

209

2

उत्तराखण्ड असाधारण गजट, 04 अप्रैल, 2018 ई0 (चैत्र 14, 1940 शक सम्वत्)

परिभाषा में 2 उत्तराखण्ड निक्षेपक जमाकर्ता हित संरक्षण (वित्तीय अधिष्ठानों में) नियमावली 2015 (जिसे यहाँ आगे मूल नियमावली कहा गया है) के वर्तमान नियम 2 के खण्ड(ज) के पश्चात् खण्ड (झ) अन्तः स्थापित कर दिया जायेगा, अर्थात:-

2(झ) "पुलिस अधीक्षक" से सरकार द्वारा नियुक्त जिले का पुलिस अधीक्षक या वरिष्ठ पुलिस अधीक्षक अभिप्रेत है;

नियम 11 का 3. अन्तःस्थापन

मूल नियमावली के नियम 10 के पश्चात् एक नया नियम 11 निम्नवत् अन्तःस्थापित कर दिया जायेगा, अर्थात-

वित्तीय अधिष्ठानों द्वारा रिपोर्ट एवं रिटर्न दाखिल करना

11 (1) प्रत्येक वित्तीय अधिष्ठान प्रपत्र-1 में वांछित सूचनाओं की रिपोर्ट सम्बन्धित जिलाधिकारी एवं पुलिस अधीक्षक को, जिसके अधिकार क्षेत्र में वित्तीय अधिष्ठान ने अपना व्यवसाय शुरू किया था या अपनाया था, दाखिल करेगा।

(क) वित्तीय अधिष्ठान का यह दायित्व होगा कि प्रपत्र-1 में प्रस्तुत सूचना के सापेक्ष किसी भी परिवर्तन की रिपोर्ट परिवर्तन किये जाने के 07 दिन के भीतर सम्बन्धित जिलाधिकारी एवं पुलिस अधीक्षक को देनी होगी।

(2) प्रत्येक वित्तीय अधिष्ठान वित्तीय वर्ष की प्रत्येक तिमाही की समाप्ति के एक माह के भीतर प्रपत्र-2 में अपने व्यवसाय के सम्बन्ध में वांछित सूचना, जिसमें उसकी वित्तीय स्थिति, इसके द्वारा जमा एकत्र किये जाने का क्षेत्र तथा इसके द्वारा राज्य के भीतर और बाहर धन के निवेश किये जाने की स्थिति में निवेश के स्थान की सूचना प्रत्येक तिमाही आधार पर सम्बन्धित जिलाधिकारी और पुलिस अधीक्षक, जिसके अधिकार क्षेत्र में व्यवसाय का कार्यक्षेत्र स्थित है, को प्रस्तुत किया जायेगा तथा यदि उसके व्यवसाय का कार्यक्षेत्र राज्य के एक से अधिक स्थानों पर स्थित हो, तो जिस जिलाधिकारी एवं पुलिस अधीक्षक के अधिकार क्षेत्र में उसके द्वारा घोषित मुख्य शाखा कार्यालय स्थित हो, को प्रस्तुत करेगा।

आज्ञा से,

अमित सिंह नेगी,
सचिव।

In pursuance of the provisions of Clause (3) of Article 348 of "the Constitution of India", the Governor is pleased to order the publication of the following English translation of Notification No.541/01(140)/XXVII(1)/2018, dated Dehradun, April 04, 2018 for general information:

No. 541/01(140)/XXVII(1)/2018

Dated Dehradun, April 04, 2018

NOTIFICATION

In exercise of the powers conferred by Section 20 of The Uttarakhand Protection of Interests of Depositors (In Financial Establishment) Act, 2005, the Governor is pleased to make the following rules by partially amending The Uttarakhand Protection of Interests of Depositors (In Financial Establishment) Rules, 2015:-



The Uttarakhand Protection of Interests of Depositors (In Financial Establishment) (Amendment) Rules, 2018

Short title and commencement 1. (1) These rules may be called The Uttarakhand Protection of Interests of Depositors (In Financial Establishment) (Amendment) Rules, 2018

(2) It shall come in to force at once.

Insertion in Definition 2. In the Uttarakhand protection of Interests of Depositors (In Financial establishment) Rules 2015 (here in after referred as principal rules), Clause (h) shall be inserted after clause (g), namely:-

2(h) "Superintendent of Police" means Superintendent of Police or senior Superintendent of Police of a district appointed by the Government.

Insertion of Rule-11 3. In the Principal rules after rule-10, a new rule-11 shall be inserted as follows ; namely:-

Filing of Report and Return by the financial Establishment 11. (1) Every Financial Establishment shall submit a Report in Form-I containing such particulars as required therein to the Collector and Superintendent of Police in whose jurisdiction the Financial Establishment commenced or carried its business.

(a) It shall be the duty of every Financial Establishment to report to the Collector and Superintendent of Police, in case of any change in respect of any particulars furnished in Form-I, within seven days after the change has taken place.

(2) Every Financial Establishment shall furnish, within one month of the expiry of each quarter of a financial year, a return in Form-II showing particulars in respect of its business including its financial position, the area of its deposit collected by it and the location of investments of moneys made by it within and outside the State, on each quarter of the financial year, if any, to the Collector and Superintendent of Police within whose jurisdiction his place of business is located, and if he has more than one place of business located in the State, to the Collector and Superintendent of Police under whose jurisdiction the main branch office, as declared by him, is located.

204

[See sub-rule(1) of rule 3]

Report of financial establishment on commencement/conduct of Business in the State of Uttarakhand.

To

The District Collector/
Magistrate,.....(District)
And
Superintendent of Police
.....(District)

1.	Name of the Financial Establishment:	
2.	Name and address of Registering Authority:	
3.	Registration/Incorporation Number with date:	
4.	Name & address of the Regulator:	
5.	Provide in detail about the authority to carry on such business:	
6.	Type of activities authorised by the registering authority	
7.	Any restrictions imposed by the registering authority on such activities	
8.	Name of the State in which the Financial Establishment is registered:	
9.	Full address: (i) Registered Office, Pin No. Phone No. (ii) Main Branch Office (If any):	
10.	Name, address (present & permanent) and PAN number of every Person* responsible for management of affairs of the financial Establishment:	(1) Sri/Smt..... (2) Sri/Smt.....
11.	**Number of Branches/Offices:	
12.	Name(s) of the financial Establishment's Auditor and address:	
13.	Name(s) of the Financial Establishment's Banker(s) Name and address:	
14.	Name, address (present & permanent) and designation of the person conducting business of the Financial Establishment:	

Certified that the particulars/information furnished in the report have been verified and found to be correct and complete in all respects.

Date:

Place:

Signature of Manager/Managing
Director/authorised official

Name:

Designation:

*If more than two persons are responsible for the management affairs of the financial Establishment they may give a list showing name and address appending to this Form.

**A list showing the names and address of the place(s) where the Branch Office(s) of the Financial Establishment is/are situated should be enclosed.

Form-II

[See sub-rule(3) of rule 3]

QUARTERLY RETURN

Financial Year.....

Quarter Ending.....

1.	Name of the Financial Establishment:	
2.	Name and Address of Registering Authority:	
3.	Registration/Incorporation number with date:	
4.	PAN numbers of the Financial Establishment and MD/Directors/President/Managing Partner/CEO/ Proprietor:	
5.	Names and addresses of Directors/Partners/ Proprietor:	
6.	Name and addresses (present& permanent) of MD/Directors/President/Managing Partner/CEO/Proprietor:	
7.	Nature of business:	
8.	Details of Bank Names, account numbers and addresses of the Banker(s):	
9.	Bank-wise and Account-wise Balance as at the end of the quarter:	
10.	Details of movable/immovable assets of the Financial Establishment as at the end of the quarter:	
11.	Copies of latest Audited Balance Sheet	
12.	Amount received during the quarter from the Investors/Depositors:	
13.	Name and address of Depositors/investors from whom the amount was collected/received:	
14.	Amount received from other sources including loans during the quarter	
15.	Amount utilized/invested & spent during the quarter	
16.	Details of such utilization/investment/spent:	
17.	Area and location of such investment within and outside State:	
18.	Details of assets created/acquired during the quarter:	
19.	Details of payments towards return on deposited amount such as interest, dividend or any other services:	
20.	Details of amount refunded including maturity amount to the investors/depositors during the quarter:	
21.	Amount payable to the depositors/investors at end of the quarter:	

206

6

उत्तराखण्ड असाधारण गजट, 04 अप्रैल, 2018 ई0 (चैत्र 14, 1940 शक सम्वत्)

22.	Details of properties such as land/plots or flats handed over of other services rendered to the investors/depositors during the quarter:	
23.	Details of movable/immovable assets including bank balances of Directors/ Managing Directors/ CEO/Partner/Proprietor as on the end of the quarter:	

By Order,

AMIT SINGH NEGI,
Secretary, Finance.