

## Approval of SRN F58366311 dated 03/02/2023

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From: MCA21 Administrator (mcaadm@mca.gov.in)

To: rkshivnani@hotmail.com; cs.upcl@yahoo.in; nkguptahwr@gmail.com

Date: Friday, 3 February, 2023 at 04:43 pm IST

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Dear Sir/ Madam,

This is to inform you that the eForm MGT-7 submitted on the MCA portal in respect of vide SRN F58366311 dated 03/02/2023 has been taken on file in a straight through process.

This is a MCA21 system generated mail for your information and necessary action. Please do not reply to this mail.

Note -The Registrar may examine this eForm any time after the same is processed by the system under Straight Through Process (STP). In case any defects or incompleteness in any respect is noticed by the Registrar, then this eForm shall be treated and labeled as defective and the eForm shall have to be filed afresh with the fee and additional fee, as applicable. (Please refer sub-rule 6 of rule 10 of the Companies (Registration offices and Fees) Rules, 2014)

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**MINISTRY OF CORPORATE AFFAIRS**  
**RECEIPT**  
**G.A.R.7**

SRN : F58366311

Service Request Date : 03/02/2023

Payment made into : HDFC Bank

Received From :

Name : Ramesh Kumar Shivanani

Address : B-4, Om Shree Tower,  
Opp. J.P. Phatak, Near Lotus Dairy, Sehkar Marg, Jaipur-M-98298-29410  
Jaipur, Rajasthan  
India - 302015

Entity on whose behalf money is paid

CIN: U40109UR2001SGC025867

Name : UTTARAKHAND POWER CORPORATION LIMITED

Address : VCV Gabar Singh Urja Bhawan  
Kanwali Road  
Dehradun, Uttarakhand  
India - 248001

Full Particulars of Remittance

Service Type: eFiling

Service Description	Type of Fee	Amount(Rs.)
Fee for Form MGT-7 for the financial year ending on 2022	Normal	600.00
Total		600.00

Mode of Payment: Internet Banking - HDFC Bank

Received Payment Rupees: Six Hundred Only

Note –The Registrar may examine this eForm any time after the same is processed by the system under Straight Through Process (STP). In case any defects or incompleteness in any respect is noticed by the Registrar , then this eForm shall be treated and labeled as defective and the eForm shall have to be filed afresh with the fee and additional fee, as applicable. (Please refer Rule 10 of the Companies (Registration offices and Fees) Rules, 2014)

**FORM NO. MGT-7**

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

**Annual Return**

(other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

**I. REGISTRATION AND OTHER DETAILS**

(i) \* Corporate Identification Number (CIN) of the company

U40109UR2001SGC025867

Pre-fill

☐ Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACU6007G

(ii) (a) Name of the company

UTTARAKHAND POWER CORP

(b) Registered office address

VCV Gabar Singh Urja Bhawan  
Kanwali Road  
Dehradun  
Dehradun  
Uttarakhand  
248001

(c) \*e-mail ID of the company

cs.upcl@yahoo.in

(d) \*Telephone number with STD code

01353510151

☐ (e) Website

www.upcl.org

(iii) Date of Incorporation

12/02/2001

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	State Government Company

(v) Whether company is having share capital

☒ Yes ☐ No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

☐ Yes ☒ No

(b) CIN of the Registrar and Transfer Agent

Name of the Registrar and Transfer Agent

Pre-fill



Registered office address of the Registrar and Transfer Agents

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted

☒ Yes ☐ No

(d) If yes, provide the Service Request Number (SRN) of the application form filed for extension

(e) Extended due date of AGM after grant of extension

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	D	Electricity, gas, steam and air condition supply	D1	Electric power generation, transmission and distribution	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) \*SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	20,000,000	14,749,084	14,749,084	14,749,084
Total amount of equity shares (in Rupees)	20,000,000,000	14,749,084,000	14,749,084,000	14,749,084,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	20,000,000	14,749,084	14,749,084	14,749,084
Nominal value per share (in rupees)	1,000	1,000	1,000	1,000
Total amount of equity shares (in rupees)	20,000,000,000	14,749,084,000	14,749,084,000	14,749,084,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	14,449,084	0	14449084	14,449,084	14,449,084	

<b>Increase during the year</b>	300,000	0	300000	300,000,000	300,000,000	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify	300,000		300000	300,000,000	300,000,000	
Equity by Govt. of Uttarakhand, the sole shareholder						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<b>At the end of the year</b>	14,749,084	0	14749084	14,749,084	14,749,084	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0

ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

☐ Nil

[Details being provided in a CD/Digital Media]

☐ Yes ☒ No ☐ Not Applicable

Separate sheet attached for details of transfers

☐ Yes ☒ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input type="text"/>	
Date of registration of transfer (Date Month Year)		<input type="text" value="23/09/2021"/>	
Type of transfer	<input type="text" value="Equity Shares"/>	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text" value="1"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text" value="1,000"/>



Ledger Folio of Transferor		74	
Transferor's Name	Prakash		Om
	Surname	middle name	first name
Ledger Folio of Transferee		79	
Transferee's Name	Sandhu	Singh	Sukhbir
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		23/09/2021	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	1	Amount per Share/ Debenture/Unit (in Rs.)	1,000
Ledger Folio of Transferor		75	
Transferor's Name	Panwar		Manisha
	Surname	middle name	first name
Ledger Folio of Transferee		83	
Transferee's Name	Raturi		Radha
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		23/09/2021	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	1	Amount per Share/ Debenture/Unit (in Rs.)	1,000



Ledger Folio of Transferor		65	
Transferor's Name	Panwar		Manisha
	Surname	middle name	first name
Ledger Folio of Transferee		80	
Transferee's Name	Raturi		Radha
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		23/09/2021	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	1	Amount per Share/ Debenture/Unit (in Rs.)	1,000
Ledger Folio of Transferor		63	
Transferor's Name	Jha		Radhika
	Surname	middle name	first name
Ledger Folio of Transferee		81	
Transferee's Name			Sowjanya
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		23/09/2021	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	1	Amount per Share/ Debenture/Unit (in Rs.)	1,000

Ledger Folio of Transferor		77	
Transferor's Name	Kharwal		Neeraj
	Surname	middle name	first name
Ledger Folio of Transferee		82	
Transferee's Name	Rawat		Deepak
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		23/09/2021	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	1	Amount per Share/ Debenture/Unit (in Rs.)	1,000
Ledger Folio of Transferor		78	
Transferor's Name	Tewari	Chandra	Bupesh
	Surname	middle name	first name
Ledger Folio of Transferee		84	
Transferee's Name	Iqbal		Ahmed
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		23/09/2021	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	1	Amount per Share/ Debenture/Unit (in Rs.)	1,000

Ledger Folio of Transferor		72	
Transferor's Name	Tewari	Chandra	Bupesh
	Surname	middle name	first name
Ledger Folio of Transferee		85	
Transferee's Name	Joshi		Amita
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		25/11/2021	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	1	Amount per Share/ Debenture/Unit (in Rs.)	1,000
Ledger Folio of Transferor		82	
Transferor's Name	Rawat		Deepak
	Surname	middle name	first name
Ledger Folio of Transferee		86	
Transferee's Name	Kumar		Anil
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		23/12/2021	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	1	Amount per Share/ Debenture/Unit (in Rs.)	1,000



Ledger Folio of Transferor		81	
Transferor's Name			Sowjanya
	Surname	middle name	first name
Ledger Folio of Transferee		87	
Transferee's Name	Raturi		Radha
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name

(iv) \*Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
Total			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

72,235,634,011

**(ii) Net worth of the Company**

-23,825,277,700

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	14,749,084	100	0	
	(iii) Government companies	0	0	0	

3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	<b>Total</b>	14,749,084	100	0	0

Total number of shareholders (promoters)

11

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	



8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	<b>Total</b>	0	0	0	0

Total number of shareholders (other than promoters)

0

Total number of shareholders (Promoters+Public/  
Other than promoters)

11

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**  
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	11	11
Members (other than promoters)	0	0
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	5	2	4	4	0	0
<b>B. Non-Promoter</b>	0	4	0	4	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	4	0	4	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0

(v) Others	0	0	0	0	0	0
Total	5	6	4	8	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

13

(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Radha Raturi	00978573	Nominee director	2	
Ahmed Iqbal	08990618	Nominee director	1	
Anil Kumar	08480709	Managing Director	1	
Amita Joshi	09326396	Nominee director	1	
Yogendra Yadav	06909940	Nominee director	0	
Jagmohan Lal Bajaj	00004652	Director	0	07/10/2022
Bhagwati Prasad Pande	01393312	Director	0	
Chander Mohan Vasude	00143885	Director	0	
Alok Rawat	08563420	Director	0	
Naveen Kumar Gupta	08338075	Whole-time director	0	
Madan Lal Prasad	09250492	Whole-time director	0	
Ajay Kumar Agarwal	09464329	Whole-time director	0	
Rashid Jeelani Malik	AJNPM2559C	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

20

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Radha Raturi	00978573	Nominee director	19/07/2021	Appointment
Sowjanya	08074398	Nominee director	22/07/2021	Appontment
Deepak Rawat	02761282	Nominee director	26/07/2021	Appointment
Yogendra Yadav	06909940	Nominee director	02/07/2021	Appointment
Amita Joshi	09326396	Nominee director	23/09/2021	Appointment
Ahmed Iqbal	08990618	Nominee director	06/09/2021	Appointment
Satish Chand	09235716	Whole-time director	01/07/2021	Appointment
Madan Lal Prasad	09250492	Whole-time director	01/07/2021	Appointment
Anil Kumar	08480709	Managing Director	01/11/2021	Appointment
Ajay Kumar Agarwal	09464329	Whole-time director	18/12/2021	Appointment
Radhika Jha	07885453	Director	26/07/2021	Cessation
Neeraj Kharwal	07973060	Managing Director	26/07/2021	Cessation
Bupesh Chandra Tewari	02527150	Director	06/09/2021	Cessation
Jagmohan Singh Rauth	08516550	Whole-time director	30/06/2021	Cessation
Atul Kumar Agarwal	07271042	Whole-time director	30/06/2021	Cessation
Sowjanya	08074398	Nominee director	06/12/2021	Cessation
Deepak Rawat	02761282	Nominee director	01/11/2021	Cessation
Deepak Rawat	02761282	Nominee director	06/12/2021	Cessation
Satish Chand	09235716	Whole-time director	18/12/2021	Cessation
Anil Kumar Singh	08759070	Whole-time director	31/12/2021	Cessation

## IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

### A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1



Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	29/12/2021	11	7	100

## B. BOARD MEETINGS

\*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	23/06/2021	11	10	90.91
2	23/09/2021	14	14	100
3	25/11/2021	15	13	86.67
4	23/12/2021	13	11	84.62
5	29/12/2021	13	12	92.31
6	04/02/2022	12	11	91.67

## C. COMMITTEE MEETINGS

Number of meetings held

8

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	28/06/2021	3	3	100
2	Audit Committee	22/09/2021	3	3	100
3	Audit Committee	02/11/2021	3	3	100
4	Audit Committee	03/11/2021	3	3	100
5	Audit Committee	02/12/2021	3	3	100
6	Audit Committee	13/12/2021	3	3	100
7	Audit Committee	29/12/2021	3	3	100
8	Risk Management	10/03/2022	4	4	100

## D. \*ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	28/12/2022
								(Y/N/NA)
1	Radha Raturi	5	5	100	0	0	0	Yes
2	Ahmed Iqbal	5	3	60	0	0	0	No
3	Anil Kumar	4	4	100	5	5	100	Yes
4	Amita Joshi	5	5	100	0	0	0	Yes
5	Yogendra Yadav	5	3	60	0	0	0	No
6	Jagmohan Lal	6	6	100	7	7	100	Not Applicable
7	Bhagwati Prasad	6	6	100	8	8	100	Yes
8	Chander Mohan	6	6	100	0	0	0	No
9	Alok Rawat	6	6	100	0	0	0	Yes
10	Naveen Kumar	6	6	100	1	1	100	Yes
11	Madan Lal Prasad	5	5	100	1	1	100	Yes
12	Ajay Kumar Agarwal	3	3	100	0	0	0	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

8

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Anil Kumar	Managing Director	1,038,772	0	0	0	1,038,772
2	Naveen Kumar Gupta	Whole Time Director	3,109,298	0	0	0	3,109,298
3	Jagmohan Singh Rana	Whole Time Director	3,687,278	0	0	0	3,687,278
4	Atul Kumar Agarwal	Whole Time Director	3,857,989	0	0	0	3,857,989
5	Anil Kumar Singh	Whole Time Director	5,400,335	0	0	0	5,400,335
6	Ajay Kumar Agarwal	Whole Time Director	621,130	0	0	0	621,130
7	Satish Chand	Whole Time Director	1,456,230	0	0	0	1,456,230
8	Madan Lal Prasad	Whole Time Director	2,604,565	0	0	0	2,604,565
	Total		21,775,597	0	0	0	21,775,597

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Rashid Jeelani Malik	Company Secretary	3,246,649	0	0	0	3,246,649
	Total		3,246,649	0	0	0	3,246,649

Number of other directors whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Jagmohan Lal Bajaj	Independent Director	247,800	0	0	0	247,800
2	Chander Mohan Vaidya	Independent Director	159,300	0	0	0	159,300
3	Bhagwati Prasad Patel	Independent Director	265,500	0	0	0	265,500
4	Alok Rawat	Independent Director	177,000	0	0	0	177,000
	Total		849,600	0	0	0	849,600

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

## XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

## XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Shri Ramesh Kumar Shivnani

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

6873

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

### Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ..

70.13

dated

25/03/2015

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.



Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

Naveen  
Kumar  
Gupta  
Digitally signed by  
Naveen Kumar Gupta  
Date: 2023.02.03  
13:41:05 +05:30

DIN of the director

08338075

To be digitally signed by

Rashid  
Jeelani  
Malik  
Digitally signed by  
Rashid Jeelani Malik  
Date: 2023.02.03  
13:45:23 +05:30

☒ Company Secretary

☐ Company secretary in practice

Membership number

4694

Certificate of practice number

#### Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach

Attach

Attach

Attach

#### List of attachments

List of Shareholders as on 31-03-2022.pdf  
Approval letter for extension of AGM FY 20  
MGT-8-2022.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company



**RAMESH & ASSOCIATES  
COMPANY SECRETARIES**

B-4, Om Shree Tower,  
Near Lotus Dairy, Opp. J P Phatak  
Sehkar Marg, Jaipur-302015  
Mobile No: 9829829410  
E-mail: [csrkrshivnani@yahoo.in](mailto:csrkrshivnani@yahoo.in)

**FORM NO. MGT-8**

**CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

*{Pursuant to section 92(2) of the companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014}*

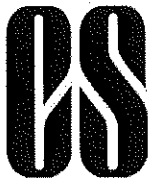
We have examined the registers, records and books and papers of **UTTARAKHAND POWER CORPORATION LIMITED** (the Company) as required to be maintained under the Companies Act, 2013 ("the Act") and the rules made thereunder for the financial year ended on **31<sup>st</sup> March, 2022**. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, We certify that:-

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year the company has complied with provisions of the Act & Rules made there in respect of:
  1. Its status under the Act.
  2. Maintenance of Registers/Records & making entries therein within the time prescribed therefore;
    - *The Company has not maintained proper records for Fixed Assets showing full particulars including quantitative details & location of fixed assets. The Company has represented that the Fixed Assets Register is updated upto Financial Year 2020-21.*
  3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, Tribunal, Court or other authorities within the prescribed time. The Company has duly filed all the forms and returns as stated in the annual return with the ROC or other authorities within the prescribed time and /or with additional fees wherever applicable.

RAMESH KUMAR  
SHIVNANI

Digitally signed by RAMESH KUMAR SHIVNANI  
DN: cn=RAMESH KUMAR SHIVNANI, o=SHIVNANI, ou=SHIVNANI, email=csrkrshivnani@yahoo.in, c=IN  
Date: 2023.03.22 18:11:35 +05'30'

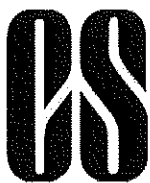
Contd...



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Mobile No: 9829829410  
E-mail: [csrksihvnani@yahoo.in](mailto:csrksihvnani@yahoo.in)

4. Calling/ Convening/ Holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the Annual Return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by the postal ballot, if any, have been properly recorded in the Minute Book/ Registers maintained for the purpose and the same have been signed; The Company has duly complied the provisions of the Companies Act, 2013 with regard to above. Further no resolutions passed by the Postal ballot during the year under review.
  - No meeting of Independent Directors held during the year under review. The management clarified that pursuant to MCA Notification dated 05.07.2017 issued by GoI, the Government Companies are exempt from the provision pertaining to Independent Directors Meeting as specified under Clause VII of Schedule IV of the Companies Act, 2013. Accordingly, UPCL has referred the matter to the Government of Uttarakhand for issuing guidelines to this effect and has been pursuing the same constantly. The requested Guidelines from the state government are awaited.
5. Closure of Registers of Members/ Security holders, as the case may be. The Company was not required to close its Registers of Members/Security holders etc. during the year under review.
6. Advances/ Loans to its Directors and/or persons or firms or companies referred in Section 185 of the Act. The Company has not advanced any loan to its Directors and/or persons or firms or companies referred in Section 185 of the Companies Act, 2013.
7. Contracts/ Arrangements with related parties as specified in Section 188 of the Act; The Company has not entered into any material contract / arrangements with related parties pursuant to Section 188 of the Companies Act, 2013 during the year under review.
8. Issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances:
  - The allotments made during the year were to the Governor of Uttarakhand. It is clarified to us by the management that the Government of Uttarakhand provides Equity to the company for specific projects. Since the 100% shares are held by Government of Uttarakhand hence No Offer Letter was issued. Further shares have been allotted to the Governor of Uttarakhand on the authority of Board after 60 days of receipt of Share Application money.



**RAMESH & ASSOCIATES  
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E-mail: [csrks Shivnani@yahoo.in](mailto:csrks Shivnani@yahoo.in)

9. Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act; **NOT APPLICABLE** for the year.
10. Declaration/payment of Dividend; transfer of unpaid/unclaimed dividend/ other amounts as applicable to the Investor Education and Protection Fund in accordance with Section 125 of the Act; During the year the Company has not declared any dividend hence no amount of unpaid / unclaimed dividend is held with the Company.
11. Signing of Audited Financial Statement as per the provisions of Section 134 of the Act and report of Directors is as per sub-Sections (3), (4) and (5) thereof; The Company has duly complied with the provisions regarding Signing of Audited Financial Statements as per the provisions of the Act.
12. Constitutions/ Appointment/ Re-appointment/Retirement/Filling up casual vacancies/Disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them; regarding the above point it is reported that the Company being a Govt. of Uttarakhand Undertaking, appointment and cessation of Directors, Managing Director, Whole Time Directors are affected as per the orders issued by the Government of Uttarakhand. The appointment of persons as directors are done by the Government of Uttarakhand through Govt. Orders and the necessary compliances as per Companies Act, 2013 are done immediately on receipt of orders

As per Section 152 (3) of the Companies Act, 2013 no person can be appointed as a director of a company unless he has been allotted the Director Identification Number (DIN). During the year under review, DIN of two directors were taken after the date of appointment as the orders were issued directly by Government of Uttarakhand.

13. Appointment/ Reappointment/ Filling up casual vacancies of Auditors as per the provisions of Section 139 of the Act; Since the Company is a Government Company, hence the Statutory Auditors are appointed by the order of Comptroller and Auditor General of India. However, during the year under review, the Company has duly complied with the provisions of section 139 of the Companies Act, 2013.

Regarding appointment of Internal Auditors, it has been informed by the management that the company is already having a separate Internal Audit Department in the organizational hierarchy which is supported by the Independent Chartered Accountants who act as Concurrent Auditors and hence the matter related to appointment of Internal Auditor under Section 138 of Companies Act, 2013 was not brought before the Board.





**RAMESH & ASSOCIATES  
COMPANY SECRETARIES**

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E-mail: [csrks Shivnani@yahoo.in](mailto:csrks Shivnani@yahoo.in)

14. Approvals required to be taken from the Central Government, Tribunals, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act. No approval was required to be taken from the Central Govt., Tribunals, Regional Director, Registrar, Court or such Authorities.
15. Acceptance/ Renewal/ Repayment of Deposits; The Company has not accepted / Renewal / Repayment of any Deposits during the year under review.
16. Borrowings from its Directors, Members, Public Financial Institutions, Banks and other and creation/ modification/satisfaction of charges in that respect, wherever applicable; The Company has duly complied with the provisions of Companies Act, 2013.
17. Loans and investments or guarantee given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act; **NOT APPLICABLE**
18. Alteration of the provisions of the Memorandum and/or Articles of Association of the company: The Company during the year under review has not altered any clause of the Memorandum of Association or Articles of Association.
19. As informed to us the management is in process of getting the company registered on Trade Receivables electronic Discount System (TReDS).

Date: 02-02-2023

Place: Jaipur

UDIN: F007374D003091081

For S Ramesh & Associates

RAMESH KUMAR SHIVNANI

Ramesh Kumar Shivnani

Company Secretary

M. No. F7374, CP 6873



GOVERNMENT OF INDIA  
MINISTRY OF CORPORATE AFFAIRS

Office of the Registrar of Companies

MAZANINE FLOOR, 78, RAJPUR ROAD, OFFICE NO.259, SHRI RADHA PALACE,, DEHRADUN, Uttarakhand, India, 208001

DATED : 07-09-2022

IN THE MATTER OF M/S UTTARAKHAND POWER CORPORATION LIMITED CIN  
U40109UR2001SGC025867  
AND

IN THE MATTER OF EXTENSION UNDER SECTION 96(1) OF THE COMPANIES ACT, 2013

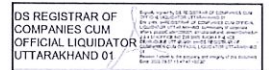
The Company has closed its accounting year on 31-03-2022 and the Annual general meeting of the company is due to be held on 30-09-2022 as per requirements of section 96 of the Companies Act, 2013. The company has made an application vide SRN F23591548 on 02-09-2022 requesting for an extension of time for the purpose of holding AGM on the following grounds

AGM Extension granted for 3 months

Keeping in view, the aforesaid circumstances due to which company cannot hold its Annual General Meeting on time, extension 03 months 00 days is considered.

ORDER

Under the power vested in the undersigned by virtue of section 96(1) read with second proviso attached thereto extension of 03 months 00 days is hereby granted. However, the company is hereby advised to be careful in future in compliance of the provisions of the Companies Act, 2013.



Yours faithfully,  
IMRAN AHMAD SIDDIQUI

Registrar of Companies  
RoC - Uttarakhand

Mailing Address as per record available in Registrar of Companies office:

UTTARAKHAND POWER CORPORATION LIMITED

VCV Gabar Singh Urja Bhawan, Kanwali Road, Dehradun, Dehradun,  
Uttarakhand, India, 248001



**Note:** This letter is to be generated only when the application is approved by RoC office

First Name	Middle Name	Last Name	Folio Number	DP ID-Client Id Account Number	Number of Shares Held
Gurmit Singh	Governor of Uttarakhand		08		1,47,49,074
S. S. Sandhu	Chief Secretary		79		1
Radha Raturi	Additional Chief Secretary	Infra Dev Commissioner	83		1
Manisha Panwar	Principal Secy. Or Secy. Planning		76		1
Radhika Jha	Principal Secy. Or Secy. I & PE		80		1
Amit Singh Negi	Principal Secy. Or Secy. Finance		49		1
Radha Raturi	Principal Secy. Or Secy. Energy		87		1
Anil Kumar	Managing Director, UPCL		86		1
Ahmed Iqbal	Addl. Secy. Energy		84		1
Amita Joshi	Addl. Secy. Finance		85		1
P. C. Joshi	Dy. Secretary Energy		66		1

## Class of Shares

[illegible]