## MOST IMMEDIATE

BY E-MAIL/ SPEED POST No. 113/6/ADM/DE(19)/14 Govt. of India, Ministry of Defence Directorate General Defence Estates Raksha Sampada Bhawan Ulaanbaatar Marg, Delhi Cantt – 10

Dated: 21 March, 2016

The Principal Director, DE Ministry of Defence Southern/Central/Western/Eastern/Northern/South-Western Command Pune/Lucknow/ Chandigarh/ Kolkata/ Jammu/ Jaipur

The Director, NIDEM, Delhi Cantt.

## Subject : REVIEW ON ATTAINING THE AGE OF 50/55 YEARS OR ON COMPLETION OF 30 YEARS OF SERVICE WHICHEVER OCCURS EARLIER UNDER FR 56(J) AND FR 56(1) AND RULE 48 (1) OF CCS (PENSION) RULES, 1972

Reference this Dte. General letter No. 113/6/ADM/DE(19)/14 dated 01.03.2016.

2. In the subject matter, DoP&T vide O.M. No. 25013/1/2013-Estt(A) dated 21.03.2014 and O.M. No. 25013/01/2013-Estt.A-IV dated 11.09.2015 (Annexures-I & II) has issued instructions for periodical review of performance of Government servants with a view to ascertain whether he/she should be retained in service or retired from service in public interest, on attaining the age of 50/55 years or on completion of 30 years of qualifying service, whichever occurs earlier, as per provisions contained in FR 56(j), FR 56(1) and Rule 48(1) of CCS (Pension) Rules, 1972.

3. As per para – 10 of the DoP&T, O.M. No. 25013/01/2013-Estt.A-IV dated 11.09.2015, the cases of Government servant covered under FR 56(j), FR 56(1) and Rule 48(1) of CCS (Pension) Rules, 1972 should be reviewed <u>on quarterly basis</u>,

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six months before he/she attains the age of 50/55 years and on completion of 30 years of service, as per below given calendar:

S. No.	Quarter in which review is to be made	Cases of employees, who will be attaining the age of 50/55 years or completing 30 years of service, as the case may be, in the quarter
1	January to March	July to September of the same year
2	April to June	October to December of the same year
3	July to September	January to March of the next year
4	October to December	April to June of the next year

4. Subsequent to the above said instructions of DoP&T, the MoD has approved the following composition of Review Committee(s) and Internal Committee for periodical review of the performance of Group 'A' & 'B' gazetted officers and Group 'B' & 'C' non-gazetted employees of Defence Estates Organisation, under FR 56(j), FR 56 (1) Rule 48 of CCS Pension Rules, 1972:

### **REVIEW COMMITTEE FOR GAZETTED OFFICERS**

	Head	Members
Group 'A' officers of ACC and non-ACC appointees	Defence Secretary	Addl. Secretary
Group 'B' Gazetted	Joint Secretary	Director
	and non-ACC appointees	Group 'A' officers of ACC and non-ACC appointees Defence Secretary

## INTERNAL COMMITTEE

		Head/ Chairperson	Members	
1	Group 'A' officers of ACC and non-ACC appointees	Director General,	1. Addl. DG (Admin) 2. DDG (Vig)	
2	Group 'B' Gazetted Employees	Defence Estates	2. DDG (Vig) 3. DDG/Asstt. DG (Admin)	

## **REVIEW COMMITTEES FOR OTHER OFFICERS/OFFICIALS**

		Chairperson	Members
1	Non-Gazetted Group 'B' & 'C' employees working in the <b>Directorate General, DE</b>	Addl. DG (Admin/ Vig)	Dy. DG/Asstt. DG (Admin)
2	Non-Gazetted Group 'B' & 'C' employees working in <b>NIDEM</b>	Director, NIDEM	Joint Director, NIDEM
3	Non-Gazetted Group 'B' & 'C' employees working in/under the jurisdiction of Principal Directorate, DE (including DEO/ADEO offices)	Director (Admin)	Joint Director/ Deputy Director (Admin)

5. It may please be noted that progress on periodic review of performance of Govt servants under FR 56 (j), FR 56 (1) and Rule 48 (1) of CCS Pension Rules, 1972, is now being monitored closely at the highest level. In this regard, the Secretary DoP&T and the Cabinet Secretary have themselves taken review meetings on 03.02.2016 and 18.02.2016, respectively. Besides this, a monthly status/progress report on the review is now being sought by the DoP&T, from all Ministries, including MoD.

6. Keeping in view the importance attached to the matter, it is requested to initiate immediate necessary action for periodic review of the officers/officials under the above said provisions under FR and CCS Pension Rules, 1972, and intimate progress thereon to this Dte. General <u>on monthly basis</u>. The first such report should reach this Dte General on <u>01.04.2016</u>, positively.

7. This issues with the approval of the DG, DE.

P. Daniel)

Addl. Director General (Admin & Vig) for Director General, Defence Estates

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# Copy to:

1. All DEOs

# Internal copy to:

- 1. PA to DG, DE
- 2. Sr. PPS to Sr. Addl. DG

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- 3. All officers in DGDE
- 4. Rajbhasha Anubhag/AU&RC/DMS/Website
- 5. Float file

#### No.25013/1/2013-Esti (A) Government of India Ministry of Personnel, Public Grievances and Pensions Department of Personnel and Training

North Block, New Delhi-110 001 Dated :21<sup>st</sup> March, 2014

### Office Memorandum

Subject : Strengthening of administration - Periodical review under FR 56 / Rule 48 of CCS(Pension) Rules

Instructions exist on the need for periodical review of performance of Government servants with a view to ascertain whether the Government servant should be retained in service or retired from service in the public interest. Provisions in this regard are contained in FR 56 (j), FR 56 (l) and Rule 48 (1) (b) of CCS(Pension) Rules, 1972.

2. As per these instructions the cases of Government servant covered by FR 56(j), 58(l) or Rule 48(1) (b) of CCS (Pension) Rules, 1972 should be reviewed six months before he / she attains the age of 50/55 years, in cases covered by FR 56(j) and on completion of 30 years of qualifying service under FR 56(l) / Rule 48 of CCS(Pension) Rules, 1972 as per the following time table:-

SI. No.	Quarter in which review is to be made	Cases of employees who will be attaining the age of 50/55 years or will be completing 30 years of service or 30 years of service qualifying for pension, as the case may be, in the quarter.	
1.	January to March	July to September of the same year	
2.	April to June	October to December of the same year	
3.	July to September	January to March of the next year	
4.	October to December	April to June of the next year	

 The procedure as prescribed from time to time has been consolidated and enclosed as Appendix to this O.M.

4. All Ministries / Departments are requested to follow these instructions and periodically review the cases of Govt. servants as required under FR 56(j)/FR56(l)/Rule 48(1)(b) of CCS (Pension) Rules, 1972.

(B.Bandyopadhyay) Under Secretary to the Government of India Tel.No.23040341

To

All Ministries / Departments Government of India as per standard list.

DoPT O.M.No.25013/1/2013-Estt (A) dated March, 2014



#### APPENDIX

### Subject : Periodical review under FR 56 (j)

IHA, DPAR

.M.No.33/13/61-Estt A) dated 23.6.1969

4HA, DPAR ).M.No.33/11/69-Estt [A] dated 23.10.1970

MHA, DPAR O.M.No.25013/5/76-Estt (A) dated 11.10.1976

MHA, DPAR O.M.No.25013/13/77-Estt (A) dated 8<sup>th</sup> November, 1977.

MHA, DPAR 0.M.No.25013/14/77

Estt (A) dated 5th January, 1978,

MHA, DPAR 0.M.No.15013/9/8

DoPT

O.M.No.25013/30/85-

Estt (A) dated 7th

August, 1985.

DoPT O.M.No.25013/38

Estt (A) dated 7th

March, 1986.

DoPT O.M.No.25013/15/ Estt (A) dated 27<sup>th</sup>

June, 1986.

The appropriate authority has the absolute right to retire, if it is necessary to do so in public interest, a Government servant under FR 56(j), FR 56(l) or Rule 48 (1) (b) of CCS (Pension) Rules, 1972 as the case may be. The guidelines in this regard have been issued from time to time under the marginally noted office Memoranda which are available in this Ministry's website:www.persmin.nic.in The procedure has been summarized below:-

2stt 70 6-		FR 56	Pension Rule 48(1)(b) of CCS (Pension) Rules, 1972
77- /77- /85- /85-	Category	FR 56 (j) Group 'A & B' officers: who entered service before 35 ears of age and have attained 50 years of age Other cases: Attained 55 years of age <u>FR56(l)</u> A Govt. Servant in Group 'C' post who is not governed by any Pension Rules, can also be retired after he has completed 30 years service.	All Government servants covered by CCS (Pension) Rules, 1972 who have completed 30 years of qualifying service.
5/86- th	Notice Period	3 months or 3 months pay allowances in lieu thereof	Three months or Three months pay and allowances in lieu thereof.

2. The cases of Government servant covered by FR 56(j), 56(l) or Rule 48(1) (b) of CCS (Pension) Rules, 1972 should be reviewed six months before he / she attains the age of 50/55 years, in cases covered by FR 56(j) and on completion of 30 years of qualifying service under FR 56(l) Rule 48 of CCS(Pension) Rules, 1972. Time Schedule for review is as under:-

SI. No.	Quarter in which review is to be made	Cases of employee who will be attaining the age of 50/55 years or will be completing 30 years of service or 30 years of service qualifying for pension, as the case may be, in the quarter indicated below to be reviewed
1.	January to March	July to September of the same year
2.	April to June	October to December of the same year
3.	July to September	January to March of the next year
4	October to December April to June of the next year	

A register of employees who are due to attain the age of 50/55 years or complete 30 years of service to be maintained. The register should be scrutinized at the beginning of every quarter by a senior officer in the Ministry / Department and the review undertaken according to the above schedule.

3. It may be noted that Compulsory Retirement as a penalty under CCS (CCA) Rules, 1965 is distinct from the above provisions.

4. In order to ensure that the powers vested in the appropriate authority are exercised fairly and impartially and not arbitrarily, following procedures and guidelines have been prescribed for reviewing the cases of government employees covered under the aforesaid rules:

- The cases of Government servants covered by FR 56 (j) or FR 56 (l) or Rule 48(1)(b) of the CCS (Pension) Rules should be reviewed six months before they attain the age of 50/55 years or complete 30 years service / 30 years of qualifying service, whichever occurs earlier,
- Committees shall be constituted in each Ministry / Department / Office, to which all such cases shall be referred for recommendation as to whether the Officer concerned should be retained in service or retired from service in the public interest.

5. The criteria to be followed by the Committee in making their recommendations would be as follows:-

- (a) Government employees whose integrity is doubtful, will be retired.
- (b) Government employees who are found to be ineffective will also be retired. The basic consideration in identifying such employee should be the fitness/competence of the employee to continue in the post which he/she is holding.

Contd...

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DoPT O.M.No.25013/1/2013-Estt (A) dated March, 2014

(c) While the entire service record of an Officer should be considered at the time of review, no employee should ordinarily be retired on grounds of ineffectiveness if his service during the preceding 5 years or where he has been promoted to a higher post during that 5 year period, his service in the highest post, has been found satisfactory.

Consideration is ordinarily to be confined to the preceding 5 years or to the period in the higher post, in case of promotion within the period of 5 years, only when retirement is sought to be made on grounds of ineffectiveness. There is no such stipulation, however where the employee is to be retired on grounds of doubtful integrity.

(d) No employee should ordinarily be retired on ground of ineffectiveness, if, in any event, he would be retiring on superannuation within a period of one year from the date of consideration of his case

Ordinarily no employee should be retired on grounds of ineffectiveness if he is retiring on superannuation within a period of one year from the date of consideration of the case. It is clarified that in a case where there is a sudden and steep fall in the competence, efficiency or effectiveness of an officer, it would be open to review his case for premature retirement..

The above instruction is relevant only when an employee is proposed to be retired on the ground of ineffectiveness, but not on the ground of doubtful integrity. The damage to public interest could be marginal if an old employee, in the last year of service, is found ineffective; but the damage may be incalculable if he is found corrupt and demands or obtains illegal gratification during the said period for the tasks he is duty bound to perform.

6. The Supreme Court had not only upheld the validity of FR 56(j) but also held that no show-cause notice need be issued to any Government servant before a notice of retirement is issued to him under the aforesaid provisions. The appropriate authority defined in Note 1 below FR 56 should bonafide form an opinion that is in the public interest to retire the Government servant in exercise of the powers conferred by that provision and this decision should not be an arbitrary decision or should not be based on collateral grounds. Accordingly, in every case where it is proposed to retire a Government servant in exercise of the powers conferred by the said rule, the appropriate authority should record in the file its opinion that it is necessary to retire the Government servant in pursuance of the aforesaid rule in the public interest. The order to be served of the Government servant would of course be on the form prescribed for the purpose.

7. Detailed instructions on the procedure, criteria for assessment, issue of notice etc. are contained in the Office Memoranda indicated at page 1 of this Appendix and may be referred to.

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## No.25013/01 /2013-Estt.A-IV Government of India Ministry of Personnel, Public Grievances and Pensions Department of Personnel and Training Establishment A-IV Desk

North Block, New Delhi Dated 11<sup>th</sup> September, 2015

#### OFFICE MEMORANDUM

Subject: Strengthening of administration-Periodical review under FR 56(j) and Rule 48 of CCS (Pension) Rules, 1972

The undersigned is directed to refer to this Department's OM No. 25013/1/2013-Estt(A) dated 21/03/2014 on the periodical review under Fundamental Rule 56 or Rule 48 of CCS (Pension) Rules.

2. Various instructions issued on the subject deal with compulsory retirement under the above mentioned provisions. The Supreme Court has observed in *State of Gujarat Vs. Umedbhai M. Patel*, 2001 (3) SCC 314 as follows:

- (i) Whenever the services of a public servant are no longer useful to the general administration, the officer can be compulsorily retired for the sake of public interest.
- (ii) Ordinarily, the order of compulsory retirement is not to be treated as a punishment coming under Article 311 of the Constitution.
- (iii) "For better administration, it is necessary to chop off dead wood, but the order of compulsory retirement can be passed after having due regard to the entire service record of the officer."
- (iv) Any adverse entries made in the confidential record shall be taken note of and be given due weightage in passing such order.
- (v) Even un-communicated entries in the confidential record can also be taken into consideration.
- (vi) The order of compulsory retirement shall not be passed as a short cut to avoid Departmental enquiry when such course is more desirable.
- (vii) If the officer was given a promotion despite adverse entries made in the confidential record, that is a fact in favour of the officer.
- (viii) Compulsory retirement shall not be imposed as a punitive measure.

3. In every review, the entire service records should be considered. The expression 'service record' will take in all relevant records and hence the review should not be confined to the consideration of the ACR / APAR dossier. The personal file of the officer may contain valuable material. Similarly, the work and performance of the officer could also be assessed by looking into files dealt with by him or in any papers or reports prepared and submitted by him. It would be useful if the Ministry/Department puts together all the data available about the officers and prepares a comprehensive brief for consideration by the Review Committee. Even uncommunicated remarks in the ACRs/APARs may be taken into consideration.

4. In the case of those officers who have been promoted during the last five years, the previous entries in the ACRs may be taken into account if the officer was promoted on the basis of seniority cum fitness, and not on the basis of merit.

5. As far as integrity is considered, the following observations of the Hon'ble Supreme Court may, while upholding compulsory retirement in a case, may be kept in view:

The officer would live by reputation built around him. In an appropriate case, there may not be sufficient evidence to take punitive disciplinary action of removal from service. But his conduct and reputation is such that his continuance in service would be a menace to public service and injurious to public interest.

S. Ramachandra Raju vs. State of Orissa

[(1994) 3 SCC 424]

Thus while considering integrity of an employee, actions or decisions taken by the employee which do not appear to be above board, complaints received against him, or suspicious property transactions, for which there may not be sufficient evidence to initiate departmental proceedings, may be taken into account. Judgement of the Apex Court in the case of Shri K. Kandaswamy, I.P.S. (TN:1966) in *K. Kandaswamy vs Union Of India & Anr*, 1996 AIR 277, 1995 SCC (6) 162 is relevant here. There were persistent reports of Shri Kandaswamy acquiring large assets and of his getting money from his subordinates. He also indulged in property transactions which gave rise to suspicion about his bonafides. The Hon'ble Supreme Court upheld his compulsory retirement under provisions of the relevant Rules.

6. Similarly, reports of conduct unbecoming of a Government servant may also form basis for compulsory retirement. As per the Hon'ble Supreme Court in *State Of U.P. And Others vs Vijay Kumar Jain*, Appeal (civil) 2083 of 2002:

If conduct of a government employee becomes unbecoming to the public interest or obstructs the efficiency in public services, the government has an absolute right to compulsorily retire such an employee in public interest.

7. Many changes in the nomenclature and in the areas of responsibility of various departments/Ministries have taken place. In order to simplify and speed up the procedure of review, a need is felt to reconstitute the Review Committees. In partial modification of the OM 25013/15/86-Estt (A) dated 27/06/1986, it has been decided that the Secretaries of the Cadre Controlling Authorities will constitute Review Committees consisting of two Members at appropriate level. The Review Committees in the case of various levels of employees will be as under:

- (A) In case of officers holding Group A posts:
  - (a) In r/o ACC appointees:

Review Committee may be headed by the Secretary of the concerned Ministry/Department as Cadre Controlling Authority.

#### (b) In r/o Non-ACC appointees:

(i) Where there are Boards viz CBDT, CBEC, Railway Board, Postal Board, Telecom Commission, etc. the Review Committee may be headed by the Chairman of such Board. (B) In case of Group B (Gazetted) officers:

Additional Secretary/Joint Secretary level officer will head the Review Committee.

- (C) In the case of Non-Gazetted employees:
  - (i) An officer of the level of Joint Secretary will head the Committee. However in case the Appointing Authority is lower in rank than a Joint Secretary, then an officer of the level of Director/Deputy Secretary will be the head.
  - (ii) In the case of Non-Gazetted employees in other than centralised cadres, Head of Department/Head of the Organisation shall decide the composition of the Review Committee.

8. CVO in the case of gazetted officers, or his representative in the case of non-gazetted officers, will be associated in case of record reflecting adversely on the integrity of any employee.

9. In addition to the above, the Secretary of the Ministry/Department is also empowered to constitute internal committees to assist the Review Committees in reviewing the cases. These Committees will ensure that the service record of the employees being reviewed, alongwith a summary bringing out all relevant information, is submitted to the Cadre Authorities at least three months before the due date of review.

10. The procedure as prescribed from time to time has been consolidated and enclosed as Appendix to the OM issued by this Department on 21/03/2014. As per these instructions the cases of Government servant covered by FR 56(j), FR 56(l), or Rule 48(1) (b) of CCS (Pension) Rules, 1972 should be reviewed six months before he/she attains the age of 50/55 years, in cases covered by FR 56(j) and on completion of 30 years of qualifying service under FR 56(l)/Rule 48 of CCS (Pension) Rules, 1972 as per the following calendar:

SI No	Quarter in which review is to be made	Cases of employees who will be attaining the age of 50/55 years or will be completing 30 years of service or 30 years of service qualifying for pension, as the case may be, in the quarter.	
1	January to March	July to September of the same year	
2	April to June	October to December of the same year	
3	July to September	January to March of the next year	
4 October to April to June of the next year December		April to June of the next year	

11. All Ministries/Departments are requested to follow the above instructions and periodically review the cases of Government servants as required under FR 56(j)/FR56(I)/Rule 48(1)(b) of CCS (Pension) Rules, 1972.

12. Instructions on composition of the Representation Committees will be communicated separately.

(Mukesh Chatdwedi) Director (Establishment) Tel: 23093176

To

The Secretaries of All Ministries/Departments (as per the standard list)

Copy to:

- 1. President's Secretariat, New Delhi.
- 2. Vice-President's Secretariat, New Delhi.
- 3. The Prime Minister's Office, New Delhi.
- 4. Cabinet Secretariat, New Delhi.
- 5. Rajya Sabha Secretariat/Lok Sabha Secretariat, New Delhi.
- 6. The Comptroller and Auditor General of India, New Delhi.
- 7. The Secretary, Union Public Service Commission, New Delhi.
- 8. The Secretary, Staff Selection Commission, New Delhi.
- 9. All attached offices under the Ministry of Personnel, Public Grievances and Pensions.
- 10. National Commission for Scheduled Castes, New Delhi.
- 11. National Commission for Scheduled Tribes, New Delhi.
- 12. National Commission for OBCs, New Delhi.
- 13. Secretary, National Council (JCM), 13, Feroze Shah Road, New Delhi.
- 14. CVOs of all Ministries/Departments.
- 15. ADG (M&C), Press Information Bureau, DoP&T
- 16. NIC, Department of Personnel & Training, North Block, New Delhi (for uploading the same on the website of this Ministry under the Head OMs & Orders -> Establishment -> Premature Retirement.
  - 17. Hindi Section, DoP&T

(Mukesh Chaturvedi) Director (Establishment) Tel: 23093176

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