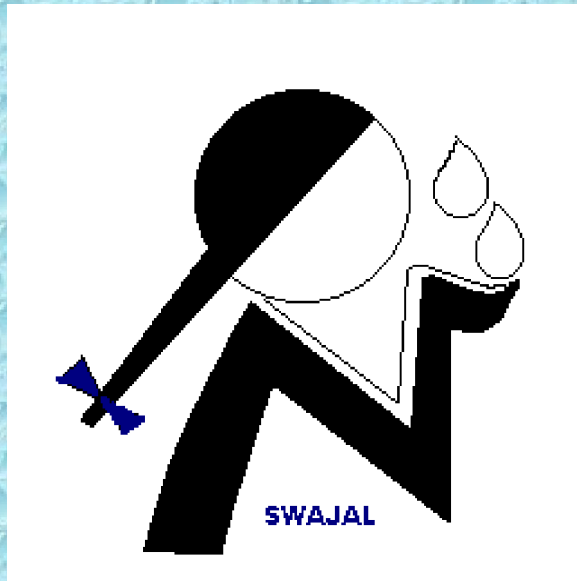


8th
Annual Progress Report
(From 1st April 2008 to 31st March, 2009)



**Uttarakhand Rural Water Supply &
Environmental Sanitation Project
(Swajal)
Project Management Unit**

“Uttarakhand Rural Water Supply & Environmental Sanitation (Swajal Project) Project Management Unit is a society established by the Government of Uttarakhand to promote, facilitate, and empower rural communities and village Panchayat to own, operate and manage their village water supply and sanitation systems.”



Society's Governance Philosophy

The essential character of Swajal revolves around values based on transparency, integrity, professionalism and accountability. Swajal is all about:

- ✓ Working towards sustainable development
- ✓ Helping people to help themselves
- ✓ Making WATSAN management PRI's concern; and
- ✓ Choice and voice of the people.

“Providing key to drinking water security and habitat improvement by empowering local communities to manage their water resources and sanitation needs”

MILLENNIUM DEVELOPMENT GOAL AND UTTARAKHAND SECTOR VISION -2012

The Millennium Development Goal (MDG) Regarding Water & Sanitation sector, states that by year 2015 "Reduce by half the proportion of people without suitable access to drinking water and basic sanitation"

Vision -2012 of Uttarakhand Rural Water Supply & Sanitation Sector has realization of MDG.

The Government of Uttarakhand (GoUK) has prioritized RWSS as a key area of its development agenda in its Tenth Plan (2003-07). GoUK envisages universal coverage of safe and potable water and sanitation by the end of its Eleventh Plan (2008-12). The vision also delineates the renewed institutional structure of the state as: "the rural local government in partnership with rural communities, shall plan, design, construct, operate, and maintain their water supply and sanitation schemes; so that they get potable water and attain health and hygiene benefits; GoUK and its sector institutions shall act as supporter, facilitator, and co-financier and as per need shall provide technical assistance, training and cater for bigger construction works and sectoral contingencies. The institutional, legal, and financial changes will be brought in by 31st March 2007 and ultimate realization of the VISION will be expected in year 2012."



SARAR Tools Demonstration



NGP Award Ceremony 2007-08



CWR Constructed at Badeth, Dehradun



H.P. install at Jeewanwala, Dehradun



Community Toilet



OHT Constructed at Haridwar



View Point of Camera



CWR Constructed, at Nainital



IEC Activities, Champawat



Agree to do Meeting



Individual Household Latrines Construction



Women Participation



Drinking Water Supply in Stand Post



School Rallies



Water Quality Sample Testing



I PQA Sign



Water Testing by FTK

Highlights of Annual Progress Report

2008-09

S.No.	Name of Program	Physical		Financial (Rupees in Lakh)
		Target	Achievement	Achievement
1.	Uttarakhand Rural Water Supply and Sanitation Project	Revised Project end 2348 habitations	-	2245.02
		Preplanning: 281	5595 >100%	
		Planning :234	3770 >100%	
		Implementation :213	656 >100% Schemes: 331	
		Completion :203	130 During the year and 143 Cumulative	
2.	Swajaldhara	101 Schemes	<ul style="list-style-type: none"> • 75 Schemes completed • 26 schemes ongoing 	302.98
3.	Total Sanitation Campaign	<ul style="list-style-type: none"> • 80,000 IHHLs • 1823 Schools Toilets 	<ul style="list-style-type: none"> • 98884 IHHLs Completed • 870 Schools completed 	570.48
4.	CCDU	-	<ul style="list-style-type: none"> • 61 Workshops, • 40 Training Program, • 46 Awareness Camp • 17 TV spots 	91.74
5.	NRDWQM & SP	-	<ul style="list-style-type: none"> • 268 Grassroots level awareness camp • 486 Mass media campaigns • 63 Sanitary Survey of GPs 	114.74

Society in Brief

- **Name - Uttarakhand Rural Water Supply and Environmental Sanitation (Swajal) Project Management Unit**
- **State Govt's Controlling Department: Department of Drinking Water**
- **Date of Registration: 5th March 2001**
- **Registration Number: 854/2001**
- **Head Office: Project Management Unit (PMU) Swajal Project Mussoorie Diversion Road, Makkawala, Dehradun, Phone: 91-135-2733380, 2733455; Fax: 91-135-2733381.**
- **Website & Email ID: www.gov.ua.nic.in/swajal E-mail: pmu_uttaranchal@rediffmail.com**
- **District Level Offices: District Project Management Unit (DPMU) Swajal Project.**
 - (i) Dehradun; (ii) Haridwar; (iii) Pauri; (iv) Tehri; (v) Uttarkashi; (vi) Chamoli; (vii) Rudraprayag; (viii) Almora; (ix) Bageshwar; (x) Pithoragarh; (xi) Champawat; (xii) Nainital; (xiii) Udham Singh Nagar
- **Chairman: Chief Secretary, Govt. of Uttarakhand**
- **Vice Chairman: Secretary, Drinking Water, Govt. of Uttarakhand**
- **Member Secretary: Director, Project Management Unit (Swajal)**
- **Objectives:**
 - to deliver sustainable health and hygiene benefits to the rural population through improvements in water supply and environmental sanitation services which will increase rural income through time savings and income opportunities for women, test an alternative to the current supply driven service delivery mechanism and promote sanitation and gender awareness;
 - to promote the long-term sustainability of the rural water supply and sanitation sector by providing assistance to Government of Uttarakhand to identify and implement an appropriate policy framework and strategic plan.
 - to function as a resource organization for bringing about the sectoral reforms in the rural water & sanitation sector.
- **Programs under Implementation:**
 - World Bank assisted Uttarakhand Rural Water Supply and Sanitation Project (Sector Program)
 - GoI financed Swajaldhara;
 - GoI & GoUK financed Total Sanitation Campaign (TSC);
 - GoI financed Communication & Capacity Development Unit (CCDU); and
 - GoI financed National Rural Drinking Water Quality Monitoring & Surveillance Program (NRDWQM&SP)
- **Organization Management Structure:**
 - General Body (GB)
 - Executive Committee (EC)
 - Finance Committee (FC)

Executive Summary

Executive Summary

‘Uttarakhand Rural Water Supply and Environmental Sanitation (Swajal) Project Management Unit’, Dehradun, an autonomous society of the Department of Drinking Water, Govt. of Uttarakhand, is a premier rural water supply and sanitation facilitator in the WATSAN sector. This society was registered on 5th March 2001 under the department of Drinking Water Supply, Government of Uttarakhand with twin objectives: (i) to coordinate and monitor the implementation of World Bank assisted Rural Water Supply and Environmental Sanitation (Swajal Project) and (ii) to implement Sector Reform Project and other projects sponsored by the Government of India.

After Orderly closure of the World Bank Assisted Swajal Project in May 2003, The State Government of Uttarakhand decided to continue the PMU and DPMUs offices with objectives namely (i) to prepare the follow-on-project of Swajal Project (Phase-I); (ii) to do loan closing of Swajal Project Phase-I and sustainability monitoring of assets created therein; (iii) to provide technical assistance for community development and to do monitoring and evaluation of implementation of Swajaldhara program; (iv) to act as HRD Cell under GoI’s funding; (v) to implement Information, Education & Communication (IEC) program; (vi) to implement Total Sanitation Campaign (TSC) program; (vii) to oversee the implementation of Sector Reform Project, Haridwar; and (viii) to do any other work assigned by the GoI & GoUK.

Location of Offices: The Society has its state level office in Dehradun which is known as Project Management Unit (PMU). In addition, the Society has 13 District Project Management Unit (DPMUs)

During the Financial Year 2008-09, this Society implemented/managed the activities of following five Projects/Programs (i) Uttarakhand Rural Water Supply and Sanitation Project (Sector Program) jointly financed by Govt. of India, Govt. of Uttarakhand and the World Bank; (ii) Swajaldhara Program, financed by the Govt. of India; (iii) Total Sanitation Campaign (TSC) jointly financed by Govt. of India & GoUK; (iv) Communication and Capacity Development Unit (CCDU), financed by Govt. of India and (v) National Rural Drinking Water Quality Monitoring & Surveillance Program (NRDWQM&SP), financed by the Govt. of India.

The Management Structure

There are three Management Committees to provide policy guidance and to monitor physical and financial performance and management of the rural water supply and sanitation projects/programs under the aegis of the Society. The Apex Committee known as General Body (GB) is headed by the Chief Secretary and comprises seventeen members. Executive Committee (EC) has ten members in all and the Chief Secretary of GoUK is the Chairman of the EC. Apart from the GB and EC, there is also a Finance Committee (FC) under the

chairmanship of the Secretary, Drinking Water (GoUK) to manage the routine affairs of the PMU.

During 2008-09, Mr. S.K. Das, Chief Secretary presided over the EC & GB meetings upto 11th August, 2008. During the latter part of the F.Y. Mr. Indu Kumar Pande, Chief Secretary took over as Chairman of the Society from 11.08.2008 to till now. Mr. M.H. Khan, Secretary Drinking Water remained as Vice Chairman of the Society During the year in retrospect Mr. Gambhir Singh worked as Director of the PMU and Member Secretary of the Society upto 12th August, 2008. During the Latter part of the F.Y. Mr. Kapil Lall took over charge as Director of the PMU and Member Secretary of the Society.

The Chairman, Vice Chairman and Hon'ble members of FC, EC and GB gave effective guidance and directions for expeditious implementation of the projects/ programs undertaken by this society. The GB and EC members of the society met once (01) during F.Y. 2008-09 to provide overall policy guidance and to monitor physical and financial performance of various projects/ programs being executed under the aegis of this society. The FC members met five times in the F.Y 2008-09 to steer activities and ensure management of the society.

The progress of various programs is as below:

(i) World Bank assisted Uttarakhand Rural Water Supply & Sanitation Project (URWSSP) Sector Program :

The URWSS Project, supporting a sector wide approach (SWAp) for rural water supply and sanitation in the state has been effective since 30th November, 2006. This project is jointly financed by the World Bank, Government of India, Government of Uttarakhand and Community. There are three implementing agencies, PMU Swajal, Uttarakhand Jal Sansthan (UJS) and Uttarakhand Peyjal Nigam (UJN) for execution of the project. The total project cost is US\$224 million of which the World Bank will contribute maximum of US\$120 million.

The Project development objective is to improve the effectiveness of rural water supply and sanitation (RWSS) services through decentralization and the increased role of the Panchayati Raj Institutions and the involvement of local communities in the state of Uttarakhand. The RWSS schemes under sector program are adopting an integrated approach for improving sustainability of water supply and maximizing health benefits. The water supply schemes are integrated with catchment area conservation and management program, household and village environmental sanitation program, solid waste management, health and hygiene awareness promotion and safe disposal of waste water.

The physical and financial progress under this program is given in brief in the following paragraphs:

- **Physical Progress**

During the year in retrospect, 84 single village water supply schemes covering 130 habitations were completed and commissioned. Implementation stage works for 331 single village water supply schemes were under way. Planning phase activities were under progress in 457 Gram Panchayats including formation of 435 UWSSCs. The cumulative physical progress since launch of the project till March, 2009 is 91 schemes covering 143 habitations.

- **Financial Progress**

§ Total available funds during the year were Rs.5473.25 lakhs which includes opening balance, releases during the year and bank interest. Payment amounting to Rs.3341.32 lakhs (consisting expenditure of Rs.2245.02 and net advance to GPs) was made during the year and cumulative expenditure since the launch of the program is Rs.3451.50 lakhs up to the March, 2009 and the closing balance at the end of the year was Rs.2131.93 lakhs.

- **World Bank Mission Visits**

Two Implementation Support Missions (October, 2008 and February, 2009) along with two Technical Missions (May, 2008 and July, 2008) of the World Bank also reviewed the implementation progress of the project during the year F.Y. 2008-09.

(ii) Swajaldhara Program

Rajiv Gandhi National Drinking Water Mission of Drinking Water Department, Ministry of Rural Development, Govt. of India initiated sector reforms in rural drinking water supply sector named Swajaldhara in December 2002 across the country based on the principles of demand responsive approach, capital cost sharing by communities and 100% operation and maintenance (O&M) by communities. This program is being implemented in all the thirteen districts of the State. Under this program, each village has to follow a scheme cycle of about 36 months consisting of four distinct phases- startup, planning, implementation and O&M.

- **Physical Progress**

§ Seventy Five (75) Single Village Water Supply Schemes were completed and commissioned during the year. The cumulative physical progress since the launch of the program till March, 2009 was one hundred fifty four schemes (154). Implementation was ongoing in Twenty six (26) single village water supply schemes.

- **Financial Progress**

§ Total available funds during the year were Rs.552.87 lakhs which includes opening balance, releases during the year and bank interest. An expenditure amounting to Rs.

302.98 lakhs (including advances) was incurred during the year and the cumulative expenditure since the launch of the program till March, 2009 was Rs.1735.88 lakhs. The closing balance at the end of the year was Rs.249.88 lakhs.

(iii) Total Sanitation Campaign (TSC)

Total Sanitation Campaign (TSC) is a vehicle of reforms in the rural sanitation sector. This campaign has been launched by the GoI with the objective to improving the quality of life of rural people and to provide privacy and dignity to women. This campaign is a demand responsive community led campaign. The funding partners are GoI, GoUK and rural communities. TSC is currently being implemented in all the districts of the State. The GoI has so far approved projects aggregating to Rs. 99.48 crores. TSC components include construction of Individual Household Latrines, Community Sanitary Complexes and School Toilets. TSC is mainly based on communication, which involves efforts to disseminate sanitation related messages through Information Education & Communication (IEC) activities at the GP, Block Panchayat and Zila Panchayat Level. TSC discourages subsidies and focuses on community level incentives. Under this campaign, Rs.2200 for Plain district and Rs. 2700 for Hilly District per unit is admissible as incentive only to BPL households on construction of individual household latrines.

• Physical Progress

- § Despite several constraints, TSC has made steady and remarkable progress in the State. This is corroborated by the fact that a humble beginning of construction of 796 individual household latrines (IHHL) in the year 2003-04, 35824 in the year 2004-05, 57108 IHHL, in the year 2005-06, 64951 IHHL in year 2006-07, 75801 IHHL during the year 2007-08 and 98884 IHHL during the current financial year 2008-09. The cumulative progress of construction of individual household latrines is 333364 at the end of F.Y., 2008-09.
- § School Sanitation - From the beginning of construction 69 school toilets in the year 2004-05, 264 in the year 2005-06, 490 in the year 2006-07, 320 in the year 2007-08 and 870 in the year 2008-09. The cumulative progress is 2013 school toilets at the end of F.Y 2008-09
- § There has been considerable progress in achieving Nirmal Gram Puraskar beginning with thirteen NGPs in the year 2004-05, 109 in 2006-07, 160 in 2007-08. During 2008-09 the state submitted 345 applications of Gram Panchayats for award of Nirmal Gram Puruskar to the GoI.

• Financial Progress

- § Total available funds during the year were Rs.1635.27 lakhs which includes opening balance, releases during the year and bank interest. An expenditure amounting to Rs.570.48

lakhs (including advances) was incurred during the year and Cumulative expenditure since the launch of the program was Rs.2260.24 lakhs upto the March 2009. The closing balance at the end of the year was Rs.1064.79 lakhs.

(iv) Communication & Capacity Development Unit (CCDU)

The Govt. of India is financing the Communication & Capacity Development Unit of the state on cent percent basis from the year 2004-05. The CCDU aims at enhancing community participation and demand generation through development of strategies with effective combination of mass media and interpersonal communication for different stakeholders in the rural water supply and sanitation services. The unit also aims towards capacity development of grass root level workers, PRIs, NGOs and other stakeholders.

- **Physical Progress**

§ During the year 61 workshops, 40 training programs, 46 awareness camps and 09 Information, Education and Communication (IEC) activities were organized at various levels. Besides above, hording, wall-writing, at village, district, block levels, IEC stall & TV show were also conducted.

- **Financial Progress**

§ Total available funds during the year were Rs.152.08 lakhs which includes opening balance, releases during the year and bank interest. An expenditure amounting to Rs. 91.74 lakhs was incurred during the year and Cumulative expenditure since the launch of the program is Rs.137.30 lakhs upto the March 2009. The closing balance at the end of the year was Rs.60.34 lakhs.

(v) National Drinking Water Quality Monitoring and Surveillance Program (NRDWQM&SP)

This program (100% GoI financed) has been launched by the GoI across the country during F.Y. 2006-07. The objective of the program, inter-alia includes (i) decentralized monitoring and surveillance of all rural drinking water sources in the country by the community and (ii) capacity building of panchayats to own the field test kit and take up full O&M responsibility for water quality monitoring of all drinking water sources in their respective area. Under this program, funds are available, for setting up of new water testing laboratories, strengthening of existing water testing laboratories, purchase of field test kits, IEC and HRD activities and administrative expenses. The recurring cost of field test kits and other expenses are to be met from community contribution.

- **Physical Progress**

§ During the year 268 Grass root Level awareness camps, 302 Inter Personal Communication (IPC) activities, 486 Mass Media Campaigns, 136 Audio Visual Programs and 63 Gram Panchayat Sanitary Survey were conducted. The cumulative progress of various HRD/IEC activities was 458 Grass root Level awareness camps, 530 IPC, 826 Mass Media Campaigns, 74 Sanitary Survey done up to the end of year March, 2009

- **Financial Progress**

§ Total available funds during the year were Rs.558.97 lakhs which includes opening balance, releases during the year and bank interest. An expenditure amounting to Rs.114.74 lakhs was incurred during the year and the cumulative expenditure since the launch of the program was Rs.118.20 lakhs upto the March 2009. The closing balance at the end of the year was Rs.444.23 lakhs.

(vi) The Right to Information Act 2005:

The society took all steps to fulfill all the obligations under the Act. This included (i) designating Public Information Officers and Appellate Authority (ii) timely preparation and publication of 17 manuals and (iii) timely disposal of requests for obtaining information. The constant endeavor of the PMU to take steps in accordance with the requirements of the RTI Act-2005 led to an acclamation by the Uttarakhand Information Commission.

Auditor's Report

The engagement of M/s Avanish K. Rastogi & Associates, Chartered Accountants, F-10A, 11, Khushnuma Complex, 7-R.F.Bahadur Marg, Near State Guest House, and Lucknow as Auditor for this Society for F.Y. 2008-09. There are three separate Balance Sheets namely (i) Sector Program (ii) CCDU and (iii) NRDWQM&SP in this Annual Progress Report. The Firm has completed the audit work of the Society for this F.Y.-2008-09. The Auditor's Report along with their observations and compliance by the PMU are also part of this Annual Progress Report.

Acknowledgement

The Society thanks the Central and State Government authorities, sector institutions authorities, PRI representatives and the World Bank Mission members for their continued co-operation and support to the Society. The Society also acknowledges the valuable contribution made by the Service Agencies, Support Organizations and the staff of PMU and DPMUs. The Society is continuing to work towards sustainable development and remain committed in justifying the faith and trust reposed by the state government.

(Kapil Lall)
Director