



## उत्तराखण्ड जल संस्थान 'जलभवन' बी-ब्लॉक, नेहरू कालोनी, देहरादून।

पत्रांक: 5249 / वि०अनु० / 02 / बोर्डअनु०(16वीं) / 2016-17

दिनांक 21/12/16

समस्त अधिशासी अभियन्ता  
उत्तराखण्ड जल संस्थान

**विषय:** उत्तराखण्ड पेयजल निगम में लागू ठेकेदारों की पंजीकरण नियमावली, 2010 को अंगीकृत किये जाने के सम्बन्ध में।

उपरोक्त विषयक जल संस्थान बोर्ड की 16वीं बैठक के प्रस्ताव संख्या-16(12) में बोर्ड द्वारा उत्तराखण्ड पेयजल निगम में लागू ठेकेदारों की पंजीकरण नियमावली, 2010 (यथा संशोधित) को विभाग में यथावत लागू किये जाने की स्वीकृति प्रदान कर दी गई है।

अतः उत्तराखण्ड पेयजल निगम में लागू ठेकेदारों की पंजीकरण नियमावली, 2010 (यथा संशोधित) की प्रति संलग्न कर अनुपालनार्थ/आवश्यक कार्यवाही हेतु प्रेषित है।

संलग्न : यथोपरि।

(एस०के० गुप्ता)  
मुख्य महाप्रबन्धक

संख्या एवं दिनांक तदैव :

प्रतिलिपि : निम्नलिखित को सूचनार्थ एवं आवश्यक कार्यवाही हेतु प्रेषित।

- 1- महाप्रबन्धक, उत्तराखण्ड जल संस्थान देहरादून (मु०/टी.आर.एम.)/पौड़ी / नैनीताल / पिथौरागढ़।
- 2- सचिव (प्रशासन/अप्रैजल), उत्तराखण्ड जल संस्थान देहरादून।
- 3- समस्त अधीक्षण अभियन्ता, उत्तराखण्ड जल संस्थान.....
- 4- वरिष्ठ लेखाधिकारी उत्तराखण्ड जल संस्थान देहरादून/नैनीताल।
- 5- लेखाधिकारी/सम्परीक्षाधिकारी, उत्तराखण्ड जल संस्थान देहरादून।
- 6- मुख्यालय के सम्बन्धित अनुभाग/गार्ड फाइल/बोर्ड अनुपालन पत्रावली।
- 7- श्री मनोज भट्ट, संगणक, उत्तराखण्ड जल संस्थान देहरादून।

संलग्न : यथोपरि।

मुख्य महाप्रबन्धक

# REGULATIONS

For

Classification and Enlistment of  
Contractors in the  
Uttarakhand Peyjal Nigam  
2010

With Amendment Vide  
Letter No 50/प्र0नि0कैम्प-P-बोर्ड बैठक 2016 / 11,  
दिनांक 06.02.2016, W.e.f. 01.04.2016



Published by:  
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H.Q. : 11 Mohani Road,  
Dehradun – 248001





# उत्तराखण्ड पेयजल संसाधन विकास एवं निर्माण निगम

प्रधान कार्यालय 11-मोहिनी रोड, देहरादून

पत्रांक. .... 50/प्रवि.नै.सं. - पी-1-लेड 007-2016/11  
कार्यालय ज्ञाप

दिनांक. .... 6/12/16

उत्तराखण्ड पेयजल निगम में लागू ठेकेदरों की पंजीकरण नियमावली 2010 में संशोधन सम्बन्धी प्रस्ताव उत्तराखण्ड पेयजल निगम, निदेशक मण्डल की दिनांक 14.01.2016 को सम्पन्न हुयी 16वीं बोर्ड बैठक के प्रस्ताव संख्या अन्य बिन्दु-1 पर रखा गया, जिस पर निदेशक मण्डल द्वारा निम्न संशोधनों की स्वीकृति प्रदान की गयी।

Sl No	Regulation	Present Provision	Proposed Provision
01	02	03	04
1	1	These regulations will govern the classification and enlistment of contractor in the U.K. Peyjal Nigam. The tenders of only those contractors will be considered for any work in the Uttarakhand Peyjal Nigam, who are enlisted to tender for that work according to their enlistment. Issue and receipt of applications for enlistment will remain open from the 1 <sup>st</sup> February to 31 <sup>st</sup> of March every year without late fee. Application form will be issued throughout year. Application form for enlistment submitted after 31 <sup>st</sup> March will be received with late fee from 1 <sup>st</sup> April to 31 August @Rs. 500/- per month or part the roof and from 1 <sup>st</sup> September to 31 <sup>st</sup> January @ Rs. 1000/- per month part the roof. The cost of application form for enlistment in duplicate with one copy of the (Regulations) will be available on payment of Rs. 500/+Trade Tax/Vat as applicable+Rs. 50/- postage charges (if required by post) by money order/cash in the name of the Enlisting Authority.	These regulations will govern the classification and enlistment of contractor in the U.K. Peyjal Nigam. The tenders of only those contractors will be considered for any work in the Uttarakhand Peyjal Nigam, who are enlisted to tender for that work according to their enlistment. Issue and receipt of applications for enlistment will remain open from the 1 <sup>st</sup> January to 31 <sup>st</sup> of March every year without late fee. Application form will be issued throughout year. Application form for enlistment submitted after 31 <sup>st</sup> March will be received with late fee from 1 <sup>st</sup> April to 31 August @Rs. 800/- per month or part Thereof and from 1 <sup>st</sup> September to 31 <sup>st</sup> December @ Rs. 1500/- per month or part thereof. The cost of application form for enlistment in duplicate with one copy of the Regulations will be available on payment of Rs. 500/+Trade Tax/Vat as applicable+ postage charges (if required by post) by money order/cash in the name of the Enlisting Authority.
2	2	1- Water Supply Works. (a) Over Head Tank (b) Pipe Laying (c) Tube Wells (d) Deep well hand Pumps (i) Deep well Hand Pumps (Hand Boring) (j) Deep Well Hand Pumps (Rig Machine) 2- Sewerage Works (a) Sewer Laying, Sewage Channel, Storm water drainage & Appurtenant Works. 3- Building Works Including Roads. (Only for Water supply and sewage scheme ). 4- Electrical & Mechanical Works Including supply & installation of Pumping Plant. 5- Intake works/Clear Water Reservoir/ Sewage Pumping Station/Water Supply Pumping Station. 6- Water Treatment 7- Sewage, Waste Water & Industrial Waste Treatment 8- Turnkey Projects. (a) Water Supply (b) Sewerage.	1- Water Supply Works. (a) Over Head Tank (b) Pipe Laying (c) Tube Wells (d) Deep well hand Pumps (i) Deep well Hand Pumps (Hand Boring) (ii) Deep Well Hand Pumps (Rig Machine) 2- Sewerage Works (a). Sewer Laying, Storm water drainage, Septic tanks & Appurtenant Works. (b). Bio-digester and Bio-remedial treatment System. (c). Sewage Pumping Station with sump. (d). Sewage, Waste Water & Industrial Waste water Treatment 3- Building Works Including Roads. (For Building, Water supply and sewage scheme). 4- Electrical & Mechanical Works Including supply & installation of Pumping Plant. 5- Intake works/Clear Water Reservoir/ /Water Supply Pumping Station. 6- Water Treatment 7- Turn-key Projects. (A). Water Supply Works consists all the following items. (a). Over Head Tank (b). Pipe Laying



		<p>(c). Tube Wells</p> <p>(d). Deep Well Hand Pumps</p> <p>(i) Deep well Hand Pumps (Hand Boring)</p> <p>(ii) Deep Well Hand Pumps (Rig Machine)</p> <p>(e) Intake works/Clear Water Reservoir/ /Water Supply Pumping Station.</p> <p>(f) Water Treatment</p> <p>(B). Sewerage Works consists all the following items.</p> <p>(a). Sewer Laying, Storm water drainage, Septic tanks &amp; Appurtenant Works.</p> <p>(b). Bio-digester and Bio-remedial treatment System.</p> <p>(c). Sewage Pumping Station with sump.</p> <p>(d). Sewage, Waste Water &amp; Industrial Waste water Treatment</p>																								
9(2)	<p>The registration fee should be as under :-</p> <table border="1"> <tr> <td>Class A</td> <td>Rs.</td> <td>10000.00</td> </tr> <tr> <td>Class B</td> <td>Rs.</td> <td>7500.00</td> </tr> <tr> <td>Class C</td> <td>Rs.</td> <td>5000.00</td> </tr> <tr> <td>Class D</td> <td>Rs.</td> <td>2500.00</td> </tr> </table> <p>and it shall not be refunded.....</p>	Class A	Rs.	10000.00	Class B	Rs.	7500.00	Class C	Rs.	5000.00	Class D	Rs.	2500.00	<p>The registration fee shall be as under and that is non Refundable :-</p> <table border="1"> <tr> <td>Class A</td> <td>Rs.</td> <td>15000.00</td> </tr> <tr> <td>Class B</td> <td>Rs.</td> <td>10000.00</td> </tr> <tr> <td>Class C</td> <td>Rs.</td> <td>7500.00</td> </tr> <tr> <td>Class D</td> <td>Rs.</td> <td>5000.00</td> </tr> </table> <p>and it shall not be refunded.....</p>	Class A	Rs.	15000.00	Class B	Rs.	10000.00	Class C	Rs.	7500.00	Class D	Rs.	5000.00
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Class D	Rs.	5000.00																								
9(3)	<p>Every application shall be accompanied by the following document</p> <p>I. Proof solvency of the applicant.</p> <p>II. Proof of employment of the required technical staff.</p> <p>III. Proof of possession of required machinery, tools and plant</p> <p>IV. Proof of execution of five works of the required value.</p> <p>V. In case of a firm duly certified copy of the partnership deed and registration certificate and in case of a company the deed Articles of Association.</p> <p>VI. Character Certificate as per Appendix 'L' having one attested copy of photography on the body of certificate with two extra copies.</p>	<p>Every application shall be accompanied by the following document</p> <p>I. Proof of solvency of the applicant.</p> <p>II. Proof of engagement of the required technical staff.</p> <p>III. Proof of possession of required machinery, tools and plants.</p> <p>IV. Proof of execution of five works of the required value of as mentioned in Appendix 'E'.</p> <p>V. In case of a Partnership firm, duly certified copy of the partnership deed is required. In case of a Company, registration certificate of the company and deed Articles of Association are required.</p> <p>VI. Character Certificate as per Appendix 'L' having one attested copy of character certificate with pasted photograph and two extra copies of Photograph are required.</p> <p>VII. Attested Photo Copies of last 3 year ITR &amp; Balance Sheet (Not required for 'D' Class).</p> <p>VIII. Power of Attorney ( in Case of a Partnership Firm)</p> <p>IX. Copy of Address Proof including mobile no, land line no, fax no and e-mail ID.</p> <p>X. Attested Copy of Sales Tax Registration.</p> <p>XI. Attested copy of PAN Card required.</p>																								
4	18(4)	<p>Duplicate certificate of registration may be issued in case where they are lost or destroyed due to an accident or any other valid reason the application for a duplicate copy should be submitted within from the date on which it has been lost. The following fee will be a week charged for the issue of the duplicate copies.</p> <table border="1"> <tr> <td>A. Class contractors</td> <td>Rs. 150</td> </tr> <tr> <td>B. Class contractors</td> <td>Rs. 120</td> </tr> <tr> <td>C. Class contractors</td> <td>Rs. 90</td> </tr> <tr> <td>D. Class contractors</td> <td>Rs. 60</td> </tr> </table>	A. Class contractors	Rs. 150	B. Class contractors	Rs. 120	C. Class contractors	Rs. 90	D. Class contractors	Rs. 60																
A. Class contractors	Rs. 150																									
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C. Class contractors	Rs. 90																									
D. Class contractors	Rs. 60																									
		<p>Duplicate Copy of registration certificate may be issued of lost or damaged due to an accident or any other valid reason, the application for a duplicated copy should be submitted within one month from the date of which it has been lost. Rs 500/- fee will be charged for the issuance of duplicate copy of registration certificate for all classes of Registration.</p>																								

Present Provision					Proposed Provision				
Appendix – ‘A’ REGULATION : 2 (1)					Appendix – ‘A’ REGULATION : 2 (1)				
Contractors enlisted in a particular class will be entitled tenders of value not exceeding the amount mentioned below :					Contractors enlisted in a particular class will be entitled tenders of value not exceeding the amount mentioned below :				
Category	Class-A	Class-B	Class-C	Class-D	Category	Class-A	Class-B	Class-C	Class-D
1- Water Supply Works :					1- Water Supply Works :				
(a) Over Head Tank	Up to any Limit	Rs. 100 Lacs	Rs. 40 Lacs	X	(a) Over Head Tank	Up to any Limit	Rs. 150 Lacs	Rs. 70 Lacs	X
(b) Pipe Laying	---do--	Rs. 100 Lacs	Rs. 40 Lacs	Rs. 25 Lacs	(b) Pipe Laying	---do--	Rs. 150 Lacs	Rs. 70 Lacs	Rs. 40 Lacs
(c) Tube Well	---do--	Rs. 100 Lacs	Rs. 40 Lacs	X	(c) Tube Well	---do--	Rs. 150 Lacs	Rs. 70 Lacs	X
(d) Deep well Hand Pumps	---do--	Rs. 100 Lacs	Rs. 40 Lacs	Rs. 25 Lacs	(d) Deep well Hand Pumps	---do--	Rs. 150 Lacs	Rs. 70 Lacs	Rs. 40 Lacs
2- Sewerage Works :					(i) Deep well Hand Pumps (Hand Boring)				
(a) Sewer Laying	---do--	Rs. 100 Lacs	Rs. 40 Lacs	Rs. 25 Lacs	(ii) Deep Well Hand Pumps (Rig Machine)				
Sewage Channel					2- Sewerage Works :				
Strom Water Drainage					(a). Sewer Laying, Storm	---do--	Rs. 150 Lacs	Rs. 70 Lacs	Rs. 40 Lacs
& Appurtenant Works					water drainage, Septic tanks & Appurtenant Works.				
3- Building Works Including	---do--	Rs. 100 Lacs	Rs. 40 Lacs	Rs. 25 Lacs	(b). Bio-digester and Bio-	---do--	Rs. 150 Lacs	Rs. 70 Lacs	Rs. 40 Lacs
Roads.					remedial treatment System.				
4- Electrical &	---do--	Rs. 100 Lacs	Rs. 40 Lacs	Rs. 25 Lacs	(c). Sewage Pumping	---do--	Rs. 150 Lacs	Rs. 70 Lacs	Rs. 40 Lacs
Mechanical works					Station with sump.				
Including supply					(d). Sewage, Waste Water	---do--	Rs. 150 Lacs	Rs. 70 Lacs	Rs. 40 Lacs
& installation of					& Industrial Waste water Treatment				
Pumping Plants					3- Building Works Including	---do--	Rs. 150 Lacs	Rs. 70 Lacs	Rs. 40 Lacs
5- Intake Works/	---do--	Rs. 100 Lacs	X	X	Roads. (for Building, Water supply				
Intake works/Clear Water					supply and sewage scheme ).				
Reservoir/ Sewage Pumping Station/					4- Electrical &	---do--	Rs. 150 Lacs	Rs. 70 Lacs	Rs. 40 Lacs
Water Supply Pumping Station.					Mechanical works				
6- Water Treatment	---do--	Rs. 100 Lacs	X	X	Including supply				
7- Sewage, Waste	---do--	Rs. 100 Lacs	X	X	& installation of				
Water & Industrial					Pumping Plants				
Waste Treatment					5- Intake Works/	---do--	Rs. 150 Lacs	X	X
8- Turnkey Projects :					Intake works/Clear Water				
(a) Water Supply	---do--	Rs. 100 Lacs	Rs. 40 Lacs	X	Reservoir/ Water Supply				
(b) Sewerage	---do--	Rs. 100 Lacs	Rs. 40 Lacs	X	Pumping Station.				
					6- Water Treatment	---do--	Rs. 150 Lacs	X	X
					7- Turn-key Projects :				
					(a) Water Supply	---do--	Rs. 300 Lacs	Rs. 100 Lacs	
					(Item No 1, 5 & 6)				
					(b) Sewerage	---do--	Rs. 300 Lacs	Rs. 100 Lacs	
					(Item No 2)				

Present Provision					Proposed Provision				
Appendix – ‘B’ REGULATION : 4					Appendix – ‘B’ REGULATION : 4				
Minimum Solvency required for enlistment in each class :					Minimum Solvency required for enlistment in each class:				
Category	Class-A	Class-B	Class-C	Class-D	Category	Class-A	Class-B	Class-C	Class-D
1- Water Supply Works :					1- Water Supply Works :				
(a) Over Head Tank	30.00	20.00	10.00	X	(a) Over Head Tank	50	30	20	X
(b) Pipe Laying	20.00	10.00	2.00	1.00	(b) Pipe Laying	30	15	10	2
(c) Tube Well	20.00	10.00	2.00	X	(c) Tube Well	30	15	10	X
(d) Deep well Hand Pumps	20.00	10.00	2.00	1.00	(d) Deep well Hand Pumps	30	15	10	2
2- Sewerage Works :					(i) Deep well Hand Pumps (Hand Boring)				
(a) Sewer Laying	30.00	20.00	10.00	1.00	(ii) Deep Well Hand Pumps (Rig Machine)				
Sewage Channel					2- Sewerage Works :				
Strom Water Drainage					(a). Sewer Laying, Storm water	50	30	20	5
& Appurtenant Works					drainage, Septic tanks &				
3- Building Works Including	20.00	10.00	5.00	1.00	Appurtenant Works.				
Roads.					(b). Bio-digester and	50	30	20	5
4- Electrical &	20.00	10.00	2.00	1.00	Bio-remedial treatment System.				
Mechanical works					(c). Sewage Pumping	50	30	20	5
Including supply					Station with sump.				
& installation of					(d). Sewage, Waste Water	50	30	20	5
Pumping Plants					& Industrial Waste water Treatment				
5- Intake Works/	30.00	20.00	X	X	3- Building Works Including	30	20	10	5
Intake works/Clear Water					Roads. <b>(for Building, Water</b>				
Reservoir/ Sewage Pumping Station/					<b>supply and sewage scheme ).</b>				
Water Supply Pumping Station.					4- Electrical &				
6- Water Treatment	30.00	20.00	X	X	Mechanical works				
7- Sewage, Waste	30.00	20.00	X	X	Including supply	30	20	10	5
Water & Industrial					& installation of				
Waste Treatment					Pumping Plants				
8- Turnkey Projects :					5- Intake Works/				
(a) Water Supply	100.00	75.00	50	X	Intake works/Clear Water	50	30	X	X
(b) Sewerage	100.00	75.00	50	X	Reservoir/ Water Supply				
					Pumping Station.				
					6- Water Treatment	50	30	X	X
					7- Turn-key Projects :				
					(a) Water Supply	250	150	100	X
					( Item No 1, 5 & 6 )				
					(b) Sewerage				
					(Item No 2)	250	150	100	X
<b>Note:</b> (1) All the above figures are in Rs. Lacs.					<b>Note:</b> (1) All the above figures are in Rs. Lacs.				
(2) Contractors enlisted in class B & C for any category (except 1-d) shall be allowed to tender for Turnkey Project Class B & C respectively, but will have to submit solvency as mentioned in (8 a & b) above.					(2) Contractors enlisted in class B & C for any category (except 1-d) shall be allowed to tender for Turnkey Project class B & C respectively, but will have to submit solvency as mentioned in (7a,7 b) above.				

Present Provision					Proposed Provision				
Appendix - 'E'					Appendix - 'E'				
(REGULATION : 4 )					(REGULATION : 4 )				
Minimum value of each of the five works that should have been executed satisfactorily for enlistment in each class.					Minimum value of each of the five works that should have been executed satisfactorily for enlistment in each class.				
Category	Class-A	Class-B	Class-C	Class-D	Category	Class-A	Class-B	Class-C	Class-D
1- Water Supply Works :					1- Water Supply Works :				
(a) Over Head Tank	40.00	20.00	5.00	X	(a) Over Head Tank	50.00	40.00	25.00	X
(b) Pipe Laying	25.00	15.00	5.00	X	(b) Pipe Laying	50.00	30.00	15.00	X
(c) Tube Well	25.00	15.00	5.00	X	(c) Tube Well	50.00	30.00	15.00	X
(d) Deep Well Hand Pump	25.00	15.00	5.00	X	(d) Deep Well Hand Pump	50.00	30.00	15.00	X
2- Sewerage Works :					(i) Deep well Hand Pumps (Hand Boring)				
(a) Sewer Laying	40.00	20.00	10.00	X	(ii) Deep Well Hand Pumps (Rig Machine)				
Sewage Channel					2- Sewerage Works :				
Strom Water Drainage					(a). Sewer Laying, Storm water	50.00	40.00	20.00	X
& Appurtenant Works					drainage, Septic tanks & Appurtenant Works.				
3- Building Works Including	40.00	20.00	10.00	X	(b). Bio-digester and	50.00	40.00	20.00	X
Roads.					Bio-remedial treatment System.				
4- Electrical & Mechanical	20.00	10.00	5.00	X	(c). Sewage Pumping	50.00	40.00	20.00	X
works including supply					Station with sump.				
& installation of					(d). Sewage, Waste Water	50.00	40.00	20.00	X
Pumping Plants					& Industrial Waste water Treatment				
5- Intake Works	40.00	20.00	X	X	3- Building Works Including	40.00	20.00	10.00	X
Intake works/Clear Water					Roads. (Only for Building, Water				
Reservoir/ Sewage Pumping Station/					supply and sewage scheme ).				
Water Supply Pumping Station.					4- Electrical & Mechanical	40.00	20.00	10.00	X
6- Water Treatment	40.00	20.00	X	X	works including supply				
7- Sewage, Waste Water	40.00	20.00	X	X	& installation of				
& Industrial Waste Treatment					Pumping Plants				
8- Turnkey Projects :					5- Intake Works	50.00	40.00	X	X
(a) Water Supply	120.00	75.00	15.00	X	Intake works/Clear Water				
(b) Sewerage	120.00	75.00	15.00	X	Reservoir/ Water Supply				
					Pumping Station.				
					6- Water Treatment	80.00	40.00	X	X
					7- Turn-key Projects :				
					(a) Water Supply	300.00	150.00	30.00	X
					(Item No 1, 5 & 6)				
					(b) Sewerage	300.00	150.00	30.00	X
					(Item No 2)				
Note : 1. All the above figures are in Rs. Lacs.					Note : 1. All the above figures are in Rs. Lacs.				
2. Cost of pipes & specials has not been included in 1 (b)					2- Value of each work should be as per above.				
3. Cost of Hand Pumps and materials has not been included					1. If Contractor has less than 5 works, total Cost of works executed should not be less than the total cost of 5 works as mentioned above in each class .				

Present Provision										Proposed Provision									
Appendix - 'H' (REGULATION : 18)										Appendix - 'H' (REGULATION : 18)									
General Security										General Security									
Sl. No.	Category	Class-A		Class-B		Class-C		Class-D		Sl. No.	Category	Class-A		Class-B		Class-C		Class-D	
		Amount In Rs.	Pledged To	Amount In Rs.	Pledged To	Amount In Rs.	Pledged To	Amount In Rs.	Pledged To			Amount In Rs.	Pledged To	Amount In Rs.	Pledged To	Amount In Rs.	Pledged To	Amount In Rs.	Pledged To
1.	Water Supply Works: (a) Over Head Tank	50000	Managing Director	20000	C.E. of Zone	5000	S.E.	x	x	1.	Water Supply Works: (a) Over Head Tank	100000	Managing Director	50000	C.E. (H.Q.)	25000	C.E. (G/K)	x	SE Concern
	(b) Pipe laying	50000	-do-	20000	-do-	5000	-do-	2500	E.E		(b) Pipe laying	100000	-do-	50000	-do-	25000	-do-	10000	S.E
	(c) Tube Wells	50000	-do-	20000	-do-	5000	-do-	x	X		(c) Tube Wells	100000	-do-	50000	-do-	25000	-do-	x	X
	(d) Deepwell Hand Pumps	50000	-do-	20000	-do-	5000	-do-	2500	-do-		(d) Deepwell Hand Pumps	100000	-do-	50000	-do-	25000	-do-	10000	-do-
2.	Sewerage Works :	50000	-do-	20000	-do-	5000	-do-	x	x	2.	Sewerage Works :	100000	-do-	50000	-do-	25000	-do-	10000	-do-
	(a) Pumping station	50000	-do-	20000	-do-	5000	-do-	2500	-do-		(a) Sewer Laying, Storm water drainage, Septic tanks & Appurtenant Works.	100000	-do-	50000	-do-	25000	-do-	10000	-do-
	(b) Sewer laying	50000	-do-	20000	-do-	5000	-do-				(b). Bio-digester and Bio-remedial treatment System.	100000	-do-	50000	-do-	25000	-do-	10000	-do-
3.	Channel Storm Water Drainage & Appurtenant Works	50000	-do-	20000	-do-	5000	-do-	2500	-do-		(c). Sewage Pumping Station with sump.	100000	-do-	50000	-do-	25000	-do-	10000	-do-
4.	Building Works Including Roads.	50000	-do-	20000	-do-	5000	-do-	2500	-do-	3.	Building Works Including Roads.	100000	-do-	50000	-do-	25000	-do-	10000	-do-
5.	Electrical & Mechanical Works including supply & installation of Pumping Plants	50000	-do-	20000	-do-	x	x	x	x		(Only for Building, Water supply and sewage scheme ).	100000	-do-	50000	-do-	25000	-do-	10000	-do-
6.	Intake Works	50000	-do-	20000	-do-	x	x	x	x	4.	Electrical & Mechanical Works including supply & installation of Pumping Plants	100000	-do-	50000	-do-	25000	-do-	10000	-do-
7.	Water Treatment	50000	-do-	20000	-do-	x	x	x	x	5.	Intake Works	100000	-do-	50000	-do-	x	x	x	x
8.	Sewage, Waste Water & Industrial Waste Treatment	50000	-do-	20000	-do-	x	x	x	x	6.	Water Treatment	100000	-do-	50000	-do-	x	x	x	x
	Turnkey Project :									7.	Turn-key Project :								
	(a) Water Supply	200000	-do-	80000	-do-	20000	-do-	x	x		(a) Water Supply	400000	-do-	200000	-do-	100000	-do-	x	x
	(b) Sewerage	200000	-do-	80000	-do-	20000	-do-	x	x		(b) Sewerage	400000	-do-	200000	-do-	100000	-do-	x	x
Note : 1. For registration in Class-A for Uttarakhand Peyjal Nigam, the General Security will be pledge to Managing Director Uttarakhand Peyjal Nigam, Dehradun.																			
2. For registration in Class-A for Kumaun, & Garhwal zone, the General Security will be pledge to Chief Engineer (Garhwal/Kumoun)																			



उपरिवर्णित नियमावली के 06 पृष्ठों में निहित प्राविधानों एवं सुसंगत प्रस्तरों में दी गयी व्यवस्था दिनांक 01.04.2016 से लागू की जाती है।



(भजन सिंह)  
प्रबन्ध निदेशक

पृ0सं0 एवं दिनांक तदैव।

प्रतिलिपि निम्नलिखित को सूचनार्थ एवं आवश्यक कार्यवाही हेतु प्रेषित :-

1. निजी सचिव, अध्यक्ष, उत्तराखण्ड पेयजल निगम, देहरादून को अध्यक्ष महोदय के संज्ञानार्थ।
2. वैयक्तिक सहायक, प्रबन्ध निदेशक/वित्त निदेशक/मुख्य अभियन्ता (मु0), उत्तराखण्ड पेयजल निगम, देहरादून।
3. मुख्य अभियन्ता (गढ़वाल/कुमाऊं), उत्तराखण्ड पेयजल निगम, पौड़ी/नैनीताल।
4. मुख्य महाप्रबन्धक, निर्माण विंग, उत्तराखण्ड पेयजल निगम, देहरादून।
5. वरिष्ठ लेखाधिकारी/लेखाधिकारी (मु0), उत्तराखण्ड पेयजल निगम, देहरादून।
6. समस्त अधीक्षण अभियन्ता/महाप्रबन्धक, उत्तराखण्ड पेयजल निगम, देहरादून।
7. अधिशासी अभियन्ता (मु0), उत्तराखण्ड पेयजल निगम, देहरादून।
8. समस्त अधिशासी अभियन्ता/परियोजना प्रबन्धक, उत्तराखण्ड पेयजल निगम, देहरादून।
9. गोर्ड फाईल।



6-2-16  
महाप्रबन्धक (प्रशासन)

कार्यालय, अधिशासी अभियन्ता (मुख्यालय), उत्तराखण्ड पेयजल निगम देहरादून।

पृ0सं0 182 / रे0पंजीकरण / 33 दि0 11 / 03 / 16

प्रतिलिपि निम्नलिखित को सूचनार्थ एवं आवश्यक कार्यवाही हेतु प्रेषित।

① सहायक अभियन्ता (मुख्यालय)

② मुख्य लेखान्तर

③ कैशियर को इस आशय से प्रेषित कि संशोधित नियमावली को पुरानी 2010 की नियमावली में चरखा कर ही बित्री करे।



अधिशासी अभियन्ता (मु0)



# Regulations

## Classification and Enlistment of Contractor in the Uttarakhand Peyjal Nigam

**Regulations :** 1- These regulations will govern the classification and enlistment of contractor in the U.K. Peyjal Nigam. The tenders of only those contractors will be considered for any work in the Uttarakhand Peyjal Nigam, who are enlisted to tender for that work according to their enlistment. Issue and receipt of applications for enlistment will remain open from the on 1<sup>st</sup> February to 31<sup>st</sup> of March every year without late fee. Application form will be issued throughout year. Application form for enlistment submitted after 31<sup>st</sup> March will be received with late fee from 1<sup>st</sup> April to 31 August @Rs. 500/- per month or part the roof and from 1<sup>st</sup> September to 31<sup>st</sup> January @ Rs. 1000/- per month part the roof. The cost of application form for enlistment in duplicate with one copy of the (Regulations) will be available on payment of **Rs. 500/+Trade Tax/Vat as applicable+ Rs. 50/-** postage charges (if required by post) by money order/cash in the name of the Enlisting Authority.

Enlisted contractors are allowed to work in the area and catogery in which they are allowed, However notices inviting tenders shall be published in at least two daily News papers including one in local News paper circulated in Uttarakhand. In case the tenders of having estimated amount less then for which publication of tender notice in News paper is not mandatory, the tender notice will be placed on tender notice board of the Division/ Unit inviting tender and copies of notices inviting tenders shall invariably be sent to them at their registered address free of cost by ordinary post for the works falling in the category in which they are enlisted.

The contractors shall be allowed to work in their category and area of works specified in their class in which they are enlisted. However, contractors eniisted in Water Supply Works under Pipe Line Category shall be allowed to tender of the small clear water, Reservoirs upto 50 K.L. capacity and Small Building works which are included in the tender of Pipe Laying works upto 25 Lacs. The Electrical contractors who hold a valid license of category "A" from chief Electrical inspector will be enlisted in 'A' class and those who hold the license of category 'B' will be enlisted in 'B' and 'C' class.

Only the enlisted contractors/ firms in Uttarakhand Peyjal Nigam are allowed to work within the scope and limit of the catogery in which enlisted hower all Central/State Govt. agencies including public undertaking are exempted from enlistment under these regulations.

**REGULATIONS – 2 (1)** Enlistment of contractors will be according capacity for the following categories which will be further classified as in appendix-A. For Class-A, Class-B & Class-C However for Class-D. The category will be as specified below in 2(1)a.



1- Water Supply Works.

- (a) Over Head Tank
- (b) Pipe Laying
- (c) Tube Wells
- (d) Deep well hand Pumps
- (i) Deep well Hand Pumps (Hand Boring)
- (j) Deep Well Hand Pumps (Rig Machine)

2- Sewerage Works

(a) Sewer Laying, Sewage Channel, Stone water drainage & Appurtenant Works.

3- Building Works Including Roads. (Only for Water supply and sewage scheme ).

4- Electrical & Mechanical Works Including supply & installation of Pumping Plant.

5- Intake works/Clear Water Reservoir/ Sewage Pumping Station/Water Supply Pumping Station.

6- Water Treatment

7- Sewage, Waste Water & Industrial Waste Treatment

8- Turnkey Projects.

- (a) Water Supply
- (b) Sewerage.

2. Each category of contractors will be further classified as in appendix according to the upper financial limit to the works for which a contractors is enlistment in the particular class to tender.

Who are to  
enlisted

**REGULATION-3** Enlistment in all classes will be open to all individuals undivided Hindu families, firms registered under the Indian partnership Act. .... And public or private limited companies.

PROVIDED that an applicant will be enlisted only in that class in which found suitable in accordance with these regulations by the authority prescribed herein for the purpose and the enlisted party shall be called contractor for the purpose of these regulations.

Qualification  
for enlistment

**REGULATION-4** An applicant for enlistment to a particular class should for have a minimum solvency as mentioned in Appendix 'B', technical staff as mentioned in Appendix 'C' and equipment tools and plants as mentioned in Appendix 'D'. He should have satisfactorily executed at least five works whose individual value should at least be as mentioned in Appendix 'E' and must not be involved in offence of an offence involve mg moral turpitude.



However if a contractor does not have experience as required in Appendix 'E' but fulfills all other conditions for enlistment, Will be enlisted provisionally under the lowest class of the concerned category for period of two years. This period of probation can be extended by one year on special grounds by Managing Director/ Chief Engineer.

Proof of  
applicant's  
solvency

**REGULATION-5** (1) In case of individuals firms and Undivided Hindu families, proof of the solvency of the applicant will consist of a certificate signed by the Distt. Magistrate concerned or a certificate reference of a Scheduled Bank.

(2) In the case of a private or public limited company the proof of the Company's solvency will be its last balance sheet audited and certified by a Chartered Accountant or a certificate/reference of a Scheduled Bank.

Proof of  
employment  
of technical  
staff

**REGULATIONS-6** Proof of employment of the required technical staff will be consist of a declaration by the applicant in the form as per Appendix 'M' in the presence of a Notary public prosecutor giving full particulars of the required staff.

Proof of  
Possession of  
machinery &  
tools etc.

**REGULATIONS-7** Proof of possession of required Machinery & tools and plants will consist of a declaration by the applicant in the from as per Appendix 'N' made in the presence of Stipendiary Magistrate giving full particulars of the equipment or an Affidavit for the same verified by a Notary public prosecutor.

Previous  
Experience

**REGULATIONS-8** Evidence of satisfactory execution of five work of the required value will consist of certificate from the Engineer-in-charge of the works not below the rank of an Executive Engineer either of a Central/State Govt. Department or Central/State Govt. Public sector undertaking or Central / State Govt. Local bodies such as Jal Sansthans/ Municipal Corporation / Development authorities related with water supply, Sewerage or Public Health Engineering Department. The Experience Certificate must be on official dispatched letter from the issuing authority.

Moreover the experience certificate must be for the work which the contractor/firm has executed within last 10 yrs.

**REGULATIONS-9** (1) The application for enlistment will be made in the form given in Appendix 'F' and shall be accompanied by a demand draft of the registration fee mentioned in sub-para (2) and documents mentioned in sub para (3) A separate application will have to be made for different categories of works. The money receipt for the application form should also be attached with the application.

(2) The registration fee should be as under :-

Class A	Rs.	10000.00
Class B	Rs.	7500.00
Class C	Rs.	5000.00
Class D	Rs.	2500.00

and it shall not be refunded.....



- (3) Every application shall be accompanied by the following document
  - I. Proof solvency of the applicant.
  - II. Proof of employment of the required technical staff.
  - III. Proof of possession of required machinery, tools and plant
  - IV. proof of execution of five works of the required value.
  - V. In case of a firm duly certified copy of the partnership deed and registration certificate and in case of a company the deed Articles of Association.
  - VI. Character Certificate as per Appendix 'L' having one attested copy of photography on the body of certificate with two extra copies.

(4) In case of any change in solvency technical staff, tools and plants as mentioned in Regulation-4 above, or Articles of Associate Constitution of a company as mentioned in Regulation-9 (3) above the same shall be intimated to the authority competent for enlistment in the 7 days of such change and an acknowledgement of the same shall be obtained.

Officer  
Authorized to  
Enlisted

**REGULATION-10** An Application for Enlistment to a particular class of Contractor, will be presented to the authority mentioned in Appendix 'G' who will be the final authority in the matter, subject to the provisions of appeal and review made hereafter.

Area in which  
the Enlisted  
contractor can  
work

**REGULATION-11** Enlistment will entitle the contractor to tender for works within the jurisdiction of the authority who ordered enlistment.

**REGULATION-12** (1) The authority to whom an application for enlistments is presented may get such enquiry held as he considers necessary before passing the final orders. If the authority is satisfied that the applicant is suitable for being enlisted in the class applied for he will pass an order to this effect This order, will however be provisional and will become final only after the contractor has deposited the security provided in Appendix 'H' If the authority is not satisfied then he will pass an order rejecting application giving reasons of there and intimate the applicant in writing within 15 days of such rejection order. The applicant can there after file an appeal against the rejection order, before the next higher authority, who will dispose it off, ordinarily, within three month.

(2) All applications shall, ordinarily, be disposed off within three months of the date of presentation.

(3) A Contractor whose application for enlistment has been rejected shall not be entitled to apply a fresh for enlistment within 6 months of the date of the final order passed in the case.

General  
Security

**REGULATION-13** (13) A General security as mentioned in Appendix 'H' shall be deposited by the contractor within one month of



the receipt orders of enlistment or within such period not exceeding three month as may be extended by the authority ordering enlistment. If no such security is deposited the order of enlistment will be deemed to have lapsed and the matter will be finally closed.

(2) The General Security may be in one of the forms as specified in para 71 of Financial Hand Book Vol. V part, I amended from time to time.

(3) The contractor will then become entitled to tender for works allowed by Regulation-11 above. He will have to deposit earnest money as provide in tender documents. After acceptance of tender the contractor will have to deposit security money for due fulfillment of the contract as specified in tender. The amount of earnest money and security money and its mode of deposit will be provided in tender document which shall be pledged to the tendering authority in accordance to the provisions of F.H.B. Volume -5 and 6 and orders issued by U.K. Government/ U.A. Jal Nigam from time to time.

(4) Recovery of any amount due from contractor in respect of any work if required shall be made from the General Security also to the extent of available amount.

(5) The general security may be refunded to the contractor on the expiry of a period of 6 month from the removal of his name from the Register of Classified Contractors.

Technical  
staff at site

**REGULATION-14** (1) The contractor shall have to keep the staff at the site of work as per Appendix 'C' attached.

(2) If the contractor fails to comply with sub-regulation (1), The Engineer-in-charge of the work shall report the matter to The listing enlisting authority of the contractor, who after giving the opportunity to the contractor to explain as may be considered necessary, orders the removal of the contractor's name from the Register of Classified Contractors. This may be an addition to any action which may be taken against the contractor in terms of his contract for the work.

Removal of  
contractor's  
name from  
register of  
classified  
contractors

**REGULATION-15 (1)** The authority competent to enlist a contractor or any higher authority having jurisdiction may order the removal of a contractor's name from the Register of enlisted contractors for the reasons to be mentioned in the order and communicated to the contractor. Before such order is passed the contractor shall be served with a show cause notice giving reasons for the same and given a reasonable opportunity to explain the reasons among the followings.

- I. Unsatisfactory execution of work after tender is accepted.
- II. Failure to commence work when tender is accepted.



- III. Failure to maintain required capital, technical staff, machinery tools and plant.
- IV. Failure to maintain the required amount of general security.
- V. Conviction of an offence involving moral turpitude.
- VI. Persistent misconduct by him-self or his employees.
- VII. Habitual indebtedness.
- VIII. Bad reputation in market.
- IX. Neglecting quality and offering sub-standard work/materials.
- X. Unsystematic working.
- XI. Neglecting timely accounting of stores supplied by the Nigam misappropriation of stores.
- XII. Speculative bidding.
- XIII. Black listed by Nigam or any other sister organizations of Uttarakhand.
- XIV. Being an un discharged insolvent.
- XV. Having unsound mind
- XVI. Holds any office of profit under the Nigam.
- XVII. The period for which he was previously removed from the list of approved contractors has not passed or exemption has not been granted by the Managing Director.
- XVIII. Bring/Carrying of arms and ammunitions in the premises, where tenders are schedule to be received and opened.
- XIX. Any other act which in the judgment of the authority to order the removal of the name from the list, is undesirable or amounts to misconduct.
- (2) The officer ordering the removal will inform all authorities below him to make a note to this effect against the name of contractor in their registers.
- (3) Such order of removal unless passed by the Managing Director/Chief Engineer will not have effect in the areas(s) not controlled by the authority ordering the removal.

Appeal  
review

**REGULATION-16** : An Applicant of enlisted contractor who feels aggrieved by any order passed under these regulation, may file an appeal to the next higher authority within a period of one month of receipt of intimation of such order and the order passed by the such authority after holding such enquire as it may consider necessary, shall



be final. Such appeals shall ordinarily be disposed of within a period of two month.

Provided that no appeal shall lie against an order passed by the Managing Director/Chief Engineer, However the person aggrieved by the said order may apply to Managing Director for a review within the period specified above and the Managing Director may pass any order considered suitable.

Register of  
classified  
contractors

**REGULATION-17 :** (1) All authorities competent to enlist contractors and all Assistant Engineers shall maintain a register of classified constrictors in from mentioned in Appendix 'I' Separate registers will be maintained for different categories. Under each category separate pages will be allotted for contractors of different classes.

(2) In this register names of the contractors will be entered under the class in which the contractor is ordered to be enlisted other by the authority maintaining the Register or by any higher authority having jurisdiction.

For this purpose orders of enlistment, which have become final after deposit of general security shall be communicated by the authority concerned to all offices below him.

Certificate of  
enlistment

**REGULATION-18 :** (1) The authority competent, enlist a contractor in the form mentioned in Appendix 'J' after the order of enlistment has become final on deposit of general security.

(2) The enlistment will be valid for & period of three years from the date of registration.

(3) Within two months before expiry of the certificate of enlistment, the contractor will apply for renewal of the certificate to the authority competent to enlist a contractor of that class and under his jurisdiction, in the form mentioned in Appendix 'K' together with required documents including character certificate.

Contractors who are registered before the revision of this regulation will have to submit certificate of satisfactory execution of five works and solvency of the amount mentioned in this revised regulation while applying for first renewal after revised regulation come into force. The authority concerned will than issue fresh certificate of enlistment valid till the end of the next years. The fees for renewal shall be the same as for Registration and shall have to e deposited with each renewal. However if contractor falls to submit his renewal application as referred above within stipulated period, he will be liàble to pay a fine @ 500/- per month or part there of up to six months and Rs. 1000/- per month or part there of for the remaining six months. If contractor fails to apply for renewal during one year, his registration will be treated as cancelled and he will have to apply for fresh new registration. Fresh Certificate of enlistment issued after renewal will remain valid for three years from the expiry date of old registration.

(4) Duplicate certificate of registration may be issued in case where they are lost or destroyed due to an accident or any other valid reason the application for a duplicate copy should be submitted within from the date on which it has been lost. The following fee will be a week charged for the issue of the duplicate copies.

- |                      |         |
|----------------------|---------|
| A. Class contractors | Rs. 150 |
| B. Class contractors | Rs. 120 |
| C. Class contractors | Rs. 90  |
| D. Class contractors | Rs. 60  |

(5) In case of a firm (s) and private and Public Limited Companies an Individual should be authorized to deal with the department on their behalf issue on production of power of Attorney.

### **General Regulation -19**

With the concurrence of Finance Director U.K. Peyjal Nigam the Chief Engineer in charge of centralized purchase at Head Office Peyjal Nigam shall have the authority to revise and update the cost of application forms for registration postage charges, registration and its renewal fees etc. and other financial figures given anywhere in the regulation.



## Appendix – 'A'

### REGULATION : 2 (1)

Contractors enlisted in a particular class will be entitled tenders of value not exceeding the amount mentioned below :

Category	Class-A	Class-B	Class-C	Class-D
<b>1- Water Supply Works :</b>				
(a) Over Head Tank	Up to any Limit	Rs. 100 Laes	Rs. 40 Laes	X
(b) Pipe Laying	---do--	Rs. 100 Laes	Rs. 40 Laes	Rs. 25 Laes
(c) Tube Well	---do--	Rs. 100 Laes	Rs. 40 Laes	X
(d) Hand Pumps	---do--	Rs. 100 Laes	Rs. 40 Laes	Rs. 25 Laes
<b>2- Sewerage Works :</b>				
(a) Sewer Laying Sewage Channel Storm Water Drainage & Appurtenant Works	---do--	Rs. 100 Laes	Rs. 40 Laes	Rs. 25 Laes
<b>3- Building Works including roads</b>	---do--	Rs. 100 Laes	Rs. 40 Laes	Rs. 25 Laes
<b>4- Electrical &amp; Mechanical works Including supply &amp; installation of Pumping Plants</b>	---do--	Rs. 100 Laes	Rs. 40 Laes	Rs. 25 Laes
<b>5- Intake Works/ Intake works/Clear Water Reservoir/ Sewage Pumping Station/ Water Supply Pumping Station.</b>	---do--	Rs. 100 Laes	X	X
<b>6- Water Treatment</b>	---do--	Rs. 100 Laes	X	X
<b>7- Sewage, Waste Water &amp; Industrial Waste Treatment</b>	---do--	Rs. 100 Laes	X	X
<b>8- Turnkey Projects :</b>				
(a) Water Supply	---do--	Rs. 100 Laes	Rs. 40 Laes	X
(b) Sewerage	---do--	Rs. 100 Laes	Rs. 40 Laes	X

**Note :-** In pipe laying & hand pump works, cost of pipe & hand pump material will not be included in the cost.

**REGULATION : 4**

Minimum solvency required for enlistment in each class :-

Category	Class-A	Class-B	Class-C	Class-D
1- Water Supply Works :				
(a) Over Head Tank	30.00	20.00	10.00	X
(b) Pipe Laying	20.00	10.00	2.00	1.00
(c) Tube Well	20.00	10.00	2.00	X
(d) Hand Pump	20.00	10.00	2.00	1.00
2- Sewerage Works :				
(a) Sewer Laying Sewage Channel Storm Water Drainage & Appurtenant Works	30.00	20.00	10.00	1.00
3- Building Works including roads	20.00	10.00	5.00	1.00
4- Electrical & Mechanical works including supply & installation of Pumping Plants	20.00	10.00	2.00	1.00
5- Intake Works Intake works/Clear Water Reservoir/ Sewage Pumping Station/ Water Supply Pumping Station.	30.00	20.00	X	X
6- Water Treatment	30.00	20.00	X	X
7- Sewage, Waste Water & Industrial Waste Treatment	30.00	20.00	X	X
8- Turnkey Projects :				
(a) Water Supply	100.00	75.00	50.00	X
(b) Sewerage	100.00	75.00	50.00	X

Note :- (1) All the above figures area in Rs. Lacs  
(2) Contractors enlisted in class B &C for any category (except 1-d) shall be allowed to tender for Turnkey Project Class B & C respectively, but will have to submit solvency as mentioned in (8 a & b) above.

## Appendix- 'C' REGULATION : 4

Sl. No.	Category	Class-A	Class-B	Class-C	Class-D
1	Water supply works				
(a)	Reservoirs	One qualified Graduate Civil Engineer	One qualified Civil Engineer /Diploma holder with 3 years experience	One Diploma holder civil with 3 year experience	X
(b)	Pipe Laying	One Diploma Holder (Civil)	One Licensed Plumber	Experienced plumber	Experienced Plumber at the time of execution.
(c)	Tube Well	One qualified Graduate Engineer Mechanical & one experienced Driller	One experienced Driller	One Driller	X
(d)	Deepwell Hand Pump	One Diploma holder Civil/Mechanical	One licensed Mechanic/experienced Driller	Experienced Mechanic/Borer	Experienced Mechanic/Borer
2.	Sewerage Works.				
(a)	Pumping Station	One Graduate Civil Engineer with 3 years experience & one Diploma holder	One Civil Diploma holder with 3 year experience.	One Civil Diploma holder with 3 years experience.	X
(b)	Sewer Laying Sewage Channel Storm Water Drainage & Appurtenant Works	One qualified with 3 year Experience	One qualified Civil Engineer/ Diploma holder (Civil) with 3 years experience.	One Diploma holder (Civil)	Licensed plumber.
3.	Building Works including road	One Degree holder (Civil) Engineer	Diploma holder (Civil)	Diploma holder (Civil)	X



4	Electrical & Mechanical Works including supply & installation of pumping plants	One Graduate Mechanical/Electrical with 3 years experience Class - A License	Diploma holder Mechanical/Electrical Class-B License	Licensed Electrician with experience	Licensed Electrician
5	Intake Works	One Graduate Civil Engineer with 3 years experience	One Diploma holder in Civil Engineering with 3 years experience	X	X
6	Water Treatment	One Graduate Civil Engineer & one Graduate Electrical/Mechanical Engineer	One Diploma holder in civil Engineering with 3 years experience & one Diploma holder Mechanical/Electrical with 3 years experience	X	X
7	Sewage water & industrial Waste Treatment.	.....do....	.....do....	X	X
8.	Turnkey Projects				
(a)	Water Supply	Two Graduate Civil Engineer with 5 years experience, one Graduate Electrical/Mechanical Engineer & one Civil Diploma holder with 5 years experience	One Graduate Engineer with 3 years experience., One Graduate Mechanical/Electrical Engineer & One Civil Diploma holder with 3 years experience	One Graduate Civil Engineer with 3 years experience., One Graduate Mechanical/Electrical Engineer & One Civil Diploma holder with 3 years	X
(b)		.....do....	.....do....	.....do....	

Note :- Contractor will have to submit a certified copy of degree/diploma of the technical staff at the time of registration.

## Appendix - 'D'

### (REGULATION: 4)

Minimum Machinery, Tools & Plants required for Enlistment in each Class :

Sl. No.	Category wise Equipment required			Class-A	Class-B	Class-C
1.	Category	1. (a)	Reservoirs			
		2 (a)	Pumping Station			
		2 (b)	Sewer Laying, Sewage Channel, Storm Water Drainage & Appurtenant works			
		3	Building Works including Roads			
		5	Intake Works			
		6	Water Treatment			
		7	Sewage, Waste Water & Industrial Waste Treatment			
	Equipment	1.1	Concrete Mixer (Mechanical	2	1	1
		1.2	Vibrator	2	1	1
				With 2 pin	with 2 pin	with 2 pin
		1.3	Pumping Plant 5 H.P.	2	1	1
		1.4	Grinding Mill (Building only)	1	x	x
		1.5	Excavating G..... (Sewerage works)	1	1	x
		1.6	Well Point Equipment (Sewerage works & Intake works)	1	1	1
		1.7	Mechanical Dredger (Intake works)	1	1	X
2.	Category	1 (b)	Pipe laying			
	Equipment	2.1	Hydraulic Testing Equipment	2	1	1
		2.2	Hydraulic Air compressor	1	x	x
		2.3	Truck/Tractor	2	1	1
3.	Category	1 (c)	Tube Wells			
	Equipment	3.1	Rig Machine	2	1	1
		3.2	Air Compressor	2	1	1
		3.3	O.P. Units	2	1	1
		3.4	Truck	2	1	1
4.A	Category	1 (d)	(i) Deep Well Hand Pumps (Hand Boring)			
	Equipment	4..1	Winch Machine	2	1	1
		4..2	Small Truck/ Matador	2	1	1
		4..3	Drilling Equipments sets	10	5	2
		4..4	Lowering Instruments	10	5	2
		4..5	Plumbing equipments sets	10	5	2



4.B	Category	1 (d)	(ii) Deep Well Hand Pumps (Rig Machine)			
		4.6	Rig Machine	2	1	1
5.	Category	4	Electrical & Mechanical works Including Supply & Installation of Pumping Plants			
		5.1	Electrical & Mechanical Testing Equipments	2 sets	1 set	1 set
6.	Category	-8	Turnkey projects			
		8 (a)	Water Supply	As detailed In sl. No.	As detailed In sl. No.	As detailed In sl. No.
				1.1 to 1.4 2.1 to 2.3 3.1 to 3.4 & 5.1 above along with required heavy machinery as per need of the project	1.1 to 1.4 2.1 to 2.3 3.1 to 3.4 & 5.1 above along with required heavy machinery as per need of the project	1.1 to 1.4 2.1 to 2.3 3.1 to 3.4 & 5.1 above along with required heavy machinery as per need of the project
		8 (b)	Sewerage	1.1 to 1.6 2.1 to 2.3 3.3 to 3.4 & 5.1 above along with required heavy machinery as per need of the project	1.1 to 1.6 2.1 to 2.3 3.3 to 3.4 & 5.1 above along with required heavy machinery as per need of the project	1.1 to 1.6 2.1 to 2.3 3.3 to 3.4 & 5.1 above along with required heavy machinery as per need of the project

Note: In addition to above the contractor will have to arrange all the other T&P required for proper completion of works.



## Appendix - 'E'

### (REGULATION : 4)

Minimum value of each of the five works that should have been executed satisfactorily for enlistment in each class.

Category	Class-A	Class-B	Class-C	Class-D
<b>1- Water Supply Works :</b>				
(a) Over Head Tank	40.00	20.00	5.00	X
(b) Pipe Laying	25.00	15.00	5.00	X
(c) Tube Well	25.00	15.00	5.00	X
(d) Hand Pump	25.00	15.00	5.00	X
<b>2- Sewerage Works :</b>				
(a) Sewer Laying Sewage Channel Storm Water Drainage & Appurtenant Works	40.00	20.00	10.00	X
<b>3- Building Works including roads</b>	40.00	20.00	10.00	X
<b>4- Electrical &amp; Mechanical works including supply &amp; installation of Pumping Plants</b>	20.00	10.00	5.00	X
<b>5- Intake Works Intake works/Clear Water Reservoir/ Sewage Pumping Station/ Water Supply Pumping Station.</b>	40.00	20.00	X	X
<b>6- Water Treatment</b>	40.00	20.00	X	X
<b>7- Sewage, Waste Water &amp; Industrial Waste Treatment</b>	40.00	20.00	X	X
<b>8- Turnkey Projects :</b>				
(a) Water Supply	120.00	75.00	15.00	X
(b) Sewerage	120.00	75.00	15.00	X

Note : 1. All the above figures are in Rs. Lacs.

2. Cost of pipes & specials has not been included in 1 (b)

3. Cost of Hand Pumps and allied materials has not been included in (1d)

## Appendix - 'F'

### (REGULATION: 9-1)

# Application Form

For Enlistment of Contractor in Uttarakhand Peyjal Nigam

Date.....day of .....20.....

To.....

Sir,

I/We have the honour to apply for enlistment as  
class.....

Contractor in Uttarakhand Pey Jal Nigam for

Category.....

2.1 A sum of Rs. ....being the fees in respect of this  
application ..... form along with a copy of regulations has been  
deposited in cash/by Money Order and the Receipt No.....dated.....  
is enclosed.

2.2 The prescribed Registration free of Rs. ....  
Rs.....only in cash/  
Demand Draft No.....dated.....towards  
enlistment as classified contractor is also enclosed herewith.

3. The required particulars are given below:

#### PARTICULARS

1. (a) Name of the applicant  
individual/Firm/Company .....
- (b) Address of the Individual/  
Firm/Company .....
- (c) Telegraphic address and  
Telephone No. If any .....
2. Nationality of the Individual  
Place or registration In corporation  
of firm or company  
(attested copies of deeds or records  
of association are to be enclosed) .....



3. Profession of individual or nature of business of firm or company and place of business .....
4. Whether enrolled as a member of any Builders Association. If so, name of the association and the date of enrollment .....
5. Name of persons holding the power of Attorney (Attested copy of power attorney to be enclosed) .....
6. Name of partner with their respective share in the firms (copy of partnership deeds to be partnership firms) .....
7. (a) Name of banker and full address .....  
.....  
.....
- (b) In case of limited liability of Companies copy of last Balance sheet duly audited should be attached .....
- (c) Particulars of Immovable Properties with their market Value (attach certificate From Deputy Commissioner/ Collector) .....
8. Area in which the contractor wants to work .....

9. List of works executed .....
- (a) Name of work .....
- (b) Name of work .....
- (c) Year of execution .....
- (d) Authority under which work  
carried out (to be shown  
on separate statement).  
Original or attested copies  
of certificates, if any, may  
be enclosed. ....
- (e) Work execution Period  
commencement and  
completion date .....
10. Resources of contractor :
- (a) Details of Technical staff  
employed with technical  
qualification and experience .....
- (b) Details of tools and plants,  
Machinery, transport, etc  
Details of workshop, if any  
With location .....



11. Whether the applicant is Diploma holder or qualified Civil, Mechanical or electrical engineer or has any, other technical qualification.  
(Attested copy of the Diploma or Degree to be attached) .....
12. (a) Whether the applicant is already enlisted In U.P Jal Nigam if so, in which class and category .....
- (b) Whether enlisted with any other department, If so, in which class and category showing amount upto which qualified to tender. ....
13. Whether the applicant is a shareholder of any firm enlisted in this department or any other department (giving full details) .....
14. (a) How many years has the applicant's organization been in business as a general contractor under the present business name .....
- (b) Has applicant ever failed to execute any work awarded to him .....

## CERTIFICATE

1. I/We certify that I/We will not get my self/ourselves registered as contractor in Uttarakhand Peyjal Nigam under more than one name.
2. I/We agree to notify the officer accepting this application and enlisting my/our name on the Uttarakhand Peyjal Nigam's list of contractors of any change in the following particulars as they occur and to verify and confirm these particulars.
3. I/We certify that above particulars are correct and that if I/We have given a false certificate or that If I/We fail to notify the fact of my/our subsequent amalgamation with another contractor of firm my/our name is liable to be removed from the U.P. Jal Nigam's list of contractors and any contract that I/We may be holding at that time is liable to be rescind.

Signature of the Applicant

and Address.....

### **IMPORTANT NOTES ;**

1. All relevant Certificates should be attached with the application.
2. Application for enlistment in each category should be submitted separately.
3. Income tax Clearance Certificate for the latest assessment year should be enclosed along with the application, without the Income-Tax Clearance Certificate the applicator will not be considered.
4. Incase of Partnership firm, copy of deed and in case of company, article of assouation will be attached.
5. Character certificate will be submitted with two extra passport size photo.



## Appendix - 'G'

### (REGULATION : 10)

Officers Authorized to Enlist

Sl. No.	Category	Class-A	Class-B	Class-C	Class-D
1.	Water Supply works :				
	(a) Reservoirs	M.D	C.E.	S.E.	x
	(b) Pipe Laying	"	"	"	E.E
	(c) Tube Wells	"	"	"	x
	(c) Hand Pumps	"	"	"	E.E
	(d)				
2.	Sewerage Works				
	(a) Pumping Station	"	"	"	x
	(b) Sewer laying Sewage Channel Storm Water Drainage & Appurtenant Works	"	"	"	E.E
3.	Building Works Including Roads	"	"	"	"
4.	Electrical & Mechanical works including Supply & Installation of Pumping Plants	"	"	"	"
5.	Intake Works	"	"	x	x
6.	Water Treatment	"	"	x	x
7.	Sewage Waste Water & Industrial Waste Treatment	"	"	x	x
8.	Turnkey Project :				
	(a) Water Supply	"	"	S.E.	x
	(b) Sewerage	"	"	S.E.	x

1. CE. Stands for Chief Engineer Garhwal & Kumaun Zones.

2. Registration of Class 'A' contractor for Uttarakhand Peyjal Nigam will be done by the Materials Manager on behalf of Chief Engineer Incharge of centralised materials purchase at Jal Nigam Head Office.

# Appendix - 'H'

## (REGULATION : 18)

### General Security

Sl. No.	Category	Class-A			Class-B			Class-C			Class-D		
		Amount In Rs.	Pledged To	Amount In Rs.	Pledged To	Amount In Rs.	C.E. of Zone	Amount In Rs.	Pledged To	Amount In Rs.	Pledged To	Amount In Rs.	Pledged To
1.	Water Supply Works:	5000	Managing Director	20000		5000	S.E.						
	(a) Reservoirs												
	(b) Pipe laying	50000	-do-	20000	-do-	5000	-do-	2500	-do-	2500	E.E		
	(c) Tube Wells	50000	-do-	20000	-do-	5000	-do-		-do-		X		
	(d) Deepwell Hand Pumps	50000	-do-	20000	-do-	5000	-do-	2500	-do-	2500	-do-		
2.	Sewerage Works :	50000	-do-	20000	-do-	5000	-do-		-do-				
	(a) Pumping station	50000	-do-	20000	-do-	5000	-do-		-do-		X		
	(b) Sewer laying Sewage Channel Storm Water Drainage & Appurtenant Works	50000	-do-	20000	-do-	5000	-do-	2500	-do-	2500	-do-		
3.	Building works including roads	50000	-do-	20000	-do-	5000	-do-	2500	-do-	2500	-do-		
4.	Electrical & Mechanical Works including supply & installation of Pumping Plants	50000	-do-	20000	-do-	5000	-do-	2500	-do-	2500	-do-		
5.	Intake Works	50000	-do-	20000	-do-		-do-						
6.	Water Treatment	50000	-do-	20000	-do-		-do-						
7.	Sewage, Waste Water & Industrial Waste Treatment	50000	-do-	20000	-do-		-do-						
8.	Turnkey Project :												
	(a) Water Supply	200000	-do-	80000	-do-	20000	-do-	20000	-do-	20000			
	(b) Sewerage	200000	-do-	80000	-do-	20000	-do-	20000	-do-	20000			

Note : 1. For registration in Class-A for Uttarakhand Peyjal Nigam, the General Security will be pledge to Managing Director, Uttarakhand Peyjal Nigam

2. For registration in Class-A for Kumaun, & Garhwal zone, the General Security will be pledge to Chief Engineer (Garhwal/Kumoun)



## Appendix - 'I'

### (REGULATION : 17) (1)

**CATEGORY :** (1) Water Supply Works (a) Reservoirs (b) Pipe Laying (c) Tube Wells (d) Deepwell Hand Pumps  
 (2) Sewerage Works: (a) Pumping Station (b) Sewer Laying, Sewage Channel, Storm Water Drainage & Appurtenant Works  
 (3) Building Works including roads (4) Electrical & Mechanical Works including supply & installation of Pumping Plants.  
 (5) Intake Works (6) Water Treatment. (7) Sewage, Waste Water & Industrial Waste Treatment. (8) Turnkey Projects : (a) Water Supply. (b) Sewerage.

Sl. No.	Name of Contractor	Full Address	Reference number date and authority passing order of enlistment	Full Signature of the officer maintaining the Register	Reference number, date of order and authority passing the order for removing the name of contractor	Signature of Officer in full maintaining the Register	Remarks
1	2	3	4	5	6	7	8

Name of Office

No.....

Date.....

**Appendix 'J'**

(Regulation -18 (1) )

**Certificate of Enlistment**

Attested  
Photographs  
of contractor  
from Gazetted  
Officer/  
Notary

CERTIFIED that Sri/Sarvasri .....

.....address.....

.....is/are enlisted as a class.....

Contractor in Uttarakhand Peyjal Nigam for.....(Category).

This certificate is valid for the .....and shall expire  
on .....(Mention area.i.E. State Circle/Div.).....

Signature of Contractor

Chief Engineer/  
Superintending Engineer  
Executive Engineer



## Appendix - 'K'

(REGULATION – 18 (3) )

### Application for Renewal of Certificate of Enlistment

To,

.....  
.....  
.....

Dear Sir,

I/We hereby apply for the renewal of my/our certificate of enlistment  
No. .... dated ..... as Class .....  
Category ..... contractor which will expire on .....

2. It is hereby declared that I/we still possess the solvency machinery.  
Tools and plants and employ the technical staff required for above enlistment  
and that I/we and/ are otherwise also qualified for such enlistment

3. It is understood that the enlistment is liable to be cancelled if the above  
declaration is found to be wrong.

4. The following are enclosed for record :

- (i) Income Tax clearance certificate
- (ii) Sales Tax clearance certificate.
- (iii) Solvency certificate from Bank.
- (iv) Satisfactory completion of works report from the area of work.
- (v) Character Certificate from District/Additional District Magistrate

SIGNATURE

APPLICANT

# एपेन्डिक्स 'एल'

विनियम : 9 (3))

## चरित्र प्रमाण पत्र का प्रारूप

थाना ..... जिला ..... एवं तहसील .....  
..... जिला ..... से प्राप्त लिखित सूचना के  
अधार पर

प्रमाणित किया जाता है कि श्री .....

पुत्र.....

निवासी.....थाना.....तहसील.....

जिला ..... एक सम्भ्रान्त व्यक्ति है, उनके  
विरुद्ध न कभी कोई दण्डनीय कार्यवाही की गयी और न ही कोई सम्प्रति विचाराधीन  
अथवा जाँचाधीन है और न कोई उनके चरित्र या आर्थिक स्थिति के विषय में अभी  
तक ऐसी बात या तथ्य प्रकाश में आया है, जो उनके नाम के शासनादेश/  
नियमावली/टेन्डर शर्तों ..... के अनुपालन में  
विचारनीय सूची में पंजीकरण में बाधा या अवरोध उत्पन्न करे।

दिनांक .....

अतिरिक्त जिलाधिकारी  
उप जनपदाधिकारी  
(सील)

नोट :- एक फोटो अलग से पीछे हस्ताक्षर करके भेजें जिसे परिचय-पत्र पर लगाना है।



## Appendix - 'M'

### (REGULATION – 6)

I .....S/o.....  
Resident of .....(\*) Karat/  
Partner .....  
applicant for enlistment as a contractor in U.K. Peyjal Nigam do hereby  
declare that is following persons are in my/our regular employment on the post  
and from the date mentioned against them.

I undertake that if any of the post falls vacant and is left unfilled before  
more than one month I/We shall inform the authority to whom the application  
for enlistment is being made.

No.	Name and address	Technical qualifications	Post hold	Date of regular employment
1	2	3	4	5

Declared in my presence

(Seal Notary Public)

(\*) If the applicant is not an individual

APPLICANT

**Appendix - 'N'**  
**(REGULATION – 7)**

I.....S/o.....  
Resident of .....(\*) Karat/  
Partner .....  
applicant for enlistment as a contractor in U.K. Peyjal Nigam do hereby  
declare that I/We possess the following machinery tools and plants.  
I undertake that if there is any, reduction in this equipment below the limit  
required by the relevant regulation I/We will inform the authority to whom the  
application for enlistment is being made.

Sl. No.	Particulars of machinery etc.	Estimated Cost (Rs. In lac.)	Approximate Age	Other remarks if any
1	2	3	4	5

Declared in my presence  
(Seal Notary Public)  
(\*) If the applicant is other  
Than an individual

SIGNATURE  
APPLICANT



## Appendix - 'O'

### (REGULATION – 8)

I ..... S/o .....

Resident of ..... (\*) Karat/

Partner ..... of .....

..... applicant for enlistment as a contractor in  
Uttarakhand Peyjal Nigam do hereby declare that I will comply all the  
prevailing Labour Welfare Act./guide lines prescribed by the Govt. of  
India/Govt. of Uttarakhand and incase if found guilty for non compliance of the  
Acts./Norms. I will be fully responsible for any action or penalty imposed by  
the regulatory authority.

Declared in my presence  
(Seal Notary Public)



Signature of Contractor

Signature  
Applicant

(\*) If the applicant is other  
Than an individual