

## Appendix1

**Uttarakhand Electricity Regulatory Commission  
(Release of new LT Connections, Enhancement and Reduction of Loads)  
Regulations, 2007**

**Notification**

February 26, 2007

In exercise of powers conferred under Section 181 read with section 43 and Section 57 of the Electricity Act 2003, and all powers enabling it in that behalf, Uttarakhand Electricity Regulatory Commission hereby makes the following Regulations:

**1. Short title, Commencement and Application:**

- (1) These Regulations may be called the Uttarakhand Electricity Regulatory Commission (Release of new LT Connections, Enhancement and Reduction of Loads) Regulations, 2007.
- (2) These Regulations shall come into force on the date of the publication in the official Gazette.
- (3) These Regulations extend to the whole State of Uttarakhand.
- (4) These regulations will apply to only LT connections and will cover grant of new connections and of increase or decrease of loads already sanctioned.

**2. Definitions**

In these Regulations, unless the context otherwise requires:

- (1) "Developer" means a person or company or organization or authority that undertakes development of an area for residential, commercial or industrial use and includes development agencies (like MDDA etc.), colonizers, builders, cooperative group housing societies, associations etc.
- (2) "Electrified Area" would mean areas falling under all municipal corporations, municipalities, municipal councils, town areas, notified areas and other municipal bodies and in villages declared electrified by the licensee/state government.
- (3) Left out Pockets: would mean any area within an Electrified Area:
  - (a) where the licensee has not laid any distribution mains and the nearest existing distribution mains are at a distance of 201 meters or more.
  - (b) a residential or commercial colony/complex developed or being developed by any developer, in which distribution mains within such colony/complex have not been laid at all or do not have the capacity required to meet the likely load of such colony/complex or is of such sub-standard quality that it does not conform to the safety norms stipulated in the Indian Electricity Rules 1956 endangering life and property.

- (1) "Outstanding dues" means all dues pending on said premises at the time of disconnection plus late payment surcharge subject to section 56(2) of the Electricity Act, 2003.
- (2) "Rules" mean the Indian Electricity Rules 1956 or their successor rules framed under Section 53 of the Electricity Act, 2003.
- (3) All words and expressions used and not defined in these regulations but defined in the Electricity Act, 2003 shall have the meaning assigned to them in the said Act.

## 2. Conditions for grant of connections

- (1) The Licensee shall prominently display on its website and in all its offices details of places where applications for new connection are accepted on its behalf, the detailed procedure for grant of a new connection and the complete list of documents required to be furnished along with such applications. Normally no document, which has not been so listed, will be required and shall be asked for. Security amount and cost of service line to be deposited by the applicant in accordance with Table 1 given in regulation 5(10) of these Regulations shall also be prominently displayed.
- (2) Where the applicant has purchased an existing property whose electricity connection has been disconnected, it shall be the duty of the applicant to verify that the previous owner has paid all dues to the Licensee and has obtained a "no-dues certificate" from him. In case such "no-dues certificate" has not been obtained by the previous owner, before purchase of property the new owner may approach the concerned officer of the Licensee for a such certificate. The licensee shall acknowledge the receipt of such request and shall either intimate in writing the dues outstanding on the premises, if any, or issue the "no-dues certificate" within one month from the date of receipt of such application. In case the Licensee does not intimate the outstanding dues or issue the "no-dues certificate" within this time, new connection on the premises shall not be denied on ground of outstanding dues of the previous consumer. In such an event, the licensee shall have to recover his dues from previous consumer as per provisions of law.
- (3) Where a property has been legitimately sub-divided, the outstanding dues for the consumption of energy on such undivided property, if any, shall be divided on pro-rata basis based on area of such sub-divided property.
- (4) A new connection to any portion of such sub-divided premises shall be given only after the share of outstanding dues attributed to such legitimately sub-divided premises is duly paid by the applicant. A Licensee shall not refuse connection to an applicant only on the ground that dues on the other portion(s) of such premises have not been paid, nor shall the Licensee demand, record of last paid bills of other portion(s) from such applicants.
- (1) In case of demolition & reconstruction of the entire premises or the building, the existing installation shall be surrendered and agreement terminated. Meter and service line will be removed, and a new connection shall be taken for the reconstructed building, after clearing all dues on the old premises. Temporary power supply from the existing connection shall not be allowed for construction purpose in such cases.

- (2) A connection will be given to a new consumer only with a correct energy meter as provided in the Central Electricity Authority (Installation & Operation of Meters) Regulations, 2006 and the same shall be installed as prescribed in the said regulations.

## 2. Application for new connection

Any application for release of a new connection shall be submitted along with documents listed below and shall be processed by the licensee as given hereafter:

- (1) A prospective consumer desirous of obtaining a new electric connection shall make an application to the licensee for this purpose on the prescribed application form given in Annexure 1.
- (2) Prescribed application forms can be obtained free of cost from licensee's sub-divisional office or any other office or the same can be download from the official website of the licensee viz, [www.uttaranchalpower.com](http://www.uttaranchalpower.com) and [www.upcl.org](http://www.upcl.org) or even photocopied.
- (3) The documents required to be submitted along with the application form are given below:

### [a] Proof of Ownership or Occupancy

The applicant shall submit any one of the following documents as proof of ownership or occupancy over premises for which the connection is required -

- (i) Copy of sale deed or lease deed or copy of the khasra or khatauni or
- (ii) Registered General Power of attorney or
- (iii) Municipal tax receipt or Demand notice or any other related document or
- (iv) Letter of allotment.
- (v) An applicant who is not an owner but an occupier of the premises shall along with any one of the documents listed at (i) to (iv) above also furnish a no objection certificate from owner of the premises.

### [b] Identity Proof

- If the applicant is an individual, copy of any of following documents shall be furnished as identity proof
  - (i) Electoral identity card or
  - (ii) Passport or
  - (iii) Driving license or
  - (iv) Photo ration card or
  - (v) Photo identity card issued by Government Agency or
  - (vi) Certificate from village Pradhan or any village level Govt. functionary like Patawari/Lekhpal/village level worker/village chowkidar/Primary school teacher/in-charge of primary health centre etc.

- If the applicant is a company, trust, school/college, government department etc, application shall be signed by competent authority, like Branch Manager, Principal, Executive Engineer along with relevant resolution/authority letter of the institution concerned etc.

#### [c] Undertaking

An undertaking in the format given in Annexure 1.1 certifying that the wiring and other electrical works in the premises has been done in accordance with the provisions of the applicable Act / rules & regulations.

- (2) On receipt of duly filled application form from the applicant, the authorized officer of the licensee shall check the application form and deficiencies, if any, observed in the application shall be got rectified from the applicant immediately.
- (3) No application for new connection shall be returned by the licensee for reasons such as "technically not feasible" or due to any material constraint.

#### 2. Processing of an application by the licensee

- (1) On receipt of an application form, the licensee shall issue dated acknowledgement of the same.
- (2) The licensee shall inspect and test the applicant's installation, as required of him under Rule 47 of IE Rule 1956, in the presence of the applicant or his representative within 5 days from the date of receipt of the application. Testing of installation shall be done as per procedure laid down in Rule 48 of IE Rules 1956 and the inspecting officer shall maintain a record of test results obtained in the form given at Annexure 1.2 as required of him under Rule 47 of IE Rule 1956.
- (1) If upon inspection, the Licensee finds any defect, like the installation having not been completed or bare ends of conductor or joints having not been properly covered with insulating tape or that the wiring is of such nature that it is dangerous to life /property etc., he shall intimate the same to the applicant on the spot under proper receipt in the form given at Annexure 1.2
- (2) Licensee shall also record correct and full address of the premises, if not provided in the application, along with land mark near the property and also pole number from where service connection is proposed to be given. This information is necessary for future meter reading and billing.
- (3) The applicant shall get all the defects removed within 15 days and inform the licensee in writing under acknowledgement. In case applicant fails to remove such defects or fails to inform the licensee about removal of the defects, the application shall stand lapsed and applicant will have to apply afresh.
- (4) Upon receipt of information from applicant about removal of defects, the Licensee shall re-inspect and test the installations within 5 days from receipt of such information and if the defects pointed out earlier are found to persist, the licensee shall again record the same in the

form given at Annexure 1.2 and hand over a copy of the same to applicant or his representative available on site. The application shall then stand lapsed and applicant shall be informed accordingly in writing under acknowledgement. If the applicant feels aggrieved by this action of the licensee, he may appeal to the Electrical Inspector, whose verdict in the matter will be final and binding.

- (5) Licensee shall also ascertain whether any dues are outstanding on the premises, and if so, the licensee shall issue a demand note within 5 days from date of application giving full details of such outstanding amount. The applicant shall be required to deposit outstanding dues within 15 days failing which his application shall lapse and the applicant shall be informed accordingly in writing under acknowledgement.
- (6) If on inspection the deficiencies are found to have been removed and there are no outstanding dues or the same have been cleared, the Licensee shall sanction the load determined as per predefined unit norms, as may be approved by the Commission or the load applied for, which ever is higher and intimate the same to the applicant in writing within 5 days.
- (7) In case applicant does not receive any deficiency note or demand note for the outstanding dues within 5 days from the date of application, the load applied for shall be deemed to have been sanctioned and licensee shall not deny grant of the connection on these grounds.
- (8) Within 5 days from sanction of the load, the applicant shall deposit in cash or through demand draft the prescribed charges given in Table 1 below:

**Table 1: Service Line Charge and Initial Security**

Sl. No	Contracted Load (kW)	Service line charge (Rs.)		Initial Security (Rs./kW)			
		Over-head	Under-ground	Domestic	Non-Domestic	Industrial	PTW
1.	BPL/Life line (If not covered under Kutir Jyoti or similar scheme of Central/state Government)	100	NA	100	NA	NA	NA
2.	Less than or equal to 4kW	400	800	400	1000	1000	100
3.	More than 4 kW and equal to 10kW	1000	2000				
4.	More than 10kW and equal to 20kW	2000	4000				
5.	More than 20kW and equal to 50kW	5000	10000				
6.	More than 50kW and equal to 75kW	7500	15000				

- (i) The above Service line charges are irrespective of length of the service line actually required.
- (ii) Charges for the under ground service line include all cost of miscellaneous materials such as GI pipe, bricks, sand, labour etc.

- (iii) Licensee shall review and re-determine the Security Deposit of all existing consumers on 1st of April of every year based on actual consumption recorded during last 12 months. (Bills raised on normative consumption (NR/NA/IDF/ADF/RDF basis shall not be considered for working out required Security deposit.) The security required of any consumer shall be equal to the charges payable for the average consumption in 2 months. In case security deposit with the licensee falls short of the required amount as calculated above, the licensee shall raise the bill for such additional security in the next billing cycle. In case the security deposit with the licensee is more than the required amount, the excess security so deposited shall be adjusted in next bill.
- (iv) Interest on this amount will be payable as may be directed by the Commission from time to time.
- (2) The Licensee shall be under obligation to energise the connection through a correct meter within in 30 days from the:
- date of application if no defects or outstanding dues are found.
  - date of intimation of removal of defects or liquidation of outstanding dues which ever is later.
- If the Licensee fails to provide connection to an applicant within the period specified above, he shall be liable to pay penalty @ Rs.10 per Rs 1000 (or part thereof) of the amount deposited by the applicant subject to a maximum of Rs. 1000 for each day of default.
  - Licensee shall submit to the Commission monthly division-wise report containing details of number of connections that were not energised within specified period and shall also deposit with it the penalty accrued on account of such defaults.
  - In case his connection has not been energized as per these regulations, an applicant may lodge a complaint about it with the Commission giving full details such as date of application, date of inspection by licensee etc.

## 2. New Connections in a Left out Pocket

- If a new connection is required in a Left out Pocket which requires the licensee to extend its distribution mains or to lay new distribution mains or to commission a new sub-station, then the licensee shall inform such applicant the time required to give the supply and the same shall not exceed:
  - 60 days, if only extension of distribution mains is required.
  - 90 days, if commissioning of a new sub-station is also required.
  - 180 days, if commissioning of new 33/11 kV sub-station is required.

- (2) In cases listed above, the applicants will be required to deposit, in addition to charges specified above in Table 1 above, one time Development Charges given in the Table 2 below:

**Table 2: Development Charges**

S. No.	Contracted Load (kW)	Charges (Rs.)
1	Less than or equal to 4 kW	4000
2.	More than 4kW and equal 10kW	10000
3.	More than 10kW and equal to 20kW	20000
4.	More than 20kW and equal to 50kW	50000
5.	More than 50kW and equal to 75kW	75000

- (1) Any applicant seeking a new connection in any left out pocket, within a period of five years from date of release of first connection in that area, shall also pay one time Development Charges listed above. These dates shall be displayed prominently in the places referred to in regulation 3(1) above. An applicant seeking enhancement in his sanctioned load in any such left out pocket shall pay additional Development Charges calculated after taking into account such charges already paid while seeking the original load.
- (2) Development Charges may be paid to the licensee in lump-sum by the developer on behalf of consumers of his area in such manner as may be agreed to between the developer and said consumers, or may be paid to the licensee directly by each individual consumer of the area while seeking connections for their premises.
2. Apart from the charges prescribed in the Table 1 & 2 above, no other charges such as cost of meter, extra cable, processing fees etc. shall be payable by the applicant of a new connection.
3. Procedure for Enhancement / Reduction in Sanctioned Load
- (1) Consumers can enhance or reduce their contracted load anytime once in a financial year.
- (2) For this the consumer will apply to the licensee in the form given at Annexure 2 and available free of cost at licensee's sub-division offices. These forms can also download from the licensee's website.
- (3) A written and dated acknowledgement shall be given to the applicant for receipt of his application.
- (4) A consumer seeking enhancement in load shall pay security and if the service line is required to be changed by a higher capacity line, then also the service line charges as per Table 1 above. Security amount already paid towards the existing load shall be duly adjusted.
- (5) If the reduction in load, sought by the consumer involves change of the existing service line, meter etc, then the consumer shall also pay to the licensee the service line charge as per Table 1 above, and the difference between security deposit required for the reduced load and that already deposited shall be adjusted in the bills within the next two billing cycles.

- (6) While considering the request for load reduction, the licensee shall first verify the said consumer's actual consumption profile. In case the actual consumption pattern indicates that the load actually utilized in the past is higher than that being demanded, reduction so sought shall not be permitted and the applicant shall be informed accordingly. Example:

**For installations where Electronic meter with MDI has been installed:**

<i>Load Category</i>	<i>Industrial</i>
<i>Sanctioned Load</i>	50 kVA
<i>Load Reduction Requested</i>	35 kVA
<i>Max. demand during last 12 months</i>	40 kVA

Since Max Demand during last 12 months as indicated by MDI was higher than requested reduced load, request not to be acceded to.

**For Installations where meters do not have MDI**

<i>Load category</i>	<i>Domestic</i>
<i>Sanctioned load</i>	7 kW
<i>Load reduction requested</i>	4kW
<i>Max. Monthly consumption during last 12 months</i>	600 kWh
<i>Normative consumption for domestic category*</i>	100 kWh/kW
<i>Load calculated on normative consumption</i>	$600/100 = 6 \text{ kW}$

\* Normative consumption as indicated for provisional billing in the tariff order

Since average load during last 12 months was higher than requested reduced load, request for load reduction not to be acceded.

- (1) The sanctioned load shall be enhanced/ reduced within 30 days after receipt of the application requiring such enhancement/ reduction. In case the enhancement/reduction in load is not affected within the specified period, a penalty of Rs. 500 shall be payable by licensee.



## Annexure 1 of Appendix 1

## Application Form for New Connection

For office use only

Name of Division			
Name of Sub-division			
Application Number			
Date of receipt			

  

1	Applicant's Name (In Capital letters)		
2	Address at which supply is required	House/Plot	
		Street	
		Colony / Area	
		District	
Telephone No. (if any)		Mobile (if any)	
If applicant is a company or organization or association			
3	Permanent Address	House/Plot	
		Street	
		Colony / Area	
		District	
Telephone No. (if any)		Mobile (if any)	
If applicant is tenant or occupier			
4	Address of Owner of property	House/Plot	
		Street	
		Colony / Area	
		District	
Telephone No. (if any)		Mobile (if any)	
5	Load applied for (in kW)		
6	Plot Size and Built up area (Sq Meters) (for Domestic & non-domestic connection only)		
7	Intended Usage	<b>Tick applicable:</b> a. Domestic b. Non-Domestic c. Industrial d. Private Tube Well	
8	If any electricity connection is existing at the premises		Yes/No
9	If yes give the following details:		
	a) Service connection number		
	b) Book number		
11.	Nearest landmark Pole No./Feeder pillar No./Nearest house number ( to be filled by the licensee)		
12	List of Document attached	1	Identity/Address Proof (copy of anyone of the following), Tick any one: a. Electoral Identity Card b. Passport c. Driving License d. Photo Ration Card e. Photo identity card issued by Government Agent f. Certificate from village Pradhan Pradhar functionary like Patawari/Lekhpa <sup>1</sup> chowkidar/Primary school teacher centre etc

1	2	Proof of Ownership/Occupancy (copy of anyone of the following), Tick any one: a. Copy of sale deed or lease deed or copy of the khasra or khatauni or b. Registered General Power of attorney or c. Municipal tax receipt or Demand notice or any other related document or d. Letter of allotment. e. An applicant who is not an owner but occupier of the premises shall along with any of the documents listed in (a) to (d) above also furnish a no objection certificate from owner of premises.
	3	Declaration by applicant in the prescribed format

Date :

Signature

**Acknowledgement****Received application for new connection for electricity as per details given below:**

- 1) Name of applicant \_\_\_\_\_
- 2) Address where  
Connection is required \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
- 3) Load Applied for \_\_\_\_\_

**Rubber Stamp**

Signature of UPCL representative

Name and Designation

## Annexure 1.1 of Appendix 1

## DECLARATION /UNDERTAKING

I, \_\_\_\_\_ son of \_\_\_\_\_ Resident of \_\_\_\_\_ (hereinafter referred as "Applicant", which term shall mean and include executors, administrators, heirs, successors and assigns), do hereby swear and declare as under:

Or

The \_\_\_\_\_, a company incorporated under the provisions of the Companies Act, 1956 having its registered office at \_\_\_\_\_ (hereinafter referred as "Applicant", which expression shall unless repugnant to the context or meaning thereof, include its successors and assigns), do hereby swear and declare as under:

THAT the Applicant is a lawful occupant of the premises at \_\_\_\_\_ in support of which the Applicant has enclosed a proof of occupancy.

THAT the Applicant has requested UPCL to provide a service connection at the above-mentioned premises in the Applicants name for the purpose mentioned in the application form.

THAT in furnishing the Declaration, the Applicant has clearly understood that should the above statements prove to be false or incorrect at any later stage, the UPCL shall have every right to disconnect supply to the Applicant without any notice and above right to adjust dues against Consumer Security Deposit.

THAT the Applicant hereby agrees and undertakes:

1. To indemnify UPCL against all proceedings, claims, demands, costs, damages, expenses that UPCL may incur by reason of a fresh service connection given to the Applicant.
2. That all the Electrical Works done within the premises are as per Indian Electricity Rules and has been carried out by a licensed electrical contractor (In case applicant is owner and wiring in the premises is new)

Or

- That all the Electrical Work done within the premises are as per Indian Electricity Rules to the best of our knowledge (where application is for reconnection or applicant is occupier of the premises)
3. UPCL is indemnify against any loss accrued to the applicant on this account. Further, Applicant agrees that if there is any harm/loss to the property of UPCL due to the fault in Electrical work within the premises of Applicant, all the liabilities shall be borne by the Applicant.
4. To pay the Electricity consumption bills and all other charges at the rates setout in UPCL's Tariff Schedule and Miscellaneous charges for supply as may be in force from time to time, regularly as and when the same becomes due for payment.
5. To deposit the additional Consumption deposit as revised by UPCL from time to time based on the consumption of the Applicant in preceding year.
6. To abide by the provisions of the Electricity Act, 2003, Electricity Supply Code, tariff orders and any other rules or regulations notified by UERC, as applicable from time to time.
7. That UPCL shall be at liberty to adjust the electricity consumption charges along with any other charges against the Consumer Security Deposit paid by the Applicant, in the event of termination of the agreement prior to expiry of the contracted period or in case of nay contractual default.

1. To be responsible for safe custody of Meters, CTs, Cables etc. provided by UPCL and in case, there is any damage to equipment due to the reasons attributable to Applicant the same shall be chargeable to the Applicant. Further, all repercussions on account of breakage of seals of meters etc. or Direct/Dishonest Abstraction of energy shall be to the account of Applicant, as per the existing laws.
2. To allow clear and un-encumbered access to the meters for the purpose of meter reading and its checking etc.
3. That the Applicant would let UPCL disconnect the Service connection under reference, in the event of any default, non-compliance of statutory provisions and in the event of a legally binding directive by Statutory Authority(s) to effect such an order. This shall be without prejudice to any other rights of UPCL including that of getting its payment as on the date of disconnection.
4. That UPCL shall not be held responsible for any interruption or diminution of supply of Electricity.
5. All the above declaration given by the Applicant shall be construed to an Agreement between UPCL and the Applicant.

Signature of the Applicant

Name of the applicant

SIGNED AND DELIVERED  
In presence of witness

Name of Witness

**Annexure 1.2 of Appendix 1****Test Result Report**

(refer rule 47 &amp; 48 of IE Rules 1956)

(To be filled by representative of the licensee)

Result of Insulation Resistance (to be measured on applying a pressure of 500 volts for one minute between phase conductor and earth) -

Phase-1 & EarthPhase-2 & EarthPhase-3 & Earth

(i) Between Phase and Earth

Caution: Insulation Resistance between phase and neutral or between phases shall not be measured when any of consumer's appliances, such as fans, tubes, bulbs, etc. is in circuit as results of such test would give resistance of appliance and not the insulation resistance of installation.

Certified that an Earth Terminal as required under Rule 33 of IE Rules 1956 has been provided by UPCL and this terminal has been connected with UPCL's earthing system.

Following deficiencies have been found in your Electrical installation. You are requested to remove them within 15 days i.e. by \_\_\_\_\_ and inform UPCL failing which your request for new connection would lapse:

- 1- \_\_\_\_\_
- 2- \_\_\_\_\_
- 3- \_\_\_\_\_
- 4- \_\_\_\_\_

Date: \_\_\_\_\_

Signature of licensee representative

Name and Designation

(To be filled by applicant)

The testing of the premises has been carried out by licensee in my presence and

\*I am satisfied with the testing

\*I am not satisfied with the testing and may file an appeal with Electrical Inspector

It is also certified that UPCL has\*/has not\* provided an Earth Terminal as per Rule 33 of IE Rules 1956 at the premises and this earth terminal has\*/has not\* been connected to UPCL's earthing system.

Date \_\_\_\_\_

Signature of applicant

\* Strike out which is not applicable

