)	Rate of interest (%)	Repayment period (Years)	Balance at the beginning of the year	Amount to be received during the year	Principal due during the year	Principal to be redeemed during the year	Principal Overdue at the year end	Principal Due at the year end	Remarks
	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated		
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)=(6)-(7)	(9)=(4)+(5)-(6)	(10)
A. Other than state government									
Loan 1 (Name of lender)									
Loan 2 (Name of lender)									
Loan 3 (Name of lender), etc.									
Sub-Total (A)									
B. Government Loans									
Type 1									
Type 2									
Type 3 etc.									
Sub-Total (B)									
Sub-Total (A+B)									
C. Normative Loans									
TOTAL (A+B+C)									

Ensuing year (n+3)

Loan Agency (Source of loan)	Rate of interest (%)	Repayment period (Years)	Balance at the beginning of the year	Amount to be received during the year	Principal due during the year	Principal to be redeemed during the year	Principal Overdue at the year end	Principal Due at the year end	Remarks
	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated		
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)=(6)-(7)	(9)=(4)+(5)-(6)	(10)
A. Other than state government									
Loan 1 (Name of lender)									
Loan 2 (Name of lender)									
Loan 3 (Name of lender), etc.									
Sub-Total (A)									
B. Government Loans									
Type 1									
Type 2									
Type 3 etc.									
Sub-Total (B)									
Sub-Total (A+B)									
C. Normative Loans									
TOTAL (A+B+C)									

- Note:** i) In case reschedulement of any loan has been undertaken, the terms of reschedulement to be specified clearly, through an attachment alongwith copy of the letter from the Lender outlining the terms of Re-schedulement.
ii) Any Loan not allocated to any Generating Station and not forming part of Approved Financial Package, to be shown separately, with reasons thereof.
iii) The original Financing Plan and the cumulative repayment as per the Original Financing Plan to be outlined for each Loan.
iv) For current year, Loans already drawn and the loan proposed to be drawn by end of year to be shown separately.
v) Any new loan from existing or new lenders to be identified separately as a Loan.
vi) In case of Foreign Currency Loans, data to be provided in the currency of borrowing alongwith the name of the currency..

Petitioner

Name of Generating Company _____
 Name of Generating Station _____

Form: F- 9.2
Calculation of Weighted Average Interest Rate of Interest on Actual Loans *

(Figures in Rs Crore)

S.No.	Particulars	Previous Year (n-1)	Current Year (n)	Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
				Projected	Projected	Projected
	Loan 1					
	Gross Loan - Opening					
	Cumulative payments of Loan upto Previous Year					
	Net Loan - Opening					
	Add: Drawl(s) during the year					
	Less: Repayment(s) of Loan during the year					
	Net Loan - Closing					
	Average Net Loan					
	Rate of Interest on Loan on Annual Basis					
	Interest on Loan					
	Loan 2					
	Gross Loan - Opening					
	Cumulative payments of Loan upto Previous Year					
	Net Loan - Opening					
	Add: Drawl(s) during the year					
	Less: Repayment(s) of Loan during the year					
	Net Loan - Closing					
	Average Net Loan					
	Rate of Interest on Loan on Annual Basis					
	Interest on Loan					
	Loan n					
	Gross Loan - Opening					
	Cumulative payments of Loan upto Previous Year					
	Net Loan - Opening					
	Add: Drawl(s) during the year					
	Less: Repayment(s) of Loan during the year					
	Net Loan - Closing					
	Average Net Loan					
	Rate of Interest on Loan on Annual Basis					
	Interest on Loan					
	Total Loan					
	Gross Loan - Opening					
	Cumulative payments of Loan upto Previous Year					
	Net Loan - Opening					
	Add: Drawl(s) during the year					
	Less: Repayment(s) of Loan during the year					
	Net Loan - Closing					
	Average Net Loan					
	Rate of Interest on Loan on Annual Basis					
	Interest on Loan					
	Weighted Average Rate of Interest on Loans					

* In case of Foreign Loans, the calculation in Indian Rupee is to be furnished. However, the calculation in Original currency is also to be furnished separately in the same format

Name of Generating Company _____

Name of Generating Station _____

Form: F- 9.3

Calculation of Interest on Normative Loan

(Figures in Rs Crore)

Particulars	Previous Year (n-1)	Current Year (n)	Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
			Projected	Projected	Projected
Gross Normative Loan - Opening					
Cummulative payments of Normative Loan upto Previous Year					
Net Normative Loan - Opening					
Increase or Decrease during the year					
Less: Repayment of Normative Loan during the year					
Net Normative Loan - Closing					
Average Normative Loan					
Weighted Average Rate of Interest on Actual Loan on Annual Basis					
Interest on Normative Loan					

Petitioner

Name of Generating Company _____

Name of Generating Station _____

Form: F- 10

Details of Interest on Working Capital

(Figures in Rs Crore)

Sl.No.	Particulars	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
		Actual / Audited	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Estimated	Estimated	Estimated	
1	O & M expenses - 1 month								
2	Spares (15% of O&M Expenses)								
3	Receivables- 2 months								
4	Total Working Capital (1+2+3)								
5	Normative Interest Rate (%)								
6	Normative Interest on Working Capital (4 X 5)								

Petitioner

Name of Generating Company _____

Name of Generating Station _____

Form: F- 11
Details of Operation & Maintenance Expenses

(Figures in Rs Crore)

S. No.	Particulars	Previous Year (n-6)	Previous Year (n-5)	Previous Year (n-4)	Previous Year (n-3)	Previous Year (n-2)	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
		Actual / Audited	Actual / Audited	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Estimated	Estimated						
a)	Repair and Maintenance Expenses													
	Plants and Machinery													
	Buildings													
	Civil Works													
	Hydraulic Works													
	Lines, Cables Net Works etc.													
	Vehicles													
	Furnitures and Fixtures													
	Office Equipment & Other Items													
	Consumption of Stores and Spares													
	- Related to Generator, turbines and Accessories													
	- Related to Auxiliary Electrical Equipment													
	- Related to Auxiliary Equipment & Services for Power Station													
	Sub-Total													
b)	Administrative Expenses													
	- Insurance													
	- Rent													
	- Electricity Charges													
	- Travelling and conveyance													
	- Staff Car													
	- Telephone, telex and postage													
	- Advertising													
	- Entertainment													
	- Corporate mgmt expenses													
	- Legal Expenses													
	- Others (specify elements)													
	Sub-Total													
c)	Employee Cost													
	- Basic Salaries													
	- Dearness Allowance													
	- Other Allowances													
	- Bonus													
	- Staff welfare expenses													
	- Medical Allowances													
	- Others Expenses (specify elements)													
	- Terminal Benefits													
	Sub-Total													
d)	Corporate Office expenses Allocated													
	- Employee expenses													
	- Repair and maintenance													
	- Training and Recruitment													
	- Communication													
	- Travelling													
	- Security													
	- Rent													
	- Others (specify elements)													
	Sub-Total													
	Total O&M Expenses													
	Less: O&M Expenses Capitalised													
	Net O&M Expenses													

Notes 1 : (i) The process of allocation of corporate expenses to generating stations should be specified.
(ii) The data should be certified by statutory auditors.

Petitioner

Name of Generating Company _____
 Name of Generating Station _____

Form: F-11.1
Details of Repair & Maintenance Expenditure

(Figures in Rs Crore)

S.No.	Particulars	Previous Year (n-6)	Previous Year (n-5)	Previous Year (n-4)	Previous Year (n-3)	Previous Year (n-2)	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
		(Actuals / Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected						
1	Plant and Machinery													
2	Building													
3	Civil Works													
4	Hydraulic Works													
5	Lines, Cables Net Works etc.													
6	Vehicles													
7	Furniture and Fixtures													
8	Office Equipments													
9	Station Supplies, Stores and Consumables													
	- Related to Generator, turbines and Accessories													
	Equipment													
	- Related to Auxiliary Equipment & Services for Power Station													
11	Any other items													
12	Less: Capitalisation													
	Total													

Petitioner

Name of Generating Company _____
 Name of Generating Station _____

Form: F-11.2
Details of Employee Expenses

(Figures in Rs Crore)

S.No	Particulars	Previous Year (n-6)	Previous Year (n-5)	Previous Year (n-4)	Previous Year (n-3)	Previous Year (n-2)	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
		(Actuals/ Audited)	(Actuals/ Audited)	(Actuals/ Audited)	(Actuals/ Audited)	(Actuals/ Audited)	(Actuals/ Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected	
A	Employee's Cost (Other Than Covered in 'C&D')													
1	Salaries													
2	Additional Pay / Dearness Allowance (DA)													
3	Other Allowances & Relief													
4	Interim Relief / Wage Revision													
5	Honorarium/Overtime													
6	Statutory bonus/ Ex-gratia													
	Sub Total													
B	Other Costs													
1	Medical Expenses Reimbursement													
2	Travelling Allowance(Conveyance Allowance)													
3	Leave Travel Assistance													
4	Earned Leave Encashment													
5	Payment Under Workman's Compensation And Gratuity													
6	Subsidised Electricity To Employees													
7	Any Other Item													
8	Staff Welfare Expenses													
	Sub Total													
C	Apprentice And Other Training Expenses													
D	Contribution To Terminal Benefits													
1	Provident Fund Contribution													
2	Provision for PF Fund													
3	Any Other Items													
	Total C													
E	Grand Total													
F	Employee expenses capitalised													
G	Net Employee expenses (E)-(F)													

B. Details of number of employees

S. No.	Item	Previous Year (n-6)	Previous Year (n-5)	Previous Year (n-4)	Previous Year (n-3)	Previous Year (n-2)	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
		(Actuals/ Audited)	(Actuals/ Audited)	(Actuals/ Audited)	(Actuals/ Audited)	(Actuals/ Audited)	(Actuals/ Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected	
A	Officer/Managerial Cadre													
1	Technical													
2	Administrative													
3	Accounts and finance													
4	Other (Please specify)													
B	Staff Cadre													
5	Technical													
5.1	Grade I													
5.2	Grade II													
5.3	Grade III													
5.4	Grade IV													
6	Administrative													
6.1	Grade I													
6.2	Grade II													
6.3	Grade III													
6.4	Grade IV													
7	Accounts and finance													
7.1	Grade I													
7.2	Grade II													
7.3	Grade III													
7.4	Grade IV													
8	Others (please specify)													
8.1	Grade I													
8.2	Grade II													
8.3	Grade III													
8.4	Grade IV													
	Total Employees													

Name of Generating Company _____
 Name of Generating Station _____

Form: F- 11.3
Details of Administration & General Expenses

(Figures in Rs Crore)

S.No	Particulars	Previous Year (n-6)	Previous Year (n-5)	Previous Year (n-4)	Previous Year (n-3)	Previous Year (n-2)	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
		(Actuals / Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected						
A	Administrative Expenses													
1	Rent rates and taxes													
	Lease / Rent													
	Rates & Taxes													
2	Insurance													
3	Revenue Stamp Expenses Account													
4	Telephone, Postage, Telegram & Telex Charges													
5	Incentive & Award To Employees/Outsiders													
6	Consultancy Charges													
7	Technical Fees													
8	Other Professional Charges													
9	Conveyance And Travelling													
10	License And Registration Fee Of													
	Plant And Machinery													
	Vehicles													
11	Vehicle Expenses (Other Than Trucks And Delivery Vans)													
	Vehicles Running Expenses Petrol And Oil													
	Hiring Of Vehicles													
12	Security / Service Charges Paid To Outside Agencies													
	Sub-Total 'A' (1 To 12)													
B	Other Charges													
1	Fee And Subscriptions Books And Periodicals													
2	Printing And Stationery													
3	Advertisement Expenses (Other Than Purchase Related) Exhibition & Demo.													
4	Contributions/Donations To Outside Institutes / Associations													
5	Electricity Charges To Offices													
6	Water Charges													
7	Entertainment Charges													
8	Miscellaneous Expenses													
	Sub-Total 'B' (1 To 8)													
C	Legal Charges													
D	Auditor's Fee													
E	Material Related Expenses													
1	Freight On Capital Equipments													
2	Purchase Related Advertisement Expenses													
3	Vehicle running and hiring expenses (Truck/ Delivery Van)													
4	Other Freight													
5	Transit Insurance													
6	Octroi													
7	Incidental Stores Expenses													
8	Fabrication Charges													
	Sub Total 'E' (1 To 8)													
F	Grand Total (A To E)													
G	A&G expenses capitalised													
H	Net A&G Expenses (F - G)													

Name of Generating Company

Name of Generating Station

Form: F-12

Non Tariff Income

(Figures in Rs Crore)

Sl. No.	Description	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
		(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected
A	Income from Investment, Fixed & Call Deposits							
1	Interest Income from Investments							
2	Interest on fixed deposits							
3	Interest from Banks other than Fixed Deposits							
4	Interest on (any other items)							
	Sub-Total							
B	Other Non Tariff Income							
1	Interest on loans and Advances to staff							
2	Interest on Loans and Advances to Licensee							
3	Interest on Loans and Advances to Lessors							
4	Interest on Advances to Suppliers / Contractors							
5	Income from Trading (other than Electricity)							
6	Gain on Sale of Fixed Assets							
7	Income/Fee/Collection against staff welfare activities							
8	Miscellaneous receipts							
9	Delayed payment charges from beneficiary							
10	Net profit from U I charges							
12	Penalty for contractor / supplier for delay etc.							
13	Misc. charges from beneficiary							
	Sub-Total							
	Total							

Petitioner

Name of Generating Company _____

Name of Generating Station _____

Form: F- 13

Summary of Truing Up

Previous Year (n-1) for Final Truing Up

(Figures in Rs Crore)

S. No.	Particulars	Approved	Actual	Deviation	Reason for Deviation	Controllable	Uncontrollable
A.	Net Annual Fixed Charges						
1	Interest on Loan (Including Interest on Normative Loans)						
2	Depreciation						
3	Lease Charge						
4	Return on Equity						
5	O&M Expenses						
6	Interest on Working Capital						
7	Income Tax						
8	Gross Annual Fixed Charges (1+2+3+4+5+6+7)						
9	Less; Other Income (provide details)						
10	Net Annual Fixed Charges (8-9)						
B.	Revenue from Sale of Power						
C	Surplus/(Gap)						

Note: Please give detailed explanation separately for the deviations on account of uncontrollable factors

Current Year (n) for Annual Performance Review

(Rs. Crore)

S. No.	Particulars	Approved	Revised Estiamtes based on Half Year Acutal Performance	Deviation	Reason for Deviation	Controllable	Uncontrollable
A.	Net Annual Fixed Charges						
1	Interest on Loan (Including Interest on Normative Loans)						
2	Depreciation						
3	Lease Charge						
4	Return on Equity						
5	O&M Expenses						
6	Interest on Working Capital						
7	Income Tax						
8	Gross Annual Fixed Charges (1+2+3+4+5+6+7)						
9	Less; Other Income (provide details)						
10	Net Annual Fixed Charges (8-9)						
B.	Revenue from Sale of Power						
C	Surplus/(Gap)						

Note: Please give detailed explanation separately for the deviations on account of uncontrollable factors

Petitioner

Name of Generating Company _____

Name of Generating Station _____

Form: F-14

Statement showing Return on Equity:

(Figures in Rs Crore)

Sl.No.	Particulars	2014-15	2015-16	2016-17	2017-18
(1)	(2)	(3)	(4)	(5)	(6)
	Opening Equity				
	Add: Increase due to addition during the year / period				
	Less: Decrease due to de-capitalisation during the year / period				
	Less: Decrease due to reversal during the year / period				
	Add: Increase due to discharges during the year / period				
	Closing Equity				
	Average Equity				
	Rate of ROE				
	Return on Equity				

Petitioner

Name of Generating Company _____

Name of Generating Station _____

Form: F-14A

Return on Equity

(Figures in Rs Crore)

S.No.	Item	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
		(Actuals / Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected	
1	Equity at the beginning of the year								
2	Capital Expenditure								
3	Equity portion of capital expenditure								
4	Equity at the end of the year								
	Return Computation								
5	Return on Equity on Opening Balance of Equity								

Petitioner